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STATE ETHICS  
COMMISSION

LOBBYIST FILING AGREEMENT

This Agreement, made this 15<sup>th</sup> day of November, 2010, by and between Christina Osborne, lobbyist for M&T Bank, and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Christina Osborne ("the filer") is an individual whose lobbying activities on behalf of M&T Bank ("the employer") during the period of November 1, 2007 to October 31, 2008 required her to register as a lobbyist with the State Ethics Commission.

The filer did not timely register on behalf of the employer as required by the Md. Code. Ann., State Gov't. Art., §15-703(d)(1)(Repl. Vol. 2009). The filer was required to register pursuant to §15-701(a)(2) of the Ethics Law, which provides that a person who communicates with any official or employee in the executive branch for the purpose of influencing executive action during the reporting period on one or more officials or employees of the executive branch for meals, beverages, special events or gifts in connection with or for the purpose of influencing executive action is required to register with the Commission.<sup>1</sup>

The filer subsequently registered on February 1, 2010 for the period of November 1, 2007 to October 31, 2008. The filer also submitted an activity report for the period of November 1, 2007 through April 30, 2008 and an activity report for the period of May 1, 2008 through October 31, 2008.

The filer is voluntarily entering into this agreement to admit her failure to timely file a lobbyist registration and two activity reports with the Commission, and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to Section 15-405 of the Ethics Law.

**NOW THEREFORE**, in consideration of the submission of the required lobbyist registration form and activity reports by the filer on February 1, 2010, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not timely file a lobbyist registration form on behalf of M&T Bank for the period of November 1, 2007 to October 31, 2008 as required by §15-703(d)(1) of the Ethics Law.

2. The filer did not timely file activity reports on behalf of M&T Bank for the period of November 1, 2007 through April 30, 2008 and for the period of May 1, 2008 through October 31,

<sup>1</sup> The filer provided tickets and other gifts to six (6) Injured Workers' Insurance Fund (IWIF) employees. The IWIF employees were prohibited from receiving the tickets and other gifts pursuant to §15-505 of the Ethics Law. The IWIF employees have since resolved those matters by way of public agreements available for review on the Commission's website.

2008 as required by §15-704(a) of the Ethics Law.

3. The filer reported her delinquency to the Commission on September 24, 2009, and subsequently filed her registration form and activity reports (copies of which have been attached hereto and made a part thereof) on September 24, 2009.

4. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject her to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

5. Based on the facts stated in this agreement, the filer agrees to pay as settlement of the late filing matter, the amount of **FIVE HUNDRED DOLLARS** (\$500.00) at the time of the execution of this agreement in lieu of potential late fees and civil fines for the above admitted late registration statement (paragraph 1).

6. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on her failure to timely register. The filer understands that the Commission is under no obligation to accept this agreement and may decide to take other action, including issuing a complaint.

7. The filer waives a formal hearing on this matter (if the agreement is accepted by the Commission) to which she would be entitled if a complaint were issued, and she understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.

8. The filer understands a copy of this agreement will be mailed to her employer.

9. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

**IN WITNESS WHEREOF**, Christina Osborne and Staff Counsel, State Ethics Commission, have hereunto set their hands.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun, Staff Counsel  
State Ethics Commission  
45 Calvert Street, 3<sup>rd</sup> Floor  
Annapolis, MD 21401  
(410) 260-7770

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Christina Osborne  
Lobbyist  
M&T Bank

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Timothy F. Maloney, Esq.  
Joseph, Greenwald & Laake, P.A.  
6404 Ivy Lane, Suite 400  
Greenbelt, Maryland 20770  
Counsel for M&T Bank

State of Maryland  
County of: ANNE ARUNDEL, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared CHRISTINA OSBORNE, who made oath on this 10<sup>th</sup> day of NOVEMBER, 2010 in due form of law that the matters and facts hereinabove set forth are true to the best of her knowledge, information and belief, and are her voluntary act and that she executed this document for the purpose set forth herein.

Joan McCoy  
Notary Public  
My Commission Expires  
January 8, 2012  
My Commission Expires: 1/08/2012

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

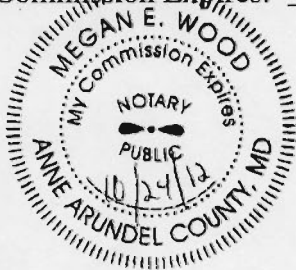
State of Maryland,  
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared WILLIAM J. COLQUHOUN, Staff Counsel to the State Ethics Commission, who made oath on this 18 day of November, 2010 in due form of law that he executed this agreement for the purposes set forth herein.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires: 10/24/12



Accepted by the Commission

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert F. Scholz, Chair  
for the Commission

Date: 11/19/10, 2010