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LOBBYIST FILING AGREEMENT

STATE ETHICS

This Agreement, made this Lith day of Jule, 2014, by and between Jason Botel, lobbyist for MarylandCAN, and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Jason Botel ("the filer") is an individual whose lobbying activities on behalf of MarylandCAN ("the employer"), for the period November 1, 2013 through April 30, 2014 required him to register as a lobbyist with the State Ethics Commission.

The filer did not timely register on behalf of the employer as required by the Md. Code. Ann., State Gov't. Art., §15-703(d)(1)(Supp. 2013).

The filer subsequently registered on May 30, 2014.

The filer is voluntarily entering into this Agreement to admit his failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to §15-405 of the Public Ethics Law.

NOW THEREFORE, in consideration of the submission of the required lobbyist registration form by the filer on May 30, 2014, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

- 1. The filer did not timely file his lobbyist registration form on behalf of the employer for the period November 1, 2013 through April 30, 2014, as required by §15-703(d)(1) of the Ethics Law.
- 2. The filer submitted his registration form (a copy of which has been attached hereto and made a part thereof) on May 30, 2014.
- 3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
- 4. Based on the facts stated in this Agreement, the filer agrees to pay as settlement of the late filing matter the amount of TWO HUNDRED AND FIFTY and no/100 Dollars (\$250.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (paragraph 1).

- 5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
- 6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.
 - 7. The filer understands a copy of this Agreement will be mailed to his employer.
- 8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Jason Botel and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.

William J. Colquhoun, Staff Counsel State Ethics Commission 45 Calvert Street, 3rd Floor Annapolis, MD 21401 (410) 260-7770 Jason Botel Lobbyist for MarylandCAN State of Maryland County of: Anne Acundel Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Jason Botel, who made oath on this 18th day of June 2014 in due form of law that the matters and facts hereinabove set forth are true to the best of his knowledge, information and belief, and are his voluntary act and that she executed this document for the purpose set forth herein. SUNIFER D WHITEHEAD NOTARY Public **Notary Public** Anne Arundel County My Commission Expires; Maryland My Commission Expires May 20, 201 4 State of Maryland, County of: Anne Arundel Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 18th day of June, 2014 in due form of law that he executed this agreement for the purposes set forth herein. CNNIFER D WHITEHEAD Notary Public Notary Public Anne Arundel County My Commission Expir Maryland My Commission Expires May 20, 201 6 Accepted by the Commission Robert G. Blue, Acting Chair for the Commission

MARYLAND STATE ETHICS COMMISSION

45 Calvert Street, 3rd Floor Annapolis, Maryland 21401 410-260-7770 Toll Free 1-877-669-6085

GENERAL LOBBYING ACTIVITY FORM (Form #4)

Read instructions carefully before completing this form. If you had reportable expenses or compensation during the reporting period, complete Parts A, B, C, D, E, F and G of the Activity Report. If you had no reportable compensation or expenses during the reporting period, but were registered to engage in lobbying, check here _____ and complete Parts A, E, F, and G. If additional forms are required for this employer, those forms should be attached to and made part of this filing. Α

Activity Re	port	for reporting period: November 01, 2013 through April 30, 2014		
Terminatio Terminatio		port: Yes Nox ite:		
PART A	Ide	ntification of Regulated Lobbyist (Registration and Employer)		
A. 1)		Identifying Information (complete all blanks, compare to registration form, and explain any differences)		
	a)	Name: <u>Jason Botel</u>		
	b)	Permanent Address (Include firm name if applicable): Firm Name: MarylandCAN		
		Address: 1112 16th St NW ste 240 Washington, DC 20036		
	c)	Business telephone: 410-336-9439		
	d)	Cell phone: What is your occupation or type of business? <u>Director</u>		
		If other:		
A. 2)	īda	ntification of others required to register:		
A. 2)		Was any other person required to register as a lobbyist on behalf of the filer? Yes Nox		
	b)	If the answer to a) is "Yes", identify each such person below and give their name and address:		
A. 3)	Ide	Identification of employer (complete only if registrant acts on behalf of another):		
	a)	Did you engage in lobbying activity on behalf of a person or entity other than yourself? Yes <u>x</u> No		
		Name: MarylandCan.org		
		Permanent Address: 1112 16th Street NW Suite 240		

Washington, DC 20036 Business Telephone: 410-336-9439 Nature of business: Non-Profit organization Website of employer: www.50can.org b) Did you represent any other person or entity with regard to the matters covered by this registration?

Yes _____ No __x__ A. 4) Primary purpose of the organization: Is your employer, or you if there was no employer, organized and operated for the primary

purpose of attempting to influence any legislative or executive action? Yes _____ No __x__

