

RECEIVED

JUN 09 2020

**LOBBYIST FILING AGREEMENT**

STATE ETHICS COMMISSION

This Agreement, made this 4 day of June, 2020, by and between Kara Saul Rinaldi, lobbyist for Heating & Air Conditioning Contractors of Maryland, and Maryland Building Performance Association, and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

**RECITALS**

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

Kara Saul Rinaldi ("the filer"), is an individual whose lobbying activities on behalf of Heating & Air Conditioning Contractors of Maryland, and Maryland Building Performance Association ("the employers") for the period of November 1, 2019 to October 31, 2020, required her to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code. Ann., Gen'l. Prov. Art., § 5-704(d)(1)(Supp. 2019).

The filer subsequently registered on May 28, 2020, for the period of November 1, 2019 to October 31, 2020.

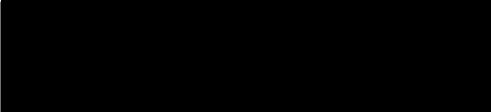
The filer is entering voluntarily into this Agreement to admit her failure to timely file lobbyist registrations with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

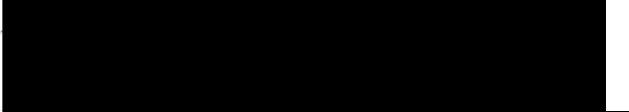
**NOW THEREFORE**, in consideration of the submission of the required lobbyist registration forms by the filer on May 28, 2020, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not timely file her lobbyist registration forms on behalf of the employers for the period of November 1, 2019 to October 31, 2020, as required by § 5-704(d)(1) of the Ethics Law.
2. The filer reported her delinquency to the Commission on May 28, 2020, and filed her registration forms (copies of which have been attached hereto and made a part thereof) on the same day.
3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject her to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.


4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of TWO HUNDRED and no/100 DOLLARS (\$200.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statements (Paragraph 1).
5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on her failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which she would be entitled if a complaint were issued, and she understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.
7. The filer understands that a copy of this Agreement will be mailed to the employers.
8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of lobbyist registration statements and for the payment of late fees and other fines and for no other purpose.

**IN WITNESS WHEREOF**, Kara Saul Rinaldi, lobbyist, and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.

  
William J. Colquhoun, Staff Counsel  
State Ethics Commission  
45 Calvert Street, 3<sup>rd</sup> Floor  
(410) 260-7770

  
Kara Saul Rinaldi  
Lobbyist for  
Heating & Air Conditioning Contractors of  
Maryland, and Maryland Building  
Performance Association

-----  
Accepted by the Commission

  
Janet E. McHugh, Chair  
for the Commission

Date: August 20, 2020



## Lobbying Registration

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: R003909 Submission Date: 05/28/20

### Registrant Information

#### Individual Registrant

Name of Registrant: Kara Saul Rinaldi

Business Phone: 202-621-0045

Organization: AnnDyl Policy Group, LLC

Cell Phone: 202-276-1773

Address: 717 Kennebec Ave , Takoma Park, MD, 20912

1. Are there any other individuals who are required to register on behalf of the registrant: Kara Saul Rinaldi?

Note: These individuals will still be required to submit their own registrations.

No

2. Are you in compliance with the mandatory training requirements of the Public Ethics Law?

Yes, I certify that I am current in my training status.

Date of most recent training:

10/07/19

### Employer Information

1. Identify the employer that compensates the registrant for lobbying activities under this registration.

#### Employer of Registrant

Organization: Heating & Air Conditioning Contractors of Maryland

Website:  
haccmd.org

Nature of Business: Non-profit trade association for independent heating, ventilation, air conditioning and refrigeration (HVACR) contractors in the Baltimore metropolitan area to actively promote and protect the interests and welfare of the industry, its members and the consumers it serves.

Phone:  
410-431-  
8889

Address: PO Box 730, Severna Park, MD, 21146

2. Does your employer claim an exemption from filing its own registration and activity reports?

No

3. Is the employer organized for the primary purpose of attempting to influence any legislation or executive action?

No

4. In the course of representing this employer, will you also be representing other entities from which you will not be receiving compensation and are not required to register on their behalf?

No

### Lobbying Period & Purpose

1. Within a lobbying year, state the period for which this registration is effective. Include both a start and an end date.

