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STATE ETHICS COMMISSION

**LOBBYIST FILING AGREEMENT**

This Agreement is made this 31<sup>st</sup> day of March, 2009, by and between Upper Chesapeake Health System and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

**RECITALS**

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Upper Chesapeake Health System ("the filer") is a health care provider who had lobbying activities during the period of February 4, 2009 through October 31, 2009 requiring it to register as a lobbyist with the State Ethics Commission.

The filer did not timely register as required by the Md. Code. Ann., State Gov't. Art., §15-703(d)(1)(Supp.2008).

On March 4, 2009, the Commission received a Legislative Unit Invitation Disclosure Form #13E and a Fourteen Day Report Form #13F indicating that Upper Chesapeake Health System sponsored an event on February 4, 2009 and reported \$972.35 in expenses.

The filer subsequently registered on March 26, 2009 for the period February 1, 2009 through October 31, 2009.

The filer is voluntarily entering into this agreement to admit its failure to timely file a lobbyist registration and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to Section 15-405 of the Ethics Law.

**NOW THEREFORE**, in consideration of the submission of the required lobbyist registration form by the filer on March 26, 2009, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not timely file its lobbyist registration form as required by §15-703(d)(1) of the Ethics Law.
2. The filer reported its delinquency to the Commission on March 26, 2009, and filed its

registration form the same day.

3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject it to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this agreement the filer agrees to pay as settlement of the late filing matter, the amount of **Two Hundred Fifty Dollars (\$250.00)** at the time of the execution of this agreement in lieu of potential late fees and civil fines for the above admitted late registration statement (paragraph 1).

6. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on the filer's failure to timely register. The filer understands that the Commission is under no obligation to accept this agreement and may decide to take other action, including issuing a complaint.

7. The filer waives a formal hearing on this matter (if the agreement is accepted by the Commission) to which it would be entitled if a complaint were issued, and understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.

8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration and later reports and for the payment of late fees and for no other purpose.

**IN WITNESS WHEREOF**, Scott Conover, Senior Vice-President and General Counsel, representing Upper Chesapeake Health System, and Staff Counsel, State Ethics Commission, have hereunto set their hands.

SIGNATURE APPEARS ON  
ORIGINAL AGREEMENT

William J. Colquhoun, Staff Counsel  
State Ethics Commission  
45 Calvert Street, 3<sup>rd</sup> Floor  
Annapolis, MD 21401  
(410) 260-7770

SIGNATURE APPEARS ON  
ORIGINAL AGREEMENT

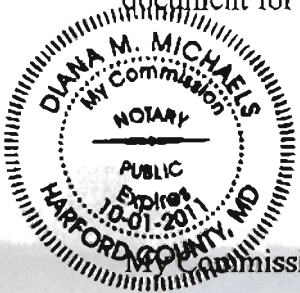
Scott Conover  
Upper Chesapeake Health System

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State of Maryland

County of: Harford, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Scott Conover, and acknowledged that he was an individual authorized to execute this document on behalf of Upper Chesapeake Health System, and that he executed this document for the purpose set forth herein on behalf of Upper Chesapeake Health System.



SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires: 10-01-2011

State of Maryland,

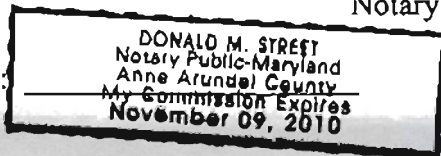
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 13<sup>th</sup> day of April, 2009 in due form of law that he executed this agreement for the purposes set forth herein.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires:



Accepted by the Commission

Date: 4/16, 2009

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert F. Scholz, Chair for the Commission