SEP 21 2012

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STATE ETHICS COMMISSION

## LOBBYIST FILING AGREEMENT

This Agreement, made this  $2c^{\text{H}}$  day of  $\underline{Sechember}$ , 2012, by and between the Cloverleaf Standardbred Owner's Association, Inc., and William J. Colquhoun, Staff Counsel, to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

## RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Public Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

The Cloverleaf Standardbred Owner's Association, Inc. ("the filer") is a company whose lobbying activities during the period of November 1, 2011 to October 31, 2012 required it to register as a lobbyist with the State Ethics Commission.

The filer did not timely register as required by the Md. Code Ann., State Gov't. Art., § 15-703(d)(1)(Supp. 2011).

The filer subsequently registered on September 13, 2012 for the period of August 1, 2012 to October 31, 2012.

The filer is voluntarily entering into this Agreement to admit its failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 15-405 of the Public Ethics Law.

**NOW THEREFORE**, in consideration of the submission of the required lobbyist registration form by the filer on September 13, 2012 and the admissions and agreements herein contained, the filer and the Staff Counsel stipulate and agree as follows:

1. The filer did not timely file its lobbyist registration form for the period of August 1, 2012 to October 31, 2012, as required by 15-703(d)(1) of the Public Ethics Law.

2. The filer reported its delinquency to the Commission and filed its registration form (a copy of which has been attached hereto and made a part thereof) on September 13, 2012.

3. The filer understands that failure to comply with the lobbying disclosure provisions of the Public Ethics Law may subject it to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this Agreement the filer agrees to pay as settlement of the late filing matter, the amount of Two Hundred and Fifty Dollars (\$250.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (paragraph 1).

5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on its failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action,

including issuing a complaint.

6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which it would be entitled if a complaint were issued, and understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Public Ethics Law, and will be public information unless otherwise protected.

7. The filer and Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration and for the payment of late fees and other fines and for no other purpose.

**IN WITNESS WHEREOF**, the Cloverleaf Standardbred Owner's Association, Inc. and William J. Colquhoun, Staff Counsel, State Ethics Commission, have hereunto set their hands.

# SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun State Ethics Commission 45 Calvert Street, 3<sup>rd</sup> Floor Annapolis, MD 21401 (410) 260-7770

20 SIGNATURE APPEARS ON **ORIGINAL AGREEMENT** 1 Chan a Clina M Standardbred Owner's Association, Inc. Lobbyist

2 Cloverleaf Standardbred Owner's Association, Inc. State of Maryland County of: <u>Prince George's</u>, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Sharon L. Robert 45 and acknowledged that he/she was an individual authorized to execute this document on behalf of the Cloverleaf Standardbred Owner's Association, Inc., and that he/she executed this document for the purpose set forth herein on behalf of the Cloverleaf Standardbred Owner's Association, Inc.. SIGNATURE APPEARS ON

122,2013 My Commission Expires: April

 $\frac{4me}{M} \frac{4mndel}{M}, \text{ to wit:}$ Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made way and the state of the state ethics Commission, who made way and the state of the state ethics Commission, who made way and the state ethics Commission ethics Notare My commission ethics and the state ethics commission ethics way and the state ethics commission ethics made way and the state ethics commission ethics made way and the state ethics made way and the state ethics commission ethics way and the state ethic

2/23/2015 Expires:

**ORIGINAL AGREEMENT** 

Notary Public

Accepted by the Commission

# SIGNATURE APPEARS ON **ORIGINAL AGREEMENT**

Paul M. Vettori, Chairman for the Commission

Date: October 25, 2012

### PART A. GENERAL INFORMATION

What Type of registration are you seeking?

Legislative Action Lobbyist

Executive Action Lobbyist

Grass Roots Lobbyist <u>x</u> Non-exempt employer \_\_\_\_\_

Primary purpose of Organization

Is the employer or the registered organization (if there is no employer) organized and operated for the primary purpose of attempting to influence any legislation or executive action? Yes \_\_\_\_\_ No \_\_\_\_ X\_\_\_

Check Number: 5503

### PART B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST

#### 1. Identifying Information

- a) Name of Registrant/Regulated Lobbyist: <u>Cloverleaf Standardbred Owner's Association, Inc.</u>
- b) Firm Name: Cloverleaf Standardbred Owner's Association, Inc.

Address: <u>PO Box 156</u> Temple Hills , MD 20748

c) Business telephone: <u>301-567-9636</u>

Cell phone:

Do you want your telephone number on the published lobbyist list? Yes \_\_\_\_\_ No \_\_\_\_

d) What is your occupation or type of business? Other

If other: Organization

#### 2. Identification of others required to register

- a) Will any other person be required to register as a lobbyist on behalf of you or the organization identified in section 1?
  Yes <u>x</u> No \_\_\_\_\_
- b) If the answer to a) is "yes", identify each such person below and give their name and address?

Name: Bruce C Bereano Address: <u>191 Duke of Gloucester Street</u> <u>Annapolis, MD 21401</u> Business Phone: <u>410-267-0410</u> Email: <u>laurengardner518@msn.com</u>

#### 3. Identification of employer

a) Name of persons or organizations who compensate you for activities that require registration. <u>Cloverleaf Standardbred Owners Association</u>

Permanent Address: <u>PO Box 156</u> Temple Hills, MD 20748

Business Telephone: 301-567-9636

Nature of business: standardbred & thoroughbred racing

Website of employer:

Will you be representing any other person or entity regarding the matters identified in this registration?
Yes \_\_\_\_\_\_ No \_\_\_\_

#### 4. Registration Information

a) For what period will this registration be effective? August 01, 2012 to October 31, 2012

- b) On what matters will you be acting or employing someone to act during the registration period? <u>Other - Special Session Gaming Legislation</u>
- c) If known include the bill number(s) of the matter(s) on which you lobby

#### PART C. REGISTRANT'S REGULATED LOBBYIST'S SIGNATURE

(Name)

(Date)

#### PART D. AUTHORIZATION TO ACT

#### 1 Authorization

I hereby certify that the information contained herein is correct and that <u>Cloverleaf Standardbred</u> <u>Owner's Association, Inc.</u> (name of lobbyist) is authorized to act on behalf of <u>Cloverleaf Standardbred</u> <u>Owners Association</u> (name of employer) for the period from <u>August 01, 2012</u> to <u>October 31, 2012</u> unless this authority is terminated sooner.

#### 2 Exemption Status of Employer

- a) \_\_\_\_\_ The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant/regulated lobbyist.
- b) \_\_\_\_\_ The employer does not claim an exemption from filing its own registration and activity report because the registrants/regulated lobbyist will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.
- c) \_\_\_\_\_ The employer does not claim an exemption from filing its own registration and reports based on the activities of the filer will report only expenditures and compensation regarding the filer's activities. However, the employer does claim an exemption from filing its own registration and reporting because another regulated lobbyist will report any other expenditures of the employer, and the employer will engage in no other activity that would require it to register or report.

Employer's signature	Date
Signature of Person Identified in Part B, Section 3	Date

Employer's Typed Signature