Registration Period:

Entire Lobbying Year: 11/1/2019-10/31/2020

2. What type of lobbying registration are you seeking?

**Legislative Action, Executive Action**

3. Identify the subject matters on which the registrant expects to act, or employ someone to act during the registration period. You must identify at least one subject matter and you may select up to five.

#	Subject Matter	Description
1	Other	Advancement of home performance & residential energy efficiency through standards development, policy analysis, research and stakeholder engagement.

**Authorization to Lobby**

The registrant is authorized to act on behalf of the employer identified above, for the period specified and as to the matters selected herein unless this authority is terminated sooner. This authorization has been granted by the representative disclosed below:

Name of Authorizer: **Stephanie Anderson**

Title: **Executive Director, MBA**

Email: **sanderson@haccmd.org**

Phone: **410-431-8889**

Address: **PO Box 730, Severna Park, MD, 21146**

**Affirmation Provision**

I hereby make oath or affirm under the penalties of perjury that I am authorized to engage in lobbying activity on behalf of the employer: Heating & Air Conditioning Contractors of Maryland, for the period specified and as to the matters selected herein, unless this authority is terminated sooner. This authorization has been granted to me by the representative: Stephanie Anderson. I acknowledge that my electronic signature subjects me to the penalties of perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths, and swear that the contents of this registration are complete and accurate to the best of my knowledge and belief.

E-signature: **Kara Saul Rinaldi 05/28/20**



## Lobbying Registration

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: R003908 Submission Date: 05/28/20

### Registrant Information

#### Individual Registrant

Name of Registrant: Kara Saul Rinaldi

Business Phone: 202-621-0045

Organization: AnnDyl Policy Group, LLC

Cell Phone: 202-276-1773

Address: 717 Kennebec Ave , Takoma Park, MD, 20912

1. Are there any other individuals who are required to register on behalf of the registrant: Kara Saul Rinaldi?

Note: These Individuals will still be required to submit their own registrations.

No

2. Are you in compliance with the mandatory training requirements of the Public Ethics Law?

Yes, I certify that I am current in my training status.

Date of most recent training:

10/07/19

### Employer Information

1. Identify the employer that compensates the registrant for lobbying activities under this registration.

#### Employer of Registrant

Organization: Maryland Building Performance Association

Website:

www.mdbpa.org

Nature of Business: Non-profit trade association service for MD's bldg performance contractors & the general public with education, member services, industry advocacy, and more.

Phone: 240-753-0297

Address: 5200 Minnick Road, Laurel, MD, 20717

2. Does your employer claim an exemption from filing its own registration and activity reports?

Yes

3. Is the employer organized for the primary purpose of attempting to influence any legislation or executive action?

No

4. In the course of representing this employer, will you also be representing other entities from which you will not be receiving compensation and are not required to register on their behalf?

No

### Lobbying Period & Purpose

1. Within a lobbying year, state the period for which this registration is effective. Include both a start and an end date.

Registration Period:

Entire Lobbying Year: 11/1/2019-10/31/2020

2. What type of lobbying registration are you seeking?

Legislative Action, Executive Action

3. Identify the subject matters on which the registrant expects to act, or employ someone to act during the registration period. You must identify at least one subject matter and you may select up to five.

#	Subject Matter	Description
1	Other	Advancement of home performance & residential energy efficiency through standards development, policy analysis, research and stakeholder engagement.

#### Authorization to Lobby

The registrant is authorized to act on behalf of the employer identified above, for the period specified and as to the matters selected herein unless this authority is terminated sooner. This authorization has been granted by the representative disclosed below:

Name of Authorizer: **Michelle Griffith**

Title: **Chairperson**

Email: **mgriffith@devereinsulation.com**

Phone: **240-753-0297**

Address: **5200 Minnick Road, Laurel, MD, 20717**

#### Affirmation Provision

I hereby make oath or affirm under the penalties of perjury that I am authorized to engage in lobbying activity on behalf of the employer: Maryland Building Performance Association, for the period specified and as to the matters selected herein, unless this authority is terminated sooner. This authorization has been granted to me by the representative: Michelle Griffith. I acknowledge that my electronic signature subjects me to the penalties of perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths, and swear that the contents of this registration are complete and accurate to the best of my knowledge and belief.

E-signature: **Kara Saul Rinaldi 05/28/20**