

RECEIVED

MAY 07 2018

LOBBYIST FILING AGREEMENT

STATE ETHICS COMMISSION

This Agreement, made this 7 day of MAY, 2018, by and between Dennis F. Rasmussen, lobbyist for the Maryland Mortgage Bankers and Brokers Association, and EPIC Pharmacies, Inc., and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

Dennis F. Rasmussen ("the filer") is an individual whose lobbying activities on behalf of the Maryland Mortgage Bankers and Brokers Association, and EPIC Pharmacies, Inc., for the period of November 1, 2017 to October 31, 2018, required him to register as a lobbyist with the State Ethics Commission.

On February 7, 2018, the Maryland Mortgage Bankers and Brokers Association held a reception for the Senate Finance Committee.

On February 13, 2018, the Maryland Mortgage Bankers and Brokers Association held a reception for the House Economic Matters Committee.

On February 14, 2018, EPIC Pharmacies, Inc., held a reception for the Senate Finance Committee.

On February 20, 2018, EPIC Pharmacies, Inc., held a reception for the House Health and Governmental Operations Committee.

The filer did not timely file Form 13E ("Five Day Reports") within 5 days of the receptions or Form 13F ("Fourteen Day Reports") for the receptions within 14 days of the events, as required by Md. Code. Ann., Gen'l Prov., § 5-709 (Supp. 2017).

The filer subsequently filed the Five Day Reports and the Fourteen Day Reports on April 18, 2018.

The filer is voluntarily entering into this Agreement to admit his failure to timely file Five Day Reports and Fourteen Day Reports with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

NOW THEREFORE, in consideration of the submission of the Five Day Reports and the Fourteen Day Reports on April 18, 2018 by the filer, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not timely file Five Day Reports or Fourteen Day Reports in regard to the receptions held by the employers as required by Md. Code. Ann., Gen'l Prov., §5-709 (Supp. 2017).

2. The filer reported his delinquency to the Commission on April 18, 2018, and subsequently filed his Five Day Reports and Fourteen Day Reports. (Copies of the forms have been attached hereto and made a part thereof.)

3. The filer understands that failure to comply with the lobbying disclosure provisions of the Public Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of SEVEN HUNDRED FIFTY and no/100 DOLLARS (\$750.00) at the time of the execution of this Agreement in lieu of potential late fees and in lieu of civil fines for the above admitted late Five Day Reports and Fourteen Day Reports. (Paragraph 1).

5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings for his failure to timely file. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.

6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Public Ethics law, and will be public information unless otherwise protected.

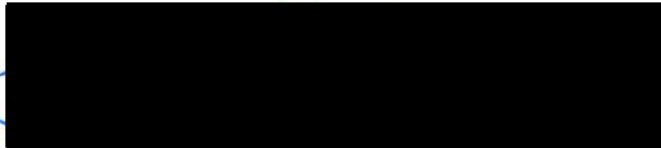
7. The filer understands that copies of this Agreement will be mailed to his employers.

8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of the Five Day Reports and the Fourteen Day Reports and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Dennis F. Rasmussen, lobbyist, and William J. Colquhoun, Staff Counsel, State Ethics Commission, have hereunto set their hands.



William J. Colquhoun, Staff Counsel
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
(410) 260-7770



Dennis F. Rasmussen
Lobbyist for
Maryland Mortgage Bankers and Brokers
Association/EPIC Pharmacies, Inc.

State of Maryland
County of: Baltimore, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Dennis F. Rasmussen, who made oath on this 3rd day of May, 2018 in due form of law that the matters and facts hereinabove set forth are true to the best of his knowledge, information and belief, and are his voluntary act and that he executed this Agreement for the purposes set forth herein.



Notary Public

My Commission Expires: July 18, 2020

State of Maryland
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 7th day of May, 2018 in due form of law that he executed this Agreement for the purposes set forth herein.

[Redacted Signature]

Notary Public

My Commission Expires: 6-20-21



Accepted by the Commission

[Redacted Signature]

Kim L. Coble, Acting Chair
for the Commission

Date: June 21, 2018

MARYLAND STATE ETHICS COMMISSION

45 Calvert Street, 3rd Floor
Annapolis, MD 21401
410-260-7770 / 1-877-669-6085
<http://ethics.maryland.gov>

RECEIVED
APR 18 2018
STATE ETHICS COMMISSION

REGULATED LOBBYIST MEAL OR RECEPTION
LEGISLATIVE UNIT – FOURTEEN DAY REPORT (Form 13F)

Regulated Lobbyists must file this report directly with the State Ethics Commission within 14 days after an event to which all members of the General Assembly, either house thereof, all members of any standing committee or all members of a formally designated regional (for ethics disclosure purposes) county or regional delegation received written invitations. If all of the required reporting information is not available, the regulated lobbyist should describe the nature of the event and estimate any expense not known. If this report is a full and accurate accounting of the activity, the regulated lobbyist is not to report it on the regular Lobbying Activity Report (Form 4). If this report does not include a full and accurate accounting, the event must be reported on the regular Lobbying Activity Report.

