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**LOBBYIST FILING AGREEMENT**

This Agreement, made this 1st day of September, 2006, by and between Maryland Library Association, and Jill B. Martin, Esquire, who has been designated Staff Counsel for this matter by the Maryland State Ethics Commission (hereinafter the Staff Counsel).

**RECITALS**

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program;

Maryland Library Association (hereinafter the "organization") is an organization which had lobbying activities during the period of November 1, 2005 through October 31, 2006;

On February 1, 2006, the organization sponsored a special event for all members of the General Assembly at the Miller Senate Office Building.

On June 14, 2006, the organization submitted a lobbying activity report for the period November 1, 2005 through April 30, 2006; the organization reported expenditures of \$5,625.39 for the special event held on February 1, 2006.

The organization did not file the required Form 13E ("Five Day Notice") within 5 days of the special event or the required 13F ("Fourteen Day Report") for the special event within 14 days of the event, as required by the State Government Article, Section 15-708(d)(1) of the Ethics Law.

The organization subsequently filed a Fourteen Day Report for the event on July 3, 2006. The organization reported \$5,625.39 in total cost for the event.

The organization was not timely registered for the period of November 1, 2005 to October 31, 2006 as a non-exempt employer;

The organization subsequently registered on July 3, 2006 for the period November 1, 2005 to October 31, 2006;

The organization is voluntarily entering into this agreement to admit its failure to timely file a lobbyist registration and a fourteen day report with the Commission and to pay a sum of money in lieu of potential late filing fees pursuant to Section 15-405(d)(1) of the Ethics Law;

**NOW THEREFORE**, in consideration of the submission of the required lobbyist registration form and the fourteen day report by the organization on July 3, 2006 and the admissions and agreements herein contained, the filer and the Staff Counsel stipulate and agree as follows:

1. The organization did not timely file the organization lobbyist registration form for the period November 1, 2005 through October 31, 2006 as required by §15-703(d)(1) of the Ethics Law or the fourteen day report as required by §15-708(d)(1).

2. The organization reported the delinquency to the Commission on July 3, 2006 by filing the

registration form and the fourteen day report (copies of which have been attached hereto and made a part thereof).

3. The organization understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject the organization to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this agreement the organization agrees to pay as settlement of the late filing matter, the amount of Two Hundred and Fifty Dollars (\$250.00) at the time of the execution of this agreement in lieu of potential late fees for the above admitted late registration statement and fourteen day report (paragraph 1).

5. The Staff Counsel will upon execution of this Agreement by the organization recommend that the Commission not institute any proceedings on the organization's failure to timely register and report. The organization understands that the Commission is under no obligation to accept this agreement and may decide to take other action, including issuing a complaint.

6. The organization waives a formal hearing on this matter (if the agreement is accepted by the Commission) to which it would be entitled if a complaint were issued, and understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.

7. The organization and Staff Counsel have entered into this Agreement for the sole purpose of receiving the late submission of a lobbyist registration statement and fourteen day report and for the payment of late fees and for no other purpose.

IN WITNESS WHEREOF, Margaret Carty, representing Maryland Library Association, and Jill B. Martin, Staff Counsel, State Ethics Commission, have hereunto set their hands.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Jill B. Martin, Designated Staff Counsel  
State Ethics Commission  
45 Calvert Street, 3<sup>rd</sup> Floor  
Annapolis, Maryland 21401  
(410) 260-7770

Maryland Library Association

By: SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Margaret Carty  
(Printed Name)  
Executive Director  
(Title)

-----  
State of Maryland,  
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Margaret Cart, who acknowledged herself or himself to be the Executive Director of Maryland Library Association and that he or she as such Executive Director (officer) and being authorized to do so, executed this document for the purpose set forth herein on behalf of Maryland Library Association on this 1st day of September 2006. In witness whereof I hereunto set my hand and seal.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires: 1 October 2010

-----  
State of Maryland,  
County of: Baltimore, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Jill B. Martin, Staff Counsel to the State Ethics Commission, who made oath on this 12<sup>th</sup> day of September, 2006 in due form of law that she executed this agreement for the purposes set forth herein.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires: 12/11/06

Accepted by the Commission

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Julian L. Lapidés, Chairman  
for the Commission

Date: 9/21, 2006

MARYLAND STATE ETHICS COMMISSION

45 Calvert Street, 3<sup>rd</sup> Floor  
Annapolis, MD 21401  
410-260-7770 / Toll Free 1-877-669-6085

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ST#3 ETHICS COMMISSION

LOBBYING REGISTRATION FORM (Form #3)

PART A. GENERAL INFORMATION

Type of Registration (Check all appropriate blanks)

Legislative Action Lobbyist

Executive Action Lobbyist

Grass Roots Lobbyist

Non-Exempt Employer

Primary Purpose of Organization

Is the employer organization, or the registered organization if there is no employer, organized and operated for the primary purpose of attempting to influence any legislative or executive action? Yes  No

Enclose the required registration fee of \$50 made payable to the State of Maryland.

