

RECEIVED

AUG 23 2023

LOBBYIST FILING AGREEMENT

STATE ETHICS COMMISSION

This Agreement, made this 23 day of AUGUST, 2023, by and between Amanda Drew Jabin, lobbyist for Maryland Bankers Association, and Katherine P. Thompson, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

Amanda Drew Jabin, ("the filer") is an individual whose lobbying activities on behalf of Maryland Bankers Association ("the employer") for the period of November 1, 2022 to October 31, 2023, required them to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code Ann., Gen. Prov. Art., § 5-704(d)(1)(Supp. 2022).

The filer subsequently registered on August 3, 2023, for the period of November 1, 2022 to October 31, 2023.

The filer was required to submit the Lobbying Activity Report by May 31, 2023, for the period of November 1, 2022 through April 30, 2023, as required by § 5-705(a)(1)(i) of the Ethics Law, and failed to submit the required report in a timely manner.

On August 7, 2023, the filer submitted the delinquent Lobbying Activity Report for the period of November 1, 2022 through April 30, 2023.

On February 1, 2023, Maryland Bankers Association held a reception for the members of the General Assembly.

A lobbyist is required to file a Notice of Invitation within 5 days of a reception and a Post-Event Report for the reception within 14 days of the event, as required by Md. Code Ann., Gen. Prov. Art., § 5-709 (Supp. 2022). The filer did not file either form for the reception on February 1, 2023.

The filer subsequently filed the Notice of Invitation on August 3, 2023, and Post-Event Report on August 7, 2023.

The filer is voluntarily entering into this Agreement to admit their failure to timely file their Lobbying Registration, Lobbying Activity Report, Notice of Invitation, and Post-Event Report with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

NOW THEREFORE, in consideration of the submission of the required Lobbying Registration on August 3, 2023, Lobbying Activity Report on August 7, 2023, Notice of Invitation on August 3, 2023, and Post-Event Report by the filer on August 7, 2023, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not timely file their Lobbying Registration on behalf of the employer for the period of November 1, 2022 to October 31, 2023.
2. The filer did not timely file their Lobbying Activity Report on behalf of the employer for the period of November 1, 2022 through April 30, 2023, as required by § 5-705(a)(1)(i) of the Ethics Law.
3. The filer did not timely file the Notice of Invitation and Post-Event Report in regards to the reception held by the employer as required by Md. Code Ann., Gen. Prov. Art., § 5-709 (Supp. 2022).
4. The filer filed their Lobbying Registration (a copy of which has been attached hereto and made a part thereof) on August 3, 2023, and reported their delinquency to the Commission the same day.
5. The filer filed their Lobbying Activity Report on August 7, 2023 (a copy of which has been attached hereto and made a part thereof).
6. The filer filed their Notice of Invitation on August 3, 2023, and Post-Event Report on August 7, 2023 (a copy of each form has been attached hereto and made a part thereof).
7. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject them to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
8. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of THREE HUNDRED and no/100 DOLLARS (\$300.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late Lobbying Registration, Lobbying Activity Report, Notice of Invitation, and Post-Event Report.
9. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings for their failure to timely file. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
10. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which they would be entitled if a complaint were issued, and they understand that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law, and will be public information unless otherwise protected.

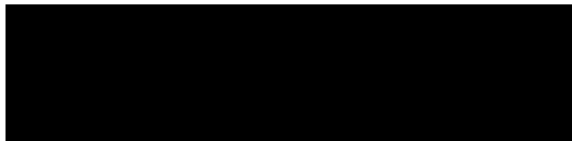
~~11. The filer understands that a copy of this Agreement will be provided to the employer.~~

12. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of the Lobbying Registration, Lobbying Activity Report, Notice of Invitation, and Post-Event Report and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Amanda Drew Jabin, lobbyist, and Katherine P. Thompson, Staff Counsel, State Ethics Commission, have hereunto set their hands.



Katherine P. Thompson, Staff Counsel
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
(410) 260-7770



Amanda Drew Jabin
Lobbyist for Maryland Bankers Association

Accepted by the Commission



Craig D. Roswell, Chair
for the Commission

Date: 9/7, 2023



Lobbying Registration

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: **R016832** Submission Date: **08/03/23**

Registrant Information

Individual Registrant	
Name of Registrant: Amanda Drew Jabin	Business Phone: 410-269-5977
Organization: MD Bankers	Cell Phone: 443-534-2367
Address: 186 Duke of Gloucester, Annapolis, MD, 21401	

1. Are there any other individuals who are required to register on behalf of the registrant: Amanda Drew Jabin?

Note: These individuals will still be required to submit their own registrations.

No

2. Are you in compliance with the mandatory training requirements of the Public Ethics Law?

Yes, I certify that I am current in my training status.

