

In the Matter of
Daniel Flores,
Respondent

* Before the
* State Ethics Commission
*
*
* Complaint No. C-6-11
*
*

ORDER

At its March 3, 2011 meeting, the State Ethics Commission reviewed the above captioned complaint to determine whether further proceedings were necessary. The State Ethics Commission determined that the matter complained of herein has been settled by Respondent's submission of the required lobbying activity report, execution of a Stipulation of Settlement, attached hereto and made a part hereof, and payment in accord with paragraph D of the Stipulation, of the sum of Two Hundred Dollars (\$200). Pursuant to paragraph G of the Stipulation, it is therefore

ORDERED, that Respondent is reprimanded, and that this reprimand be transmitted to the Respondent's lobbyist employer, Greater Washington Board of Trade, the Governor, the Speaker of the House of Delegates and the President of the Maryland Senate. The Order and Stipulation of Settlement are public documents.

STATE ETHICS COMMISSION

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert F. Scholz, Chair

Date: March 3, 2011

CERTIFICATE OF SERVICE

I hereby certify that on this 4th day of March, 2011, a copy of the foregoing Order in State Ethics Commission proceeding C-6-11, was forwarded via regular mail, postage prepaid, to the Respondent, Daniel Flores, Greater Washington Board of Trade, 2101 L St., NW, Suite 300, Washington, D.C. 20037, and was hand delivered to William J. Colquhoun, Staff Counsel for the State Ethics Commission, 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Jennifer K. Allgair, General Counsel

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FEB 28 2011
STATE ETHICS
COMMISSION

In the Matter of:
DANIEL FLORES
Respondent

Before the State Ethics Commission

Complaint No.: C-6-11

STIPULATION OF SETTLEMENT AGREEMENT

On the 2/18/11 day of February, 2011, between Daniel Flores, Respondent and William J. Colquhoun, Staff Counsel, agreed as follows:

STIPULATIONS

1. The State Ethics Commission ("Commission") is an executive agency of the State of Maryland established for the purpose of enforcing the Maryland Public Ethics Law (Title 15, State Government Article, Annotated Code of Maryland, hereinafter the Ethics Law).
2. The Respondent is an individual whose lobbying activities on behalf of Greater Washington Board of Trade ("the employer") during the period of January 28, 2010 through October 31, 2010, required registration as a lobbyist with the State Ethics Commission.
3. The Respondent registered on January 28, 2010, as a lobbyist with the Commission as required by § 15-703(d)(1) of the Ethics Law on behalf of the employer.
4. The Respondent was required to submit a Lobbying Activity Report by November 30, 2010, for the period May 1, 2010 through October 31, 2010, as required by § 15-704(a)(i) of the Ethics Law and failed to submit the required report in a timely manner.
5. On December 20, 2010, the Respondent submitted the delinquent Lobbying Activity Report for the period May 1, 2010 through October 31, 2010.
6. On January 20, 2011, the Commission issued a complaint against the Respondent alleging the failure to file a Lobbying Activity Report for the period of May 1, 2010 through October 31, 2010 by November 30, 2010.
7. The Respondent is voluntarily entering into this Stipulation of Settlement Agreement to admit his failure to timely file the Lobbying Activity Report for the period May 1, 2010 through October 31, 2010, with the Commission and to pay a sum of money in lieu of potential late filing fees pursuant to § 15-405 of the Ethics Law.

NOW THEREFORE, in consideration of the agreements herein contained and effective on the Commission's acceptance of the terms of this Agreement, Daniel Flores, Respondent, and William J. Colquhoun, Staff Counsel agree as follows:

- A. Respondent admits that he did not timely file his Lobbying Activity Report on behalf of the employer for the period May 1, 2010 through October 31, 2010 by November 30, 2010, in violation of § 15-704(a)(ii) of the Ethics Law.
- B. Respondent subsequently filed the required Lobbying Activity Report with the Commission on December 20, 2010 (a copy of which has been attached hereto and made a part hereof).
- C. Respondent understands that failure to comply with the lobbying disclosure provisions of the Ethics Law can subject him to a formal complaint by the Commission and upon a finding of a violation the possibility of late fees, civil fines, reprimand or a suspension of his ability to lobby for compensation.
- D. Based on the facts stated in this Stipulation of Settlement, the Respondent agrees to pay as settlement of the complaint, the amount of Two Hundred Dollars (\$200.00) at the time of the execution of this agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late activity report (paragraph 1).
- E. Respondent waives a formal hearing on this matter and agrees that acceptance of the Stipulation of Settlement by the Commission would constitute, based on his admission of violations of the Ethics Law, a final action and finding of violation by the Commission, and, pursuant to § 15-407 of the Ethics Law, this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law and will be public information unless otherwise protected.
- F. Staff Counsel will upon execution of this Agreement by the Respondent, recommend that the Commission suspend further complaint proceedings against the Respondent and recommend further that the Commission issue a Final Order in accordance with the terms of this Agreement.
- G. Respondent accepts that the Commission, pursuant to § 15-405(c)(2) of the Ethics Law, will issue a reprimand to him effective the date of the Final Order. The reprimand, this agreement, including any documents incorporated herein, and the Final Order will be transmitted to the Governor, President of the Senate, Speaker of the House and his employer.
- H. Respondent and Staff Counsel have entered into this Agreement for the sole purpose

of resolving the late submission of the Lobbying Activity Report, for the payment in lieu of late fees, and to resolve the complaint now pending, and for no other purpose.

IN WITNESS WHEREFORE, Daniel Flores, Respondent and William J. Colquhoun, Staff Counsel for the State Ethics Commission, have signed below.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun, Staff Counsel
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
(410) 260-7770

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Daniel Flores
Lobbyist
Greater Washington Board of Trade

State of ~~Maryland~~

County of: District of Columbia, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Daniel Flores, who made oath on this 17 day of February, 2011, in due form of law that the matters and facts hereinabove set forth are true to the best of his knowledge, information and belief, and are his voluntary act and that he executed this document for the purpose set forth herein.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

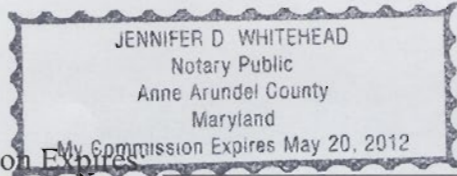
My Commission Expires: October 31, 2013



State of Maryland

County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 20th day of Feb, 2011, in due form of law that he executed this agreement for the purposes set forth herein.



SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires

Accepted by the Commission

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert F. Scholz, Chairman
for the Commission

Date: 3/3/11, 2011