LOBBYIST FILING AGREEMENT



RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Joel Rozner ("the filer") is an individual whose lobbying activities on behalf of Pepco Holdings ("the employer"), for the period November 1, 2013 through October 31, 2014 required him to register as a lobbyist with the State Ethics Commission.

The filer did not timely register on behalf of the employer as required by the Md. Code. Ann., State Gov't. Art., §15-703(d)(1)(Supp. 2013).

The filer subsequently registered on February 28, 2014.

The filer is voluntarily entering into this Agreement to admit his failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to §15-405 of the Public Ethics Law.

NOW THEREFORE, in consideration of the submission of the required lobbyist registration form by the filer on February 28, 2014, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

- 1. The filer did not timely file his lobbyist registration form on behalf of the employer for the period November 1, 2013 through October 31, 2014, as required by §15-703(d)(1) of the Ethics Law.
- 2. The filer submitted his registration form (a copy of which has been attached hereto and made a part thereof) on February 28, 2014.
- 3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
- 4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter the amount of TWO HUNDRED AND FIFTY and no/100 Dollars (\$250.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (paragraph 1).

- 5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
- 6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.
 - 7. The filer understands a copy of this Agreement will be mailed to his employer.
- 8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Joel Rozner and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.

William J. Colquhoun, Staff Counsel

State Ethics Commission 45 Calvert Street, 3rd Floor

Annapolis, MD 21401 (410) 260-7770

Joel Rozper

Lobbyist for Pepco Holdings

State of Maryland County of: And Anundel, to wit:	
Before me, the undersigned, a Notary Public is personally appeared Joel Rozner, who made oath on the 2014 in due form of law that the matters and facts here knowledge, information and belief, and are his voluntary the purpose set forth herein.	is <u>5</u> day of <u>March</u> , inabove set forth are true to the best of his
My Commission Expires: My Commission Expires: My Commission Expires: MY COMMISSION EXPIRES NOV	
State of Maryland, County of: Anni Annill, to wit:	
Before me, the undersigned, a Notary Public is personally appeared William J. Colquhoun, Staff Coumade oath on this day of mach, 20 agreement for the purposes set forth herein.	nsel to the State Ethics Commission, who
My Commission Expires: ACTARY SOLUTION NOTARY SOLUTION	otary Public
Ac	ccented by the Commission
	ul Vettori, Chair r the Commission
Date: 42414 , 2014	

PA	RT	A. GENERAL INFORMATION	
W٢	nat T	ype of registration are you seeking?	
	_	slative Action Lobbyist x Grass Roots Lobbyist x Cutive Action Lobbyist x Non-exempt employer FFB 2 8 2(1)	
Del			
	Is th	ne employer or the registered organization (if there is no employer) organized Colorate Storate entry purpose of attempting to influence any legislation or executive action?	
		Number 10	
		B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST	
1.	Ide	entifying information	
	a)	Name of Registrant/Regulated Lobbyist: <u>Joel D Rozner</u>	
	b)	Firm Name: Rifkin, Weiner, Livingston, Levitan & Silver, LLC	
		Address: 225 Duke of Gloucester Street Annapolis, MD 21401	
	c)	Business telephone: 410-269-5066	
		Cell phone:	
		Do you want your telephone number on the published lobbylst list? Yesx No	
	d)	What is your occupation or type of business? Attorney	
		If other: N/A	
2.	Ide	entification of others required to register	
	a)	Will any other person be required to register as a lobbyist on behalf of you or the organization identified in section 1? Yes $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$	
	b)	If the answer to a) is "yes", identify each such person below and give their name and address?	
з.	Ide	entification of employer	
	a)	Name of persons or organizations who compensate you for activities that require registration. Pepco Holdings	
		Permanent Address: 701 Ninth Street. NW EP1202 Washington. DC 20068	
		Business Telephone: 202-872-2128	
Nature of business: Public utility holding company engaged in the distribution of energy serv			
		Website of employer:	
	b)	Will you be representing any other person or entity regarding the matters identified in this registration? Yes \underline{x} No \underline{x}	
		Name: Potomac Electric Power Company Permanent Address: 701 Ninth Street, NW, EP1202 WashIngton, DC 20068 Telephone: (202)872-2128 Name: Delmarva Power & Light Company	
		Permanent Address: 800 King Street, P.O. Box 231 Wilmington, DE 19899 Telephone: 202-872-2128	

4. Registration Information

- a) For what period will this registration be effective? November 01, 2013 to October 31, 2014
- b) On what matters will you be acting or employing someone to act during the registration period? Other - All matters affecting a public utility holding company and a public utility engaged in the distribution of energy services.

	c) If known include the bill number(s) of the matter(s) on which you lobby
PA	RT C. REGISTRANT'S REGULATED LOBBYIST'S SIGNATURE
1.	Training (See the Commission's website for online training)
	By checking one of the two choices below, I hereby certify that I am in compliance with the mandatory training requirements of §15-205 of the Public Ethics Law; I have completed training at least once during the most recent 2 year period in which I have been a registered lobbyist. Date of most recent training: I have not yet been a registered lobbyist for 2 years but will complete training prior to that time
2.	Verification
	I have reviewed this Registration and certify to the best of my knowledge that the information is true and complete. Lobbyist's Signature Date
PA	RT D. AUTHORIZATION TO ACT
1	Authorization

I hereby certify that the information contained herein is correct and that <u>Joel D Rozner</u> (name of lobbyist) is authorized to act on behalf of <u>Pepco Holdings</u> (name of employer) for the period from <u>November 01, 2013</u> to <u>October 31, 2014</u> unless this authority is terminated sooner.

2 Exemption Status of Employer

- The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant/regulated lobbylst.
- The employer does not claim an exemption from filing its own registration and activity report because the registrants/regulated lobbyist will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.
- The employer does not claim an exemption from filing its own registration and reports based on the activities of the filer will report only expenditures and compensation regarding the filer's activities. However, the employer does claim an exemption from filing its own registration and reporting because another regulated lobbyist will report any other expenditures of the employer, and the employer will engage in no other activity that would require it to register or report.

People or Entitles Identified in Part B 3(b)	2
	2/24/14
Signature of Person or Entity Representative for Potomac Electric Power Company	Date
	2/24/14
Signature of Person or Entity Representative for Delmarva Power & Light Company	Date
Employer Signature	
	2/24/14
Employer's Signature	Date
Kenneth J. Parker, Sr.	
Employer's Printed or Typed Name	