## LOBBYIST FILING AGREEMENT

MAR 2 2 2018

This Agreement, made this 22 day of \_\_\_\_\_\_\_, 2018, by and between Stanley S. Fine, lobbyist for Roland Park Place, Inc., and William J. Colquboun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

# RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

Stanley S. Fine ("the filer"), is an individual whose lobbying activities on behalf of Roland Park Place, Inc. ("the employer") for the period November 22, 2017 to October 31, 2018, required him to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code. Ann., Gen'l. Prov. Art., § 5-704(d)(1)(Supp. 2017).

The filer subsequently registered on February 15, 2018, for the period of November 22, 2017 to October 31, 2018.

The filer is entering voluntarily into this Agreement to admit his failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

**NOW THEREFORE,** in consideration of the submission of the required lobbyist registration form by the filer on February 15, 2018, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

- 1. The filer did not timely file his lobbyist registration form on behalf of the employer for the period of November 22, 2017 to October 31, 2018, as required by § 5-704(d)(1) of the Ethics Law.
- 2. The filer reported his delinquency to the Commission on February 15, 2018, and filed his registration form (a copy of which has been attached hereto and made a part thereof) on the same day.
- 3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
- 4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of THREE HUNDRED and no/100 DOLLARS (\$300.00)

at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (Paragraph 1).

- 5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
- 6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.
- 7. The filer understands that a copy of this Agreement will be mailed to the employer.
- 8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Stanley S. Fine and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.

William J. Colquhoun, Staff Counsel State Ethics Commission 45 Calvert Street, 3<sup>rd</sup> Floor Annapolis, MD 21401

(410) 260-7770

Stanley S. Fine Lobbyist for Roland Park Place, Inc.

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State of Maryland	
County of: Daltamuse, to wit	· · · · · · · · · · · · · · · · · · ·
County of South Country of to with	•
personally appeared Stanley S. Fine, when the standard st	hat the matters and facts hereinabove set forth are, and belief, and are his voluntary act and that he
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State of Maryland, O	
State of Maryland, arme andel County of: Thanker to wit	:
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personally appeared William J. Colquhoun, St	Public in and for the State and County aforesaid, aff Counsel to the State Ethics Commission, who 2018, in due form of law that he orth herein.
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and the second s	SMAIRER D. WATEHEAD
	Notary Public
My Commission Expires:	Asne Aruncel County
	Maryland
	Ay Commission Expires May 20, 200 Z Of
	Accepted by the Commission
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	Janet E/McHugh, Chair
	for the Commission
	iii Commission
Date: 10 19 2010	

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Fine Lobbyist Filing Agreement

# **AMENDMENT**

PΑ	RT A	T A. GENERAL INFORMATION					
Wh	at Ty	Type of registration are you seeking?					
	Legis	gislative Action Lobbyist <u>x</u> Gra	ss Roots Lobbyist				
	Exec	Recutive Action Lobbyist No	n-exempt employer				
Pri	mary	ary purpose of Organization					
	of at	the employer or registrant (if there is no employer) org attempting to influence any legislation or executive actions $\underline{x}$	anized and operated for the primary purpose on?				
Che	eck N	k Number: <u>cc21331840</u>					
		T B. IDENTIFICATION OF REGISTRANT/REGU	LATED LOBBYIST				
гм 1.		dentifying Information	(1				
٠.		Name of Registrant/Regulated Lobbyist: <u>Stanley S. I</u>	Fine				
	b)	and the state of t					
	U)	•	7 2				
		Permanent Address: 25 S. Charles Street, 21st floor Baltimore, MD 21201					
	c)	Business telephone: <u>410-727-6600</u>					
		Cell phone: 410-299-5047					
	ş:	Do you want your telephone number on the published Yes NoX	ed lobbyist list?				
2.	Ide	dentification Of Others Required To Register					
	a)	Will any other person be required to register as a lobbyist on behalf of the person or the organization identified in Part B1 (a)? Yes Nox					
	b)	If the answer to a) is "Yes", identify each such perso address?	n below and provide his/her name and				
3.	Ide	dentification Of Employer					
12	a)	Name of persons or organizations that compensates the registrant for activities requiring this registration. <u>Roland Park Place, Inc.</u>					
		Permanent Address: 830 West 40th Street Baltimore, MD 21211	et y				
		Business Telephone: 410-243-2054					
	.*	Nature of business: Continuing Care Retirement Con	nmunity				
	b)	<ul> <li>If, in the course of representing the employer identification other entities for which the registrant is not required Yes Nox</li> </ul>	fied in Part B.3(a), will you also be representing I to file separate registrations?				
4.	Reg	Registration Information					
	a)	State the period (include both beginning and ending month, day, and year) for which this registration is effective:  November 22, 2017 to October 31, 2018					
	b)	<ul> <li>Identify the matters on which the registrant expects registration period: ALCOHOLIC BEVERAGES LICENSES</li> </ul>	to act or employ someone to act during the				

#### PART C. REGISTRANT'S CERTIFICATION

#### 1. Certification of Training Compliance:

I hereby certify by checking one of the two options below that I am in compliance with the mandatory training requirements of §5-704.1 of the Public Ethics Law:

X I am current in my training status. Date of most recent training:

August 01, 2017

I have not yet been a regulated lobbyist for 6 months but will complete training prior to that time, or if my initial registration is for a period less than 6 months, I will complete training before any subsequent registration.

### 2. Certification of Authorization to Lobby:

I am authorized to act on behalf of the employer/entity identified in Part B.3(a) (and Part B.3(b), if any) for the period set forth in Part B.4(a) and as to the matters set forth in Part B.4(b) herein unless this authority is terminated sooner. This authorization has been granted to me by (identity of official granting authorization):

Name and Title:	Teresa D. Snyder, Preside	nt		
Address:	Roland Park Place, Inc., 83	30 West 40th	Street, Baltimore, MD 21211	
Telephone:	410-243-2054	E-mail:	tsnyder@rpplace.org	

# PART D. EXEMPTION STATUS OF EMPLOYER

An employer who compensates one or more regulated lobbyists is required to separately register as a lobbyist, UNLESS <u>all</u> expenditures requiring registration will be filed by one or more of the regulated lobbyists compensated by the employer. Please indicate status below (ONLY CHECK ONE)

- a) x The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant.
- The employer does not claim an exemption from filing its own registration and activity report because the registrant will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.

I hereby make oath or affirm under the penalties of perjury that the contents of this registration are complete, true and correct to the best of my knowledge, information and belief and that I am authorized to engage in lobbying for the employer set forth above in Part B.3.