CHECK OR MONEY ORDER NO. 2590

PART B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST

I. Identifying information (complete all blanks):

a) Name Maryland Library Association

b) Permanent address (Include firm name if applicable) \_\_\_\_\_

1401 Hollins St.

Baltimore, MD 21223

c) Business telephone (410) 949-5090 Do you want number on published lobbyist list? Yes  No   
Cell phone (optional) \_\_\_\_\_

d) Occupation or type of business (required) Professional Association

e) E-Mail address (required) mla@mdlib.org

II. Identification of others required to register:

a) Will any person be required to register as a lobbyist on behalf of the person or organization identified in section I? Yes  No

b) If the answer to a) is "yes," identify each such person below and give their name and address:

\_\_\_\_\_  
\_\_\_\_\_

III. Identification of employer (complete only if registrant/regulated lobbyist acts on behalf of another; also have the employer complete Part D):

a) Identify all persons or organizations who compensate the registrant/regulated lobbyist for activities requiring this registration:

Name \_\_\_\_\_

Permanent address: \_\_\_\_\_

\_\_\_\_\_

Business telephone ( ) \_\_\_\_\_

Nature of Business \_\_\_\_\_

b) Identify any other person whom the registrant/regulated lobbyist will represent regarding the matters identified in this registration (if none, put in none) \_\_\_\_\_

\_\_\_\_\_

IV. Registration Information:

- a) State the period (include both beginning and ending month, day and year) for which this registration is effective: November 1, 2005 to October 31, 2006
- b) Identify the matters (including bill number if known) on which the registrant/regulated lobbyist expects to act or employ someone to act during the registration period work toward keeping state aid viable for public libraries

PART C. REGISTRANT'S/REGULATED LOBBYIST'S SIGNATURE

Margaret Kelly 6/25/06  
 Name Date

PART D. AUTHORIZATION TO ACT (to be completed by each person identified in Part B (III) )

I. Authorization

I hereby certify that the information contained herein is correct and that \_\_\_\_\_ is hereby authorized to act on behalf of \_\_\_\_\_ for the period set forth in Part B, section IV(a) and as to the matters set forth in Part B, section IV (b) herein unless this authority is terminated sooner.

II. Exemption Status of Employer (check one, either (a), (b) or (c))

- a)  The employer does claim an exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by the above registrant/regulated lobbyist or other registrants/regulated lobbyists acting on its behalf, and the employer engages in no other lobbying activity that requires it to register and report.
- b)  The employer does not claim an exemption from filing its own registration and activity reports. The above registrant/regulated lobbyist will report only expenditures and compensation regarding the above registrant's /regulated lobbyist's activity. A separate registration will be submitted by the employer listed in Part B(III).
- c)  The employer does not claim an exemption from filing its own registration and reporting requirements based on the activities of the above registrant/regulated lobbyist. The above registrant/regulated lobbyist will report only expenditures and compensation regarding the above registrant's/regulated lobbyist's activity. However, the employer does claim an exemption from filing its own registration and reporting because another regulated lobbyist or lobbyists will report any other expenditures of the employer, and the employer will engage in no other activity that would require it to register or report.

\_\_\_\_\_  
 Employer's Signature Date

\_\_\_\_\_  
 Signature of Person Identified in Part B, Section III (b) Date

\_\_\_\_\_  
 Employer's Printed or Typed Signature



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45 Calvert Street, 3rd Floor  
Annapolis, MD 21401  
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STATE ETHICS COMMISSION

**REGULATED LOBBYIST MEAL OR RECEPTION  
LEGISLATIVE UNIT – FOURTEEN DAY REPORT (Form #13F)**

Regulated Lobbyists must file this report directly with the State Ethics Commission within 14 days after an event to which all members of the General Assembly, either house thereof, all members of any standing committee or all members of a formally designated regional (for ethics disclosure purposes) county or regional delegation received written invitations. If all of the required reporting information is not available, the regulated lobbyist should describe the nature of the event and estimate any expense not known. If this report is a full and accurate accounting of the activity, the regulated lobbyist is not to report it on the regular Lobbying Activity Report (Form #4). If this report does not include a full and accurate accounting, the event must be reported on the regular Lobbying Activity Report.

A. Name of Regulated Lobbyist or Entity (If There Is NO Individual Lobbyist):

Maryland Library Association  
Name of Employer or Employers Supporting the Event:  
Maryland Library Association

B. List on the lines below the date and location of the event, the group of invitees (legislative units) and the total expenses for the event. If there was more than one sponsor, list the dollar share of each sponsor.

Wednesday February 1, 2006  
President's Conference Center, Miller Senate Building, Annapolis  
State Legislative & Executive branches of state  
government, Fed. gift \$5,645.39  
to include the entire assembly.

C. Is this a final full and accurate report or does it contain estimates?

Final  Estimates

D. If the expenses in Section B above are estimates, describe on the lines below what part of the total expenses are still to be finally determined.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I solemnly swear or affirm under the penalties of perjury that the contents of this report including any attachments thereto, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: [Signature]

Date: \_\_\_\_\_

[SEAL]

Sworn to before me this

Signature of Notary Public:

Printed/typed Name of Notary Public:

My Commission Expires:

SIGNATURE APPEARS ON  
ORIGINAL AGREEMENT

ALLISON JANE FARRAR  
APRIL 3 2007