## LOBBYIST FILING AGREEMENT

## RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

On December 10, 2008, Susan Esty ("the filer") registered with the Commission for lobbying activities on behalf of AFSCME Maryland State & University Employees Organizing Committee for the period of November 1, 2008 to October 31, 2009.

The filer did not timely register on behalf of the employer for the period of November 1, 2008 to October 31, 2009 as required by the Md. Code. Ann., State Gov't. Art., §15-703(d)(1)(Supp. 2008).

The filer subsequently registered on December 10, 2008, for the period of November 1, 2008 to October 31, 2009.

The filer is voluntarily entering into this agreement to admit her failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to Section 15-405 of the Ethics Law.

- **NOW THEREFORE**, in consideration of the submission of the required lobbyist registration forms by the filer on December 10, 2008, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:
- 1. The filer did not timely file her lobbyist registration form on behalf of AFSCME Maryland State & University Employees Organizing Committee for the period November 1, 2008 to October 31, 2009 as required by §15-703(d)(1) of the Ethics Law.
- 2. The filer reported her delinquency to the Commission on December 10, 2008, and filed her registration form (a copy of which has been attached hereto and made a part thereof) on December 8, 2008.
- 3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject her to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

- 4. Based on the facts stated in this agreement the filer agrees to pay as settlement of the late filing matter, the amount of TWO HUNDRED AND FIFTY DOLLARS (\$250.00) at the time of the execution of this agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (paragraph 1).
- 5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings onherfailure to timely register. The filer understands that the Commission is under no obligation to accept this agreement and may decide to take other action, including issuing a complaint.
- 6. The filer waives a formal hearing on this matter (if the agreement is accepted by the Commission) to which she would be entitled if a complaint were issued, and she understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.
  - 7. The filer understands a copy of this agreement will be mailed to her employer.
- 8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Susan Esty, Lobbyist, and Staff Counsel, State Ethics Commission, have hereunto set their hands.

## SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun, Staff Counsel State Ethics Commission 45 Calvert Street, 3<sup>rd</sup> Floor Annapolis, MD 21401 (410) 260-7770 SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Susan Esty Lobbyist

AFSCME Maryland State & University Employees Organizing Committee.

State of Maryland		
State of Maryland County of: CARRII , to wit:		
Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Susan Esty, who made oath on this 2 day of 2 c , 2008 in due form of law that the matters and facts hereinabove set forth are true to the best of her		
knowledge, information and belief, and are her voluntar	yact and that she executed this docum	nent for
the purpose set forth herein.	SIGNATURE APPEARS ON	
N	ORIGINAL AGREEMENT	
My Commission Expires: 9-12-11	•	

State of Maryland, County of: ANNE ARUNDEL, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William I. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this Beday of December 1, 2008 in due form of law that he executed this agreement for the purposes set forth herein.

> SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires:

DONALD M. STREET Notery Public-Maryland Anne Arundel County My Commission Expires November 09, 2010

Accepted by the Commission

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert F. Scholz, Chairman for the Commission

Date: Jan 22, 2008