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LOBBYIST FILING AGREEMENT

STATE ETHICS COMMISSION

This Agreement, made this 5th day of June, 2020, by and between Dennis F. Rasmussen, lobbyist for Pearson Education, AGM Financial Services, Inc., and Whitman Coin & Collectibles Expo, LLC, and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

Dennis F. Rasmussen ("the filer"), is an individual whose lobbying activities on behalf of Pearson Education, AGM Financial Services, Inc., and Whitman Coin & Collectibles Expo, LLC ("the employers") for the period of November 1, 2019 to October 31, 2020, required him to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code. Ann., Gen'l. Prov. Art., § 5-704(d)(1)(Supp. 2019).

The filer subsequently registered on May 29, 2020, for the period of November 1, 2019 to October 31, 2020.

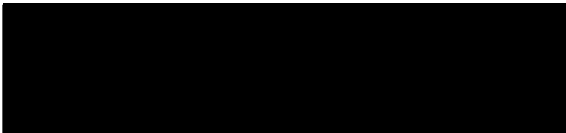
The filer is entering voluntarily into this Agreement to admit his failure to timely file lobbyist registrations with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

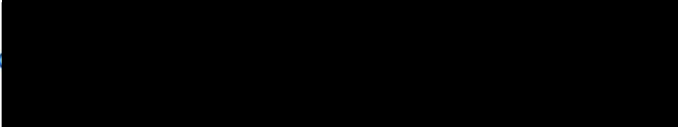
NOW THEREFORE, in consideration of the submission of the required lobbyist registration forms by the filer on May 29, 2020, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not timely file his lobbyist registration forms on behalf of the employers for the period of November 1, 2019 to October 31, 2020, as required by § 5-704(d)(1) of the Ethics Law.
2. The filer reported his delinquency to the Commission on May 29, 2020, and filed his registration forms (copies of which have been attached hereto and made a part thereof) on the same day.
3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of THREE HUNDRED and no/100 DOLLARS (\$300.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statements (Paragraph 1).
5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.
7. The filer understands that a copy of this Agreement will be mailed to the employers.
8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of lobbyist registration statements and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Dennis F. Rasmussen, lobbyist, and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.


William J. Colquhoun, Staff Counsel
State Ethics Commission
45 Calvert Street, 3rd Floor
(410) 260-7770


Dennis F. Rasmussen
Lobbyist for
Pearson Education,
AGM Financial Services, Inc., and
Whitman Coin & Collectibles Expo, LLC

Accepted by the Commission


Janet E. McHugh, Chair
for the Commission

Date: August 20, 2020



Lobbying Registration

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: R003920 Submission Date: 05/29/20

Individual Registrant

Name of Registrant: **Dennis F Rasmussen**

Business Phone: **410-821-4445**

Organization: **The Rasmussen Group, L.L.C.**

Cell Phone: **410-303-4658**

Address: **306 West Chesapeake Avenue, Towson, MD, 21204**

1. Are there any other individuals who are required to register on behalf of the registrant: Dennis F Rasmussen?

Note: These individuals will still be required to submit their own registrations.

No

2. Are you in compliance with the mandatory training requirements of the Public Ethics Law?

Yes, I certify that I am current in my training status.

Date of most recent training:

04/17/20

1. Identify the employer that compensates the registrant for lobbying activities under this registration.

Employer of Registrant

Organization: **AGM Financial Services, Inc.**

Website: **http://www.agmfinancial.com**

Nature of Business: **Processes FHA insured multifamily loans for the State.**

Phone: **443-573-2045**

Address: **20 South Charles Street, Ste 1000, Baltimore, MD, 21201**

2. Does your employer claim an exemption from filing its own registration and activity reports?

Yes

3. Is the employer organized for the primary purpose of attempting to influence any legislation or executive action?

No

4. In the course of representing this employer, will you also be representing other entities from which you will not be receiving compensation and are not required to register on their behalf?

No

1. Within a lobbying year, state the period for which this registration is effective. Include both a start and an end date.

Registration Period:

Entire Lobbying Year: 11/1/2019-10/31/2020

2. What type of lobbying registration are you seeking?

Legislative Action, Executive Action

3. Identify the subject matters on which the registrant expects to act, or employ someone to act during the registration period. You must identify at least one subject matter and you may select up to five.

| # | Subject Matter | Description |
|---|------------------------------------|-------------|
| 1 | Financial Institutions | |
| 2 | Capital Budget | |
| 3 | Economic and Community Development | |
| 4 | Private Authorizations | |

The registrant is authorized to act on behalf of the employer identified above, for the period specified and as to the matters selected herein unless this authority is terminated sooner. This authorization has been granted by the representative disclosed below:

Name of Authorizer: Margaret Allen

Title: CEO

Email: mallen@agmfinancial.com

Phone: 443-573-2045

Address: 20 South Charles Street, Ste 1000, Baltimore, MD, 21201

I hereby make oath or affirm under the penalties of perjury that I am authorized to engage in lobbying activity on behalf of the employer: AGM Financial Services, Inc., for the period specified and as to the matters selected herein, unless this authority is terminated sooner. This authorization has been granted to me by the representative: Margaret Allen. I acknowledge that my electronic signature subjects me to the penalties of perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths, and swear that the contents of this registration are complete and accurate to the best of my knowledge and belief.