A. Name of Regulated Lobbyist or Entity (If There Is NO Individual Lobbyist):
Dennis F. Rasmussen - The Rasmussen Group LLC
Name of Employer or Employers Supporting the Event:

Maryland Mortgage Bankers and
Brokers Association (MAMBBA)

B. List on the lines below the date and location of the event, the group of invitees (legislative units) and the total expenses for the event. If there was more than one sponsor, list the dollar share of each sponsor.

2/7/2018 - Harry Browne's Rest. - Annapolis

Invitees: Senate Finance Committee

Expenses: \$1,243.05

C. Is this a final full and accurate report or does it contain estimates?
 Final Estimates

D. If the expenses in Section B above are estimates, describe on the lines below what part of the total expenses are still to be finally determined.

N/A

I solemnly swear or affirm under the penalties of perjury that the contents of this report including any attachments thereto are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: [Redacted]

Date:

April 13, 2018
13 day of April, 2018

[SEAL]

Sworn to before me this

Signature of Notary Public:

Printed/typed Name of Notary Public:

My Commission Expires:

Dennis H. Parkinson
7/18/2020

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A. Name of Regulated Lobbyist or Entity (If There Is NO Individual Lobbyist):
Dennis F. Rasmussen - The Rasmussen Group LLC
Name of Employer or Employers Supporting the Event:
Maryland Mortgage Bankers and
Brokers Association (mmbba)

B. List on the lines below the date and location of the event, the group of invitees (legislative units) and the total expenses for the event. If there was more than one sponsor, list the dollar share of each sponsor.
2/13/2018 - Harry Browne's Rest. - Annapolis
Invitees: House Economic Matters Committee
Expenses: \$ 2,162.62

C. Is this a final full and accurate report or does it contain estimates?
 Final Estimates

D. If the expenses in Section B above are estimates, describe on the lines below what part of the total expenses are still to be finally determined.
N/A

I solemnly swear or affirm under the penalties of perjury that the contents of this report including any attachments thereto are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: _____

Date: _____

April 13, 2018
13 day of April 2018

[SEAL]

Sworn to before me this
Signature of Notary Public: _____

Printed/typed Name of Notary Public:
My Commission Expires: _____

Dennis H. Parkinson
7/18/2020

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A. Name of Regulated Lobbyist or Entity (If There Is NO Individual Lobbyist):
Dennis F. Rasmussen - The Rasmussen Group, LLC
Name of Employer or Employers Supporting the Event:

EPTC Pharmacies, Inc

B. List on the lines below the date and location of the event, the group of invitees (legislative units) and the total expenses for the event. If there was more than one sponsor, list the dollar share of each sponsor.

2/14/2018 - Harry Browne's Rest. - Annapolis
Invitees: Senate Finance Committee
Expenses: \$1,498.23

C. Is this a final full and accurate report or does it contain estimates?
 Final Estimates

D. If the expenses in Section B above are estimates, describe on the lines below what part of the total expenses are still to be finally determined.

N/A

I solemnly swear or affirm under the penalties of perjury that the contents of this report including any attachments thereto are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: 

Date:

April 13, 2018

[SEAL]

Sworn to before me this

13, day of April, 2018

Signature of Notary Public:

Dennis H. Parkinson

Printed/typed Name of Notary Public:

Dennis H. Parkinson

My Commission Expires:

7/18/2020

MARYLAND STATE ETHICS COMMISSION
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
410-260-7770 / 1-877-669-6085
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received
April 18 2014
see
Jmc

**REGULATED LOBBYIST MEAL OR RECEPTION
LEGISLATIVE UNIT – FOURTEEN DAY REPORT (Form 13F)**

Regulated Lobbyists must file this report directly with the State Ethics Commission within 14 days after an event to which all members of the General Assembly, either house thereof, all members of any standing committee or all members of a formally designated regional (for ethics disclosure purposes) county or regional delegation received written invitations. If all of the required reporting information is not available, the regulated lobbyist should describe the nature of the event and estimate any expense not known. If this report is a full and accurate accounting of the activity, the regulated lobbyist is not to report it on the regular Lobbying Activity Report (Form 4). If this report does not include a full and accurate accounting, the event must be reported on the regular Lobbying Activity Report.

A. Name of Regulated Lobbyist or Entity (If There Is NO Individual Lobbyist):
Dennis F. Rasmussen - The Rasmussen Group LLC
Name of Employer or Employers Supporting the Event:

EPIC Pharmacies, Inc.

B. List on the lines below the date and location of the event, the group of invitees (legislative units) and the total expenses for the event. If there was more than one sponsor, list the dollar share of each sponsor.

2/20/2018 - Harry Browne's Restaurant - Annapolis
Invitees: House Health & Government Operations Committee

Expenses: \$1,756.17

C. Is this a final full and accurate report or does it contain estimates?
 Final Estimates

D. If the expenses in Section B above are estimates, describe on the lines below what part of the total expenses are still to be finally determined.

N/A

I solemnly swear or affirm under the penalties of perjury that the contents of this report including any attachments thereto are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: [Redacted]

Date: April 13, 2018

[SEAL]

Sworn to before me this 13 day of April, 2018

Signature of Notary Public: [Redacted]

Printed/typed Name of Notary Public: Dennis H. Parkinson

My Commission Expires: 7/18/2020