# LOBBYIST FILING AGREEMENT

This Agreement, made this day of Warel, 2020, by and between John M. Kane, lobbyist for Suburban Maryland Transportation Alliance, and William J. Colquboun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

## RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

John M. Kane ("the filer"), is an individual whose lobbying activities on behalf of Suburban Maryland Transportation Alliance ("the employer") for the period November 1, 2019 to October 31, 2020, required him to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code Ann., Gen'l Prov. Art., § 5-704(d)(1)(Supp. 2019).

The filer subsequently registered on March 8, 2020<sup>1</sup>, for the period of November 1, 2019 to October 31, 2020.

The filer is entering voluntarily into this Agreement to admit his failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

NOW THEREFORE, in consideration of the submission of the required lobbyist registration form by the filer on March 8, 2020, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

- 1. The filer did not timely file his lobbyist registration form on behalf of the employer for the period of November 1, 2019 to October 31, 2020, as required by § 5-704(d)(1) of the Ethics Law.
- 2. The filer reported his delinquency to the Commission on March 8, 2020, and filed his registration form (a copy of which has been attached hereto and made a part thereof) on the same day.
- 3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

<sup>&</sup>lt;sup>1</sup> The filer also registered the same day for Citizens 4 Traffic Relief. The filer has represented that Citizens 4 Traffic Relief is not compensating him or expending sufficient monies that would require it to register.

- 4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of ONE HUNDRED and no/100 DOLLARS (\$100.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (Paragraph 1).
- 5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
- 6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law, and will be public information unless otherwise protected.
- 7. The filer understands that a copy of this Agreement will be mailed to the employer.
- 8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, John M. Kane, lobbyist, and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.

William J. Colquhoun, Staff Counsel State Ethics Commission 45 Calvert Street, 3<sup>rd</sup> Floor Annapolis, MD 21401 (410) 260-7770

John M. Kane Lobbyist for Suburban Maryland Transportation Alliance

State of Maryland	
County of:	, to wit:
Before me, the under personally appeared John M	rsigned, a Notary Public in and for the State and County aforesaid, Kane, who made oath on this day of,
2020 in due form of law that	the matters and facts hereinabove set forth are true to the best of his
knowledge, information, and	belief, and are his voluntary act and that he executed this document
for the purpose set forth herei	in.
	Notary Public
My Commission Expires:	
State of Maryland,	
County of:	to wit:
executed this Agreement for t	day of, 2020, in due form of law that he the purposes set forth herein.  Notary Public
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My Commission Expires:	· · · · · · · · · · · · · · · · · · ·
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	Accepted by the Commission
	Janet E/McHugh, Chair
( 4	for the Commission
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Form ID: R003770 Submission Date: 03/08/20

### **Registrant Information**

Individual Registrant

Name of Registrant: John M Kane Business Phone: 301-343-1970

Organization: Aton Strategies LLC Cell Phone: 301-343-1970

Address: 201 Defense Highway, Annapolis, MD, 21401

1. Are there any other individuals who are required to register on behalf of the registrant: John M Kane?

Note: These individuals will still be required to submit their own registrations.

No

2. Are you in compliance with the mandatory training requirements of the Public Ethics Law?

Yes, I certify that I am current in my training status.

Date of most recent training:

06/09/19

### **Employer Information**

1. Identify the employer that compensates the registrant for lobbying activities under this registration.

#### **Employer of Registrant**

Organization: Suburban Maryland Transportation Alliance

Website: www.mdtransportation.org

Nature of Business: Transportation advocacy

Phone: 301-807-1075

Address: 9841 Broken Land Parkway, Columbia, MD, 21046

2. Does your employer claim an exemption from filing its own registration and activity reports?

Yes

3. Is the employer organized for the primary purpose of attempting to influence any legislation or executive action?

Yes

4. In the course of representing this employer, will you also be representing other entities from which you will not be receiving compensation and are not required to register on their behalf?

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No

## **Lobbying Period & Purpose**

1. Within a lobbying year, state the period for which this registration is effective. Include both a start and an end date.

Registration Period:

Entire Lobbying Year: 11/1/2019-10/31/2020

2. What type of lobbying registration are you seeking?

Legislative Action, Grassroots

3. Identify the subject matters on which the registrant expects to act, or employ someone to act during the registration period. You must identify at least one subject matter and you may select up to five.

#	Subject Matter	Description
1	Transportation -	provide expert analysis, public education and ongoing advocacy for an efficient, safe and balanced transportation network in Suburban Maryland

### **Authorization to Lobby**

The registrant is authorized to act on behalf of the employer identified above, for the period specified and as to the matters selected herein unless this authority is terminated sooner. This authorization has been granted by the representative disclosed below:

Name of Authorizer: Emmet Tydings Title: Chair

Email: etydings@abttelecom.com Phone: 301-807-1075

Address: 9841 Broken Land Parkway, Columbia, MD, 21046

#### **Affirmation Provision**

I hereby make oath or affirm under the penalties of perjury that I am authorized to engage in lobbying activity on behalf of the employer: Suburban Maryland Transportation Alliance, for the period specified and as to the matters selected herein, unless this authority is terminated sooner. This authorization has been granted to me by the representative: Emmet Tydings. I acknowledge that my electronic signature subjects me to the penalties of perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths, and swear that the contents of this registration are complete and accurate to the best of my knowledge and belief.

E-signature: John M Kane 03/08/20