Date of most recent training:

09/13/21

Employer Information

1. Identify the employer that compensates the registrant for lobbying activities under this registration.

Employer of Registrant	
Organization: Maryland Bankers Association	Website: www.mdbankers.com
Nature of Business: Association of financial/commercial institutions including, but not limited to banks and savings associations	Phone: 410-269-5977
Address: 186 Duke of Gloucester St, Annapolis, MD, 21401	

2. Does your employer claim an exemption from filing its own registration and activity reports?

No

3. Is the employer organized for the primary purpose of attempting to influence any legislation or executive action?

No

4. In the course of representing this employer, will you also be representing other entities from which you will not be receiving compensation and are not required to register on their behalf?

No

Lobbying Period & Purpose

1. Within a lobbying year, state the period for which this registration is effective. Include both a start and an end date.

Registration Period:

Entire Lobbying Year: 11/1/2022-10/31/2023

2. What type of lobbying registration are you seeking?

Legislative Action

3. Identify the subject matters on which the registrant expects to act, or employ someone to act during the registration period. You must identify at least one subject matter and you may select up to five.

#	Subject Matter	Description
1	Financial Institutions	

Authorization to Lobby

The registrant is authorized to act on behalf of the employer identified above, for the period specified and as to the matters selected herein unless this authority is terminated sooner. This authorization has been granted by the representative disclosed below:

Name of Authorizer: **Ramon Looby**

Title: **President & CEO**

Email: **looby@mdbankers.com**

Phone: **410-269-5977**

Address: **186 Duke of Gloucester Street, Annapolis, MD, 21401**

Affirmation Provision

I hereby make oath or affirm under the penalties of perjury that I am authorized to engage in lobbying activity on behalf of the employer: Maryland Bankers Association, for the period specified and as to the matters selected herein, unless this authority is terminated sooner. This authorization has been granted to me by the representative: Ramon Looby. I acknowledge that my electronic signature subjects me to the penalties of perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths, and swear that the contents of this registration are complete and accurate to the best of my knowledge and belief.

E-signature: Amanda Drew Jabin 08/03/23



Activity Report

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: **A029227** Submission Date: **08/07/23**

Part A. General Information

Identification of Registrant / Regulated Lobbyist

A1. Identify the registrant that conducted lobbying activities during the reporting period.

Individual Registrant	
Name of Registrant: Amanda Drew Jabin	Business Phone: 410-269-5977
Organization: MD Bankers	Cell Phone: 443-534-2367
Address: 186 Duke of Gloucester, Annapolis, MD, 21401	

A2. Was any other individual required to register as a lobbyist on behalf of the registrant: Amanda Drew Jabin

No

Identification of Employer

A3. Identify the employer that compensated the registrant for lobbying activities during the reporting period.

Employer of Registrant	
Organization: Maryland Bankers Association	Website: www.mdbankers.com
Nature of Business: Association of financial/commercial institutions including, but not limited to banks and savings associations	Phone: 410-269-5977
Address: 186 Duke of Gloucester St, Annapolis, MD, 21401	

A4. Does your employer claim an exemption from filing its own registration and activity reports?

No

A5. Did you represent any other entity with regard to the matters covered by registration to this employer?

No

Reporting Period

A6. State the registration period during which you represent your employer and the reporting period for which this activity report is effective. If you terminate services with your employer anytime before the conclusion of the reporting period, you must include a termination date.

Registration Period:
11/01/22-10/31/23

Activity Report:
Activity Report 1/2

Reporting Period:
11/01/22-04/30/23

Lobbying Subject Matters

A7. Identify the subject matters that pertain to lobbying activities conducted during the reporting period on behalf of your employer. You must identify at least one subject matter and you may select up to five.

#	Subject Matter	Description
1	Financial Institutions	

A8. Did you engage in lobbying activity, to include but not limited to: supporting or opposing specific legislation, procurements or regulations?

Yes

Please provide more specific details (e.g. Senate or House bill numbers, procurement contracts, regulations and codes) for the matters on which you lobbied.

Legislation affecting the banking industry/financial services.

Primary Purpose of the Organization

A9. Is your employer organized for the primary purpose of attempting to influence any legislation or executive action?

No

Part B. Compensation and Operating Expenditures

Compensation to Lobbyist and Staff

B1. What was the total compensation attributed to the registrant / lobbyist?

Total Compensation: \$39,697.97

B2. Was the amount prorated because the registrant / lobbyist was compensated for services in addition to lobbying activities?

Yes

B3. What was the total for salaries, compensation and reimbursed expenses paid to staff related to lobbying services for this employer?

Total Expenses: \$0.00

Operating Expenses

B4. Did you or your employer incur any additional office expenses not reported above as "Compensation"?

No

B5. Did you or your employer incur any cost for professional and technical research and assistance not reported as "Compensation"?

No

B6. Did you or your employer incur any expenses for publications or other costs (Grassroots expenses) related to expressly encouraging persons to communicate with officials or employees?

No

B7. Did you pay any fee or incur any expenses for witnesses who were engaged to appear on behalf of your employer?

No

B8. Did you incur any other expenses for this employer not otherwise accounted for above?

