

## LOBBYIST FILING AGREEMENT

This Agreement, made this 19 day of March, 2019, by and between Patients for Affordable Drugs Now, and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECEIVED  
MAR 26 2019  
STATE ETHICS COMMISSION

### RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland (hereinafter the "Ethics Law")) including the provisions of Subtitle 7, the lobbying disclosure program.

Patients for Affordable Drugs Now ("the filer"), is an entity whose lobbying activities for the period of January 30, 2019 to June 30, 2019, required it to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code. Ann., Gen. Prov. Art., § 5-704(d)(1)(Supp. 2018).

The filer subsequently registered on March 8, 2019, for the period of January 30, 2019 to June 30, 2019.

The filer is voluntarily entering into this Agreement to admit its failure to timely file a lobbyist registration with the Commission, and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

**NOW THEREFORE**, in consideration of the submission of the required lobbyist registration form by the filer on March 8, 2019, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not timely file its lobbyist registration form on behalf of the employer for the period of January 30, 2019 to June 30, 2019, as required by § 5-704(d)(1) of the Ethics Law.
2. The filer reported its delinquency to the Commission on March 8, 2019, and filed its registration form (a copy of which has been attached hereto and made a part thereof) the same day.
3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject it to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of ONE HUNDRED and no/100 DOLLARS (\$100.00) at the time of the execution of this Agreement in lieu of potential late fees and in lieu of civil fines for the above admitted late registration statement (Paragraph 1).

5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on its failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.


6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which it would be entitled if a complaint were issued, and it understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law, and will be public information unless otherwise protected.

7. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

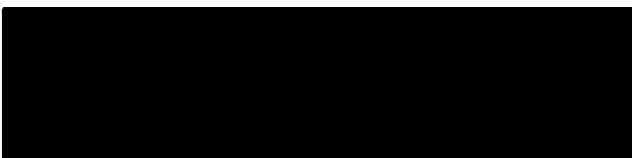
**IN WITNESS WHEREOF**, Ben Wakana, Executive Director, on behalf of Patients for Affordable Drugs Now, and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.



William J. Colquhoun, Staff Counsel  
State Ethics Commission  
45 Calvert Street, 3<sup>rd</sup> Floor  
Annapolis, MD 21401  
(410) 260-7770



Ben Wakana, Executive Director, on behalf  
of Patients for Affordable Drugs Now



Christina Bustos, Esquire  
Sandler Reiff Lamb Rosenstein &  
Birkenstock, P.C.  
1090 Vermont Avenue, NW, Suite 750  
Washington, DC 20005  
(202) 479-2111

DISTRICT OF COLUMBIA: ss  
SUBSCRIBED AND SWORN TO BEFORE ME  
THIS 19 DAY OF March, 2019.

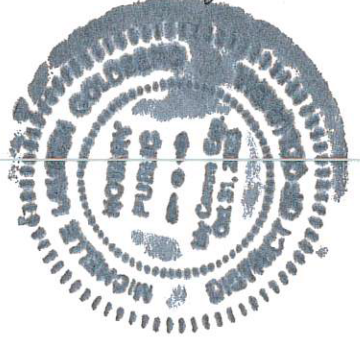
State of ~~Maryland~~ District of Columbia  
County of: \_\_\_\_\_, to wit:

NOTARY PUBLIC  
My Commission Expires Oct. 31, 2023

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Ben Wakana, and acknowledged that he was an individual authorized to execute this document on this 19 day of March, 2019, on behalf of Patients for Affordable Drugs Now, and that he executed this document for the purpose set forth herein on behalf of Patients for Affordable Drugs Now.

[Redacted Signature]  
Notary Public

My Commission Expires: Oct. 31, 2023

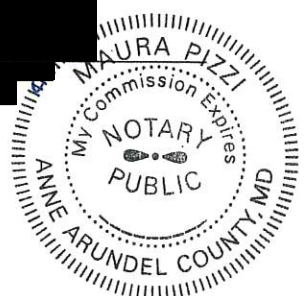


State of Maryland,  
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 26 day of March, 2019, in due form of law that he executed this Agreement for the purposes set forth herein.

