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LOBBYIST FILING AGREEMENT STATE ETHICS COMMISSION

This Agreement, made this Zottl day of ______, 2019, by and between Mike Tidwell, lobbyist for CCAN Action Fund, and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

Mike Tidwell ("the filer"), is an individual whose lobbying activities on behalf of CCAN Action Fund ("the employer") for the period February 15, 2019 to October 31, 2019, required him to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code Ann., Gen'l Prov. Art., § 5-704(d)(1)(Supp. 2018).

The filer subsequently registered on May 30, 2019, for the period of February 15, 2019 to October 31, 2019.

The filer is entering voluntarily into this Agreement to admit his failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

NOW THEREFORE, in consideration of the submission of the required lobbyist registration form by the filer on May 30, 2019, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

- 1. The filer did not timely file his lobbyist registration form on behalf of the employer for the period of February 15, 2019 to October 31, 2019, as required by § 5-704(d)(1) of the Ethics Law.
- 2. The filer reported his delinquency to the Commission on May 30, 2019, and filed his registration form (a copy of which has been attached hereto and made a part thereof) on the same day.
- 3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
- 4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of TWO HUNDRED FIFTY and no/100 DOLLARS

(\$250.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (Paragraph 1).

- 5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
- 6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law, and will be public information unless otherwise protected.
- 7. The filer understands that a copy of this Agreement will be mailed to the employer.
- 8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Mike Tidwell, lobbyist, and William J. Colquhoun, Staff

Counsel to the State Ethics Commission, have hereunto set their hands.

William J. Colquhoun, Staff Counsel State Ethics Commission 45 Calvert Street, 3rd Floor Annapolis, MD 21401 (410) 260-7770 Mike Tidwell
Lobbyist for
CCAN Action Fund

State of Maryland County of: Mortgon, to wit:	
Before me, the undersigned, a Notary Public in and for the personally appeared Mike Tidwell, who made oath on this	day of Jure, forth are true to the best of his
Notary Public	NOIAR OF THE PARTY
My Commission Expires: 9-7-2020	MONTGOMERY COUNTY, MARYUAND MY COMMISSION EXPIRES SEPT. 4 2020
State of Maryland, County of: Anne Arundel, to wit:	
Before me, the undersigned, a Notary Public in and for the personally appeared William J. Colquhoun, Staff Counsel to the S made oath on this day of, 2019, executed this Agreement for the purposes set forth herein.	tate Ethics Commission, who
Notary Public	WILLIAM PIZZIMILIAN AND THE STATE OF THE STA
My Commission Expires: June 20, 2021	PUBLIC ON PUBLIC
	THE SAME OF THE SA
Accepted by the	Commission
Janet E. McHug	
for the Commis	sion
Date Tuly II and	

PΑ	RT.	Γ A. GENERAL INFORMATION	
Wŀ	at T	Type of registration are you seeking?	
	_	· ———	ss Roots Lobbyist <u>x </u>
Prio	mary	ry purpose of Organization	
	of at	the employer or registrant (if there is no employer) organizatempting to influence any legislation or executive actionsNox	
Ch	eck N	Number: <u>cc34238832</u>	
PA	RT	B. IDENTIFICATION OF REGISTRANT/REGU	LATED LOBBYIST
1.		dentifying Information	
	a)	Name of Registrant/Regulated Lobbyist: Mike Tidwell	
	b)	Firm Name: <u>CCAN Action Fund</u>	
	c)	Permanent Address: 6930 Carroll Ave Suite 720 Takoma Park, MD 20912 Business telephone: 240-396-1981	
	-,	Cell phone: 240-460-5838 Do you want your telephone number on the publishe Yes Nox	d lobbyist list?
2.	Ide	dentification Of Others Required To Register	
	a)	Will any other person be required to register as a lob organization identified in Part B1 (a)? Yes NoX	byist on behalf of the person or the
	b)	If the answer to a) is "Yes", identify each such person address?	n below and provide his/her name and
3.	Ide	dentification Of Employer	
	a)	Name of persons or organizations that compensates registration. CCAN Action Fund Permanent Address: PO Box 11138 Takoma Park, MD 20913	the registrant for activities requiring this
		Business Telephone: <u>240-396-1981</u>	
		Nature of business: environment & energy nonprofit	
	b)	If, in the course of representing the employer identify other entities for which the registrant is not required YesNoX	ed in Part B.3(a), will you also be representing to file separate registrations?
١.	Reg	egistration Information	
	a)	State the period (include both beginning and ending registration is effective: February 15, 2019 to October 31, 2019	month, day, and year) for which this
	b)	Identify the matters on which the registrant expects	to act or employ someone to act during the

registration period:
ENERGY MATTERS
ENVIRONMENTAL MATTERS

PART C. REGISTRANT'S CERTIFICATION

1. Certification of Training Compliance:

I hereby certify by checking one of the two options below that I am in compliance with the mandatory
training requirements of §5-704.1 of the Public Ethics Law:
<u>x</u> I am current in my training status. Date of most recent training: <u>January 01, 2018</u>
I have not yet been a regulated lobbyist for 6 months but will complete training prior to that
time, or if my initial registration is for a period less than 6 months, I will complete training before any
subsequent registration.

2. Certification of Authorization to Lobby:

I am authorized to act on behalf of the employer/entity identified in Part B.3(a) (and Part B.3(b), if any) for the period set forth in Part B.4(a) and as to the matters set forth in Part B.4(b) herein unless this authority is terminated sooner. This authorization has been granted to me by (identity of official granting authorization):

Name and Title:	Anne Havemann							
Address:	PO Box 11138 Tako	ma Park, MD	20913					
Telephone:	240.396.2146	E-mail:	anne@chesapeakeclimate.org					

PART D. EXEMPTION STATUS OF EMPLOYER

An employer who compensates one or more regulated lobbyists is required to separately register as a lobbyist, UNLESS <u>all</u> expenditures requiring registration will be filed by one or more of the regulated lobbyists compensated by the employer. Please indicate status below (ONLY CHECK ONE)

a)	<u>X</u>	_ The emp because a				on from fi registratio						
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			_				_					

b) _____ The employer does not claim an exemption from filing its own registration and activity report because the registrant will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.

I hereby make oath or affirm under the penalties of perjury that the contents of this registration are complete, true and correct to the best of my knowledge, information and belief and that I am authorized to engage in lobbying for the employer set forth above in Part B.3.