

## LOBBYIST FILING AGREEMENT

This Agreement, made this 30 day of June, 2023, by and between John Carl Fiastro, Jr., lobbyist for Vicinity Energy, and Katherine P. Thompson, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

### RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

John Carl Fiastro, Jr., ("the filer") is an individual whose lobbying activities on behalf of Vicinity Energy ("the employer") for the period of November 29, 2022 to October 31, 2023, required them to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code Ann., Gen. Prov. Art., § 5-704(d)(1)(Supp. 2022).

The filer subsequently registered on December 20, 2022, for the period of November 29, 2022 to October 31, 2023.

The filer subsequently amended their registration on May 26, 2023, to cover the period of November 29, 2022 to October 31, 2023.

On November 29, 2022, Vicinity Energy held a reception for the members of the Baltimore City Delegation.

A lobbyist is required to file a Notice of Invitation within 5 days of a reception and a Post-Event Report for the reception within 14 days of the event, as required by Md. Code Ann., Gen. Prov. Art., § 5-709 (Supp. 2022). The filer did not file either form for the reception on November 29, 2022.

The filer subsequently filed the Notice of Invitation and Post-Event Report on May 12, 2023.

The filer is voluntarily entering into this Agreement to admit their failure to timely file a lobbyist registration form, Notice of Invitation, and Post-Event Report with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

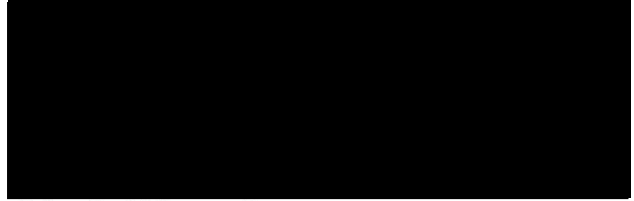
**NOW THEREFORE**, in consideration of the submission of the required lobbyist registration form on December 20, 2022, and the Notice of Invitation and Post-Event Report by the filer on May 12, 2023, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not timely file their lobbyist registration form on behalf of the employer for the period of November 29, 2022 to October 31, 2023.
2. The filer did not timely file the Notice of Invitation and Post-Event Report in regards to the reception held by the employer as required by Md. Code Ann., Gen. Prov. Art., § 5-709 (Supp. 2022).
3. The filer filed their lobbyist registration form (a copy of which has been attached hereto and made a part thereof) on December 20, 2022, and reported their delinquency to the Commission on May 12, 2023.
4. The filer filed their Notice of Invitation and Post-Event Report (a copy of each form has been attached hereto and made a part thereof) on May 12, 2023, and reported their delinquency to the Commission the same day.
5. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject them to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
6. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter, the amount of TWO HUNDRED FIFTY and no/100 DOLLARS (\$250.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late lobbyist registration form, Notice of Invitation, and Post-Event Report.
7. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings for their failure to timely file. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
8. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which they would be entitled if a complaint were issued, and they understand that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law, and will be public information unless otherwise protected.
9. The filer understands that a copy of this Agreement will be provided to the employer.
10. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of the lobbyist registration form, Notice of Invitation, and Post-Event Report and for the payment of late fees and other fines and for no other purpose.

**IN WITNESS WHEREOF**, John Carl Fiastro, Jr., lobbyist, and Katherine P. Thompson, Staff Counsel, State Ethics Commission, have hereunto set their hands.



Katherine P. Thompson, Staff Counsel  
State Ethics Commission  
45 Calvert Street, 3<sup>rd</sup> Floor  
Annapolis, MD 21401  
(410) 260-7770



John Carl Fiastro, Jr.  
Lobbyist for Vicinity Energy

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Accepted by the Commission



Craig D. Roswell, Chair  
for the Commission

Date: 9/7, 2023



## Lobbying Registration

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: **R015772** Submission Date: **12/20/22**

### Registrant Information

<b>Individual Registrant</b>	
Name of Registrant: <b>John Carl Fiastro Jr.</b>	Business Phone: <b>443-416-3842</b>
Organization: <b>Fiastro Consulting</b>	Cell Phone: <b>443-416-3842</b>
Address: <b>1606 Broadway Road, Lutherville, MD, 21093</b>	

1. Are there any other individuals who are required to register on behalf of the registrant: John Carl Fiastro Jr.?

Note: These individuals will still be required to submit their own registrations.