No

Part B. Total Compensation & Operating Expenses:

\$39,697.97

Part C. Event Expenditures**Sponsored Events**

C1. Did you or your employer incur expenses for special events, including dinners and receptions, entertainment and other functions to which all members of the General Assembly, either house thereof, all members of any standing committee or all members of a formally recognized county or regional delegation have received written invitations.

Yes

Event	Date	Venue	Address	Contribution	Estimate	Final Cost
1	02/01/23	Governor Calvert House	58 State Circle, Annapolis, MD, 21401	\$0.00	N/A	\$11,710.41

Total Event Expenses: **\$11,710.41**

Tickets to Events

C2. Did you or your employer incur any expense for a ticket or free admission for any members of the General Assembly to attend charitable, cultural or political events?

Yes

Ticket to Event 1
Name of the Event: MBA Day in Annapolis Date of Event: 02/01/23
Venue: Governor Calvert House Total Expense/Value of Admission: \$0.00
Address: 58 State Circle, Annapolis, MD, 21401
Did you or your employer give two or more tickets with a cumulative value of \$100 or more? No

Total Ticket Expenses: **\$0.00**

Part D. Meals, Beverages and Related Expenditures**Meals and Beverages at Legislative Meetings**

D1. Did you or your employer incur any expenses for food and beverages received by any member(s) of the General Assembly at approved legislative organization meetings?

No

Total Legislative Meeting Expenses: **\$0.00**

Meals and Beverages in Return for a Speaking Engagement

D2. Did you or your employer incur any expenses for food, lodging, or scheduled entertainment of officials and employees for a meeting which were given in return for participation in a panel or speaking engagement at a meeting?

Yes

#	Date	Location of Meeting	Total Expense
1	02/01/23	Governor Calvert House	\$0.00

Total Speaking Engagement Expenses: **\$0.00**

Meals and Beverages to Officials, Employees or their Immediate Families

D3. Did you or your employer provide any meals and beverages for officials or employees or their immediate families, not reported above?

No

Meals and Beverages to Elected Executive Officials or their Immediate Families

D4. Did your employer, or you on behalf of your employer give any gifts or participate in the giving of any gifts of meals and beverages to elected Executive Officials or a member of the official's immediate family? Immediate family members include a spouse and any dependent children.

No

Part E. Gift Expenditures

Gifts for Officials, Employees or their Immediate Families

E1. Did you or your employer give any gifts to or for officials or employees or their immediate families, which were not reported elsewhere in this activity report? If so, enter the total amount for all gifts

No

Gifts Above the \$75 Threshold

E2. Did your employer, or you on behalf of your employer give any gifts or participate in the giving of any gifts to officials, employees or their immediate family members that meets a cumulative value of \$75 or more? Or have you provided individually to legislators, any tickets or free admission to events, with a cumulative value of \$100 or more?

No

Total Expenditures during Reporting Period:

\$51,408.38

Affirmation Provision

Submission of this activity report is required to be made under oath or affirmation. The oath or affirmation shall be confirmed by electronic signature, which is made expressly under penalties for perjury, to the same extent as an oath or affirmation made before an individual authorized to administer oaths.

I have read the disclaimer above and hereby swear and affirm that the information contained in this report is complete and accurate to the best of my knowledge and belief.

Electronic Signature: **Amanda Drew Jabin**

Date Signed: **08/07/2023**

**Event Report**

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: **E000819****Notice of Invitation**Notice Submitted on 08/03/2023 at 10:11AM EDT | [View Original Notice](#)**Sponsorship**

Name of Registrant

Amanda Drew Jabin

Name of employer funding the event

Maryland Bankers Association

Are there any additional sponsors supporting the event?

No**Invitation Details**

Date of Event

02/01/23

Venue / Location of Event

Governor Calvert House

Address

58 State Circle, Annapolis, MD, 21401

Invitees for the event. This includes all legislative groups, standing committees, or designated geographical units.

General Assembly**Post-Event Report**Post Event Report Submitted on 08/07/2023 at 09:24AM EDT | [View Original Report](#)**Attendance**

Was this an event to which there were more invitees than just the legislative unit(s) disclosed on this report (i.e. were the invited groups part of a larger invited group?)

Yes

Please describe the circumstances why:

MD Bankers advocacy day, members of MBA and members of the General Assembly were invited.

Number of legislators who attended (Optional)

N/A

Number of non-legislators who attended (Optional)

N/A**Contributions to Expenditures**

Sponsor	Contributions
Maryland Bankers Association	\$0.00

Total Contributions for Event: \$0.00**Expenditures**

Is this a full and final reporting of expenditures, or does it contain estimates?

Full and final

What was the total cost of the event?

\$11,710.41

How much of the cost was attributed to the legislative unit members who attended?

N/A

Affirmation Provision

Submission of this event report is required to be made under oath or affirmation. The oath or affirmation shall be confirmed by electronic signature, which is made expressly under penalties for perjury, to the same extent as an oath or affirmation made before an individual authorized to administer oaths.

I have read the disclaimer above and hereby swear and affirm that the information contained in this report is complete and accurate to the best of my knowledge and belief.

E-signature: Amanda Drew Jabin 08/07/2023