E-signature: Dennis F Rasmussen 05/29/20



Lobbying Registration

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: R003922 Submission Date: 05/29/20

Individual Registrant

Name of Registrant: **Dennis F Rasmussen**

Business Phone: **410-821-4445**

Organization: **The Rasmussen Group, L.L.C.**

Cell Phone: **410-303-4658**

Address: **306 West Chesapeake Avenue , Towson, MD, 21204**

1. Are there any other individuals who are required to register on behalf of the registrant: Dennis F Rasmussen?

Note: These individuals will still be required to submit their own registrations.

No

2. Are you in compliance with the mandatory training requirements of the Public Ethics Law?

Yes, I certify that I am current in my training status.

Date of most recent training:

04/17/20

1. Identify the employer that compensates the registrant for lobbying activities under this registration.

Employer of Registrant

Organization: **Pearson Education**

Website:

<http://www.pearson.com>

Nature of Business: **Offers online educational and testing services to K-12 school districts and higher educational institutions.**

Phone: **857-208-2751**

Address: **501 Boylston Street, Suite 901, Boston, MA, 02116**

2. Does your employer claim an exemption from filing its own registration and activity reports?

Yes

3. Is the employer organized for the primary purpose of attempting to influence any legislation or executive action?

No

4. In the course of representing this employer, will you also be representing other entities from which you will not be receiving compensation and are not required to register on their behalf?

No

1. Within a lobbying year, state the period for which this registration is effective. Include both a start and an end date.

Registration Period:

Entire Lobbying Year: 11/1/2019-10/31/2020

2. What type of lobbying registration are you seeking?

Legislative Action, Executive Action

3. Identify the subject matters on which the registrant expects to act, or employ someone to act during the registration period. You must identify at least one subject matter and you may select up to five.

| # | Subject Matter | Description |
|---|---------------------------------|-------------|
| 1 | Children | |
| 2 | Education - Local Bills | |
| 3 | Education - Miscellaneous | |
| 4 | Primary and Secondary Education | |

The registrant is authorized to act on behalf of the employer identified above, for the period specified and as to the matters selected herein unless this authority is terminated sooner. This authorization has been granted by the representative disclosed below:

Name of Authorizer: **Jonathan Considine**

Title: **Director, State Government Relations**

Email: **jc.considine@pearson.com**

Phone: **857-208-2751**

Address: **501 Boylston Street, Suite 901, Boston, MA, 02116**

I hereby make oath or affirm under the penalties of perjury that I am authorized to engage in lobbying activity on behalf of the employer: Pearson Education, for the period specified and as to the matters selected herein, unless this authority is terminated sooner. This authorization has been granted to me by the representative: Jonathan Considine. I acknowledge that my electronic signature subjects me to the penalties of perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths, and swear that the contents of this registration are complete and accurate to the best of my knowledge and belief.

E-signature: Dennis F Rasmussen 05/29/20



Lobbying Registration

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: R003923 Submission Date: 05/29/20

| Individual Registrant | |
|--|-------------------------------------|
| Name of Registrant: Dennis F Rasmussen | Business Phone: 410-821-4445 |
| Organization: The Rasmussen Group, L.L.C. | Cell Phone: 410-303-4658 |
| Address: 306 West Chesapeake Avenue , Towson, MD, 21204 | |

1. Are there any other individuals who are required to register on behalf of the registrant: Dennis F Rasmussen?

Note: These individuals will still be required to submit their own registrations.

No

2. Are you in compliance with the mandatory training requirements of the Public Ethics Law?

Yes, I certify that I am current in my training status.

Date of most recent training:

04/17/20

1. Identify the employer that compensates the registrant for lobbying activities under this registration.

| Employer of Registrant | |
|---|-------------------------------------|
| Organization: Whitman Coin & Collectibles Expo, LLC | Website: https://whitman.com |
| Nature of Business: Organizes trade shows for the sale of coins and precious metals. | Phone: 404-273-7575 |
| Address: 1974 Chandalar Drive, Suite D, Pelham, AL, 35124 | |

2. Does your employer claim an exemption from filing its own registration and activity reports?

Yes

3. Is the employer organized for the primary purpose of attempting to influence any legislation or executive action?

No

4. In the course of representing this employer, will you also be representing other entities from which you will not be receiving compensation and are not required to register on their behalf?

No

1. Within a lobbying year, state the period for which this registration is effective. Include both a start and an end date.

Registration Period:

Entire Lobbying Year: 11/1/2019-10/31/2020

2. What type of lobbying registration are you seeking?

Legislative Action, Executive Action

3. Identify the subject matters on which the registrant expects to act, or employ someone to act during the registration period. You must identify at least one subject matter and you may select up to five.

| # | Subject Matter | Description |
|---|------------------------------------|-------------|
| 1 | Economic and Community Development | Trade shows |

The registrant is authorized to act on behalf of the employer identified above, for the period specified and as to the matters selected herein unless this authority is terminated sooner. This authorization has been granted by the representative disclosed below:

Name of Authorizer: **Mary Counts Burleson**

Title: **President**

Email: **mary.burleson@whitman.com**

Phone: **404-273-7575**

Address: **1974 Chandalar Drive, Suite D, Pelham, AL, 35124**

I hereby make oath or affirm under the penalties of perjury that I am authorized to engage in lobbying activity on behalf of the employer: Whitman Coin & Collectibles Expo, LLC, for the period specified and as to the matters selected herein, unless this authority is terminated sooner. This authorization has been granted to me by the representative: Mary Counts Burleson. I acknowledge that my electronic signature subjects me to the penalties of perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths, and swear that the contents of this registration are complete and accurate to the best of my knowledge and belief.

E-signature: **Dennis F Rasmussen 05/29/20**