**No**

2. Are you in compliance with the mandatory training requirements of the Public Ethics Law?

**Yes, I certify that I am current in my training status.**

Date of most recent training:

**08/23/21**

### Employer Information

1. Identify the employer that compensates the registrant for lobbying activities under this registration.

<b>Employer of Registrant</b>	
Organization: <b>Vicinity Energy</b>	Website:
Nature of Business: <b>District Energy</b>	Phone: <b>(410) 649-2200</b>
Address: <b>6 South Frederick Street, Baltimore, MD, 21202</b>	

2. Does your employer claim an exemption from filing its own registration and activity reports?

**Yes**

3. Is the employer organized for the primary purpose of attempting to influence any legislation or executive action?

**No**

4. In the course of representing this employer, will you also be representing other entities from which you will not be receiving compensation and are not required to register on their behalf?

**No**

### Lobbying Period & Purpose

1. Within a lobbying year, state the period for which this registration is effective. Include both a start and an end date.

Registration Period:

**Custom Dates: 11/29/2022 - 10/31/2023**

2. What type of lobbying registration are you seeking?

**Legislative Action, Executive Action**

3. Identify the subject matters on which the registrant expects to act, or employ someone to act during the registration period. You must identify at least one subject matter and you may select up to five.

#	Subject Matter	Description
1	Energy	

### Authorization to Lobby

The registrant is authorized to act on behalf of the employer identified above, for the period specified and as to the matters selected herein unless this authority is terminated sooner. This authorization has been granted by the representative disclosed below:

Name of Authorizer: **Jeannie Morris**

Title: **Senior Director Government Affairs**

Email: **mathew.ware@vicinityenergy.us**

Phone: **610-453-2951**

Address: **2600 Christian Street, Philadelphia, PA, 19146**

### Affirmation Provision

I hereby make oath or affirm under the penalties of perjury that I am authorized to engage in lobbying activity on behalf of the employer: Vicinity Energy, for the period specified and as to the matters selected herein, unless this authority is terminated sooner. This authorization has been granted to me by the representative: Jeannie Morris. I acknowledge that my electronic signature subjects me to the penalties of perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths, and swear that the contents of this registration are complete and accurate to the best of my knowledge and belief.

**E-signature: John Carl Fiastro Jr. 12/20/22**

**Event Report**

Maryland State Ethics Commission | 45 Calvert Street, 3rd Floor, Annapolis, Maryland 21401

Form ID: **E000790****Notice of Invitation**Notice Submitted on 05/12/2023 at 01:26PM EDT | [View Original Notice](#)**Sponsorship**

Name of Registrant

**John Carl Fiastro Jr.**

Name of employer funding the event

**Vicinity Energy**

Are there any additional sponsors supporting the event?

**No****Invitation Details**

Date of Event

**11/29/22**

Venue / Location of Event

**The Capital Grille**

Address

**500 E. Pratt Street, Baltimore, MD, 21202**

Invitees for the event. This includes all legislative groups, standing committees, or designated geographical units.

**Baltimore City Delegation****Post-Event Report**Post Event Report Submitted on 05/12/2023 at 01:28PM EDT | [View Original Report](#)**Attendance**

Was this an event to which there were more invitees than just the legislative unit(s) disclosed on this report (i.e. were the invited groups part of a larger invited group?)

**Yes**

Please describe the circumstances why:

**Delegates-Elect of the Baltimore City House Delegation**

Number of legislators who attended (Optional)

**N/A**

Number of non-legislators who attended (Optional)

**N/A****Contributions to Expenditures**

<b>Sponsor</b>	<b>Contributions</b>
Vicinity Energy	\$5,104.51

**Total Contributions for Event: \$5,104.51****Expenditures**

Is this a full and final reporting of expenditures, or does it contain estimates?

**Full and final**

What was the total cost of the event?

**\$5,104.51**

How much of the cost was attributed to the legislative unit members who attended?

N/A

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### **Affirmation Provision**

Submission of this event report is required to be made under oath or affirmation. The oath or affirmation shall be confirmed by electronic signature, which is made expressly under penalties for perjury, to the same extent as an oath or affirmation made before an individual authorized to administer oaths.

I have read the disclaimer above and hereby swear and affirm that the information contained in this report is complete and accurate to the best of my knowledge and belief.

**E-signature: John Carl Fiastro Jr. 05/12/2023**