

LOBBYIST FILING AGREEMENT

This Agreement, made this ~~17~~ ^{November} day of ~~October~~, 2006, by and between Marvin Mandel, counsel for Insurance Agents Association and Jill B. Martin, Esquire, who has been designated Staff Counsel for this matter by the Maryland State Ethics Commission (hereinafter the Staff Counsel).

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Marvin Mandel ("the filer") is an attorney who represents the ~~Licensed Beverage Distributors~~ ^{Insurance Agents Assn.}. The filer did not register for the Insurance Agents Association ("the employer") under State Gov. Md. Code Ann., § 15-703(d)(1)(Supp. 2005) for the period ending October 31, 2006.

The filer subsequently registered on ~~October 6~~ ^{November 17}, 2006 for the period ending on October 31, 2006. The filer is voluntarily entering into this agreement to file a late registration and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 15-405 of the Ethics Law. This agreement does not constitute any admission by filer or finding by the Commission that filer has engaged in a knowing or willful violation of the State Ethics Law, Title 15, Section 701, et. seq., Md. Annotated Code.

The filer has been represented by counsel, Timothy F. Maloney, Esquire, for the purpose of entering into this Agreement.

NOW THEREFORE, in consideration of the submission of the required lobbyist registration form by the filer on ~~August 17~~ ^{November} 2006, and the admissions and agreements herein contained, the filer and the Staff Counsel stipulate and agree as follows:

1. The filer has not previously filed his lobbyist registration form on behalf of Insurance Agents Association for the period for the Period 11/1/05 to 10/31/06.
2. The filer submitted his registration form on ~~September~~ ^{November} 17, 2006 (a copy of which has been attached hereto and made a part thereof).
3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law could potentially subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this agreement the filer agrees to pay as settlement of the late filing matter, the amount of Two Hundred Fifty Dollars (\$250.00) at the time of the execution of this agreement in lieu of a potential late fee and in lieu of civil fines for the above admitted late registration statement (paragraph 1).

5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this agreement and may decide to take other action, including issuing a complaint.

6. The filer waives a formal hearing on this matter (if the agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he agrees that this agreement may be released to the public but all other information shall remain confidential unless determined otherwise by a court of competent jurisdiction.

7. The filer understands a copy of this agreement will be mailed to his employer.

8. The filer and Staff Counsel have entered into this Agreement for the sole purpose of receiving the late submission of a lobbyist registration statement and for the payment of late fees and for no other purpose.

IN WITNESS WHEREOF, Marvin Mandel and Jill B. Martin, Staff Counsel, State Ethics Commission, have hereunto set their hands.

SIGNATURE APPEARS ON
ORIGINAL AGREEMENT

Jill Martin, Staff Counsel
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
(410) 260-7770

SIGNATURE APPEARS ON
ORIGINAL AGREEMENT

Marvin Mandel
Counsel
Insurance Agents Association

SIGNATURE APPEARS ON
ORIGINAL AGREEMENT

Timothy F. Maloney
Joseph, Greenwald & Laake, P.A.
6404 Ivy Lane, Suite 400
Greenbelt, MD 20770
Attorney for Marvin Mandel

State of Maryland :
County of: Anne Arundel : to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Marvin Mandel who made oath this 07 day of November, 2006 in due form of law that the matters and facts hereinabove set forth are true to the best of his knowledge, information and belief, and are his voluntary act and that he executed this document for the purpose set forth herein.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires: 12/01/06

State of Maryland :
County of: Baltimore : to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Jill B. Martin, Staff Counsel who made oath this 17th day of November, 2006 in due form of law that she executed this document for the purpose set forth herein.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires: 8/01/10



Accepted by the Commission
SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert Scholz, Chairman for the Commission

Date: _____, 2006