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STATE ETHICS
COMMISSION

LOBBYIST FILING AGREEMENT

This Agreement, made this 6 day of July, 2011, by and between Richard Stokes, lobbyist for the Property Casualty Insurers Association of America, and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Richard Stokes ("the filer") is an individual whose lobbying activities on behalf of the Property Casualty Insurers Association of America during the period of January 1, 2011 to October 31, 2011 required him to register as a lobbyist with the State Ethics Commission.

The filer did not timely register on behalf of the employer Property Casualty Insurers Association of America ("the employer") as required by the Md. Code Ann., State Gov't. Art. §15-703(d)(1)(Supp. 2010).

The filer subsequently registered on June 13, 2011 for the period of January 1, 2011 to October 31, 2011.

The filer is voluntarily entering into this agreement to admit his failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to Section 15-405 of the Ethics Law.

NOW THEREFORE, in consideration of the submission of the required lobbyist registration form by the filer on June 13, 2011 and the admissions and agreements herein contained, the filer and the Staff Counsel stipulate and agree as follows:

1. The filer did not timely file his lobbyist registration form on behalf of the Property Casualty Insurers Association of America for the period of January 1, 2011 to October 31, 2011, as required by §15-703(d)(1) of the Ethics Law.

2. The filer reported his delinquency to the Commission on May 31, 2011. He filed his registration form (a copy of which has been attached hereto and made a part thereof) on June 13, 2011.

3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this agreement the filer agrees to pay as settlement of the late filing matter, the amount of Two Hundred and Fifty Dollars (\$250.00) at the time of the execution of this agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (paragraph 1).

5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this agreement and may decide to take other action, including issuing a complaint.

6. The filer waives a formal hearing on this matter (if the agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.

7. The filer acknowledges that further late filings may result in the suspension or revocation of his lobbying registration.

8. The filer understands a copy of this agreement will be mailed to the employer.

9. The filer and Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Richard Stokes and William J. Colquhoun, Staff Counsel, State Ethics Commission, have hereunto set their hands.

(SIGNATURE APPEARS ON ORIGINAL
AGREEMENT)

William J. Colquhoun
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
(410) 260-7770

(SIGNATURE APPEARS ON ORIGINAL
AGREEMENT)

Richard Stokes
Lobbyist
Property Casualty Insurers Association
of America

State of New Jersey
County of: Mercer, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Richard Stokes, who made oath on this 6th day of July, 2011 in due form of law that the matters and facts hereinabove set forth are true to the best of his knowledge, information and belief, and are his voluntary act and that he executed this document for the purpose set forth herein

Notary Public
(SIGNATURE APPEARS ON ORIGINAL AGREEMENT)

My Commission Expires: September 26, 2013

CHRISTOPHER DOUGLAS MCGOWAN
NOTARY PUBLIC
STATE OF NEW JERSEY
MY COMMISSION EXPIRES SEPTEMBER 26, 2013

State of Maryland,
County of: Anne Arundel, to wit:

(SIGNATURE APPEARS ON ORIGINAL AGREEMENT)

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 7th day of July, 2011 in due form of law that he executed this agreement for the purposes set forth herein.

Notary Public
(SIGNATURE APPEARS ON ORIGINAL AGREEMENT)

My Commission Expires:

JENNIFER D WHITEHEAD
Notary Public
Anne Arundel County
Maryland
My Commission Expires May 20, 2012

Accepted by the Commission

(SIGNATURE APPEARS ON ORIGINAL AGREEMENT)

Robert F. Scholz, Chairman
for the Commission

Date: July 14, 2011

PART A. GENERAL INFORMATION

What Type of registration are you seeking?

Legislative Action Lobbyist

Grass Roots Lobbyist _____

Executive Action Lobbyist

Non-exempt employer _____

Primary purpose of Organization

Is the employer or the registered organization (if there is no employer) organized and operated for the primary purpose of attempting to influence any legislation or executive action?

Yes _____ No

Check Number: 1453

PART B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST

1. Identifying Information

a) Name of Registrant/Regulated Lobbyist: Richard M. Stokes

b) Firm Name: Property Casualty Insurers Association of America

Address:

28 west state street, suite 719
Trenton, NJ 08608

c) Business telephone: 609-396-9601

Cell phone: 609-980-5155

Do you want your telephone number on the published lobbyist list?

Yes _____ No

d) What is your occupation or type of business? Lobbyist

If other: n/a

2. Identification of others required to register

a) Will any other person be required to register as a lobbyist on behalf of you or the organization identified in section 1?

Yes _____ No

b) If the answer to a) is "yes", identify each such person below and give their name and address?

3. Identification of employer

a) Name of persons or organizations who compensate you for activities that require registration. Property Casualty Insurers Association of America

Permanent Address:

2600 S. River Road
Des Plaines, IL 60018

Business Telephone: (847)297-7800

Nature of business: nonprofit trade organization

Website of employer:

b) Will you be representing any other person or entity regarding the matters identified in this registration?

Yes _____ No

4. Registration Information

a) For what period will this registration be effective?

January 01, 2011 to October 31, 2011

b) On what matters will you be acting or employing someone to act during the registration period?

Other - Any and all matters pertaining to property and casualty insurance including but not limited to worker's compensation.

c) If known include the bill number(s) of the matter(s) on which you lobby

PART C. REGISTRANT'S REGULATED LOBBYIST'S SIGNATURE

(Name)

(Date)

PART D. AUTHORIZATION TO ACT

1 Authorization

I hereby certify that the information contained herein is correct and that Richard M. Stokes (name of lobbyist) is authorized to act on behalf of Property Casualty Insurers Association of America (name of employer) for the period from January 01, 2011 to October 31, 2011 unless this authority is terminated sooner.

2 Exemption Status of Employer

- a) The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant/regulator lobbyist.
- b) The employer does not claim an exemption from filing its own registration and activity report because the registrants/regulator lobbyist will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.
- c) The employer does not claim an exemption from filing its own registration and reports based on the activities of the filer will report only expenditures and compensation regarding the filer's activities. However, the employer does claim an exemption from filing its own registration and reporting because another regulated lobbyist will report any other expenditures of the employer, and the employer will engage in no other activity that would require it to register or report.

Employer's signature

Date

Signature of Person Identified in Part B, Section 3

Date

Employer's Typed Signature