[Redacted Signature]  
Notary Public

My Commission Expires: June 20, 2021



Accepted by the Commission

[Redacted Signature]

Janet E. McHugh, Chair  
for the Commission

Date: April 18, 2019

**PART A. GENERAL INFORMATION**

What Type of registration are you seeking?

Legislative Action Lobbyist \_\_\_\_\_

Grass Roots Lobbyist   x  

Executive Action Lobbyist \_\_\_\_\_

Non-exempt employer \_\_\_\_\_

Primary purpose of Organization

Is the employer or registrant (If there is no employer) organized and operated for the primary purpose of attempting to influence any legislation or executive action?

Yes \_\_\_\_\_ No   x  

Credit Card Authorization #:   30212472  

**PART B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST**

**1. Identifying Information**

a) Name of Registrant/Regulated Lobbyist:

b) Firm Name:   Patients for Affordable Drug Now  

Permanent Address:

  1875 K Street NW    
  Washington, DC 20006  

c) Business telephone:   202-734-7555  

Cell phone: \_\_\_\_\_

Do you want your telephone number on the published lobbyist list?

Yes \_\_\_\_\_ No   x  

**2. Identification Of Others Required To Register**

a) Will any other person be required to register as a lobbyist on behalf of the person or the organization identified in Part B1 (a)?

Yes \_\_\_\_\_ No   x  

b) If the answer to a) is "Yes", identify each such person below and provide his/her name and address?

**3. Identification Of Employer**

a) Name of persons or organizations that compensates the registrant for activities requiring this registration.

  Patients for Affordable Drugs Now  

Permanent Address:

  1875 K St NW    
  Floor 4    
  Washington, DC 20006  

Business Telephone:   202-734-7555  

Nature of business:   Patient Advocacy  

b) If, in the course of representing the employer identified in Part B.3(a), will you also be representing other entities for which the registrant is not required to file separate registrations?

Yes \_\_\_\_\_ No   x  

**4. Registration Information**

a) State the period (include both beginning and ending month, day, and year) for which this registration is effective:

  January 30, 2019 to June 30, 2019  

b) Identify the matters on which the registrant expects to act or employ someone to act during the registration period:

  HEALTH CARE    
  Health Care Reform    
  PATIENTS    
  PHARMACEUTICALS    
  PRESCRIPTION DRUGS

**PART C. REGISTRANT'S CERTIFICATION**

**1. Certification of Training Compliance:**

I hereby certify by checking one of the two options below that I am in compliance with the mandatory training requirements of §5-704.1 of the Public Ethics Law:

I am current in my training status. Date of most recent training:

I have not yet been a regulated lobbyist for 6 months but will complete training prior to that time, or if my initial registration is for a period less than 6 months, I will complete training before any subsequent registration.

**2. Certification of Authorization to Lobby:**

I am authorized to act on behalf of the employer/entity identified in Part B.3(a) (and Part B.3(b), if any) for the period set forth in Part B.4(a) and as to the matters set forth in Part B.4(b) herein unless this authority is terminated sooner. This authorization has been granted to me by (identity of official granting authorization):

Name and Title: Ben Wakana, Executive Director

Address: 1875 K Street NW, Floor 4 Washington, DC 20006

Telephone: 202-734-7555 E-mail: lucy@p4adnow.org

**PART D. EXEMPTION STATUS OF EMPLOYER**

**An employer who compensates one or more regulated lobbyists is required to separately register as a lobbyist, UNLESS all expenditures requiring registration will be filed by one or more of the regulated lobbyists compensated by the employer. Please indicate status below (ONLY CHECK ONE)**

- a)  The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant.
- b)  The employer does not claim an exemption from filing its own registration and activity report because the registrant will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.

I hereby make oath or affirm under the penalties of perjury that the contents of this registration are complete, true and correct to the best of my knowledge, information and belief and that I am authorized to engage in lobbying for the employer set forth above in Part B.3.