A. 5) Registration Information:

- a) For what period of registration did you engage in lobbying activity for this employer, or for yourself if you did not have an employer? November 01, 2013 to April 30, 2014 b) What were the matters on which you or someone in your employ engaged in lobbying activity during the registration period covered by this report? EDUCATION If known include the bill number(s) of the matter(s) on which you lobby Did the employer claim the status of exempt employer on the registration form? If that exemption is no longer claimed or should be amended, please provide an explanation for the change in the field below. Yes x No If the exemption claimed differs from the registration form, please explain in writing: PART B Compensation and Expenses Did you provide any meals and beverages for officials or employees or their immediate families? Yes No \$0.00 If yes, what was the exact amount? Did you incur any expenses for special events, including dinners and receptions, entertainment and other functions to which all members of the General Assembly, either house thereof, all members of any standing committee or all members of a formally recognized (for ethics purposes) county or regional delegation have received written invitations. No_ Yes If yes, list date, location, group of invitees who benefited and total expenses for each event. Total B-2 \$0.00 Expenses Did you incur any expenses for food, lodging, or scheduled entertainment of officials and employees for a meeting which were given in return for participation in a panel or speaking engagement at a meeting? ___ No __x__ If yes, list date, location, and total expense for each meeting. If the total amount in expenses on any one official or employee is \$200 or more for any meeting, also include the person's name and the amount expended for the person at the meeting. Total B-3 \$0.00 Expenses Did you incur any expenses for food and beverages received by any member(s) of the General Assembly at approved legislative organization meetings? _No __x_ If yes, list location, name of legislative organization, date and total expense for each meeting. Total B-4 \$0.00 Expenses Did you incur any expense for a ticket or free admission to any members of the General Assembly to attend charitable, cultural or political events to which the event holder invited
- B. 5) all members of a legislative unit? _No __<u>x</u>_ If yes, list the name of the event, location, date, name of legislative unit and total expense

for each event.

Total B-5 \$0,00 Expenses

Did you give any gifts to or for officials or employees or their immediate families other than B. 6) those that were reported in B-1 through B-5? (not including sums reported in B-1, B-2, B-3, B-4 and B-5)

__ No __x__ Yes ___

A. 6)

B. 1)

B. 2)

B. 3)

B. 4)

If yes, list the amount:

B-6 \$0.00

Subtotal of Items B-1 through B-6 - \$0.00

What was the total compensation paid to you, not including any B, 7) B-7 \$492,17 sums reported in any other section Section B of this report?

	Was the amount prorated because you were compensated for services lobbying activities? Yes \underline{x} No $\underline{\underline{x}}$	in addition t	0		
B. 8)	What was the total for salaries, compensation and reimbursed expenses you paid to your staff related to lobbying services for this employer?	B-8	<u>\$604.35</u>		
B. 9)	Did you incur any additional office expenses not reported in B-7 or B-8 Yes \underline{x} No \underline{x}	3?			
	If yes, list the amount:	B-9	\$10.68		
B. 10)	Did you incur any cost for professional and technical research and assi in B-7 or B-8? Yes No \underline{x}	stance not re	eported		
	If yes, list the amount:	B-10	\$0.00		
B. 11)	Did you incur any expenses for publications or expenses related to expenses to communicate with officials or employees? Yes Nox_	ressly encou	ıraging		
4	If yes, list the amount:	B-11	\$0.00		
B. 12)	Did you pay any fees or incur any expenses for witnesses who were er behalf of your employer? Yes No $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$	ngaged to ap	pear on		
	If yes, list the name and amount for each.				
		l B-12 enses	\$0.00		
B. 13)	Did you incur any other expenses for this employer not otherwise accordance report? If yes, list the amount and provide an explanation. Yes \underline{x} No \underline{x}	unted for in	this		
	If yes, list the amount:	B-13	\$158.34		
	Please provide an explanation: lobbyist travel and personal reimbursements				
		TOTAL	\$1,265.54		
C.	Did you give any gifts or participate in the giving of any gifts with a cumulative value of \$75 or more? (Forms 13C and 13D, Section 15-704(c)) Yes No _x_				
D.	Did you give any gifts or participate in the giving of any gifts of meals and beverages to elected Executive Officials? (Forms 13A and 13B, Section 15-705) Yes $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$				
E.	Did you engage in any business transactions with officials during the reporting period? (Form 21, Section 15-706) Yes Nox_				
F.	During the reporting period, did you make any political contributions, either directly or indirectly, for the benefit of the Governor, Lt Governor, Attorney General, Comptroller member of the General Assembly or a candidate for election to any of those offices? Yesx No				
Please provide any narrative that you may wish with regard to any of the figures or responses in the report:					
PART G Signature and Oath					

The Public Ethics Law, Md. Code Ann., State Gov't §§ 15-602(d) and (e) provide the following:

- (d) Development of electronic filing procedures. The Ethics commission shall develop procedures under which a statement under this subtitle may be filed electronically and without additional cost to the individual who files the statement.
- (e) Oath or affirmation for electronically filed statements. (1) If the Activity Form (Form #4) filed electronically under subsection (d) of this section is required to be made under oath or affirmation, the oath or affirmation shall be made by a signed statement that:
 - i. is in the Activity Form (Form #4) or attached to and made part of the Activity Form (Form #4); and ii. is made expressly under the penalties for perjury.
- (2) A signed statement made under paragraph (1) of this subsection subjects the individual making it to the penalties for perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths.
- <u>x</u> I have read and I understand that by submission of this electronically filed Activity Form (Form #4), I hereby swear or affirm that the information contained in it is complete and accurate to the best of my knowledge and belief.

Date:	06/09/2014	

MARYLAND STATE ETHICS COMMISSION

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INDIVIDUAL REGULATED LOBBYIST - CAMPAIGN CONTRIBUTION REPORT (Form #22)

Reporting Period Covered by this report:

November 01, 2013 through April 30, 2014

An individual regulated lobbyist must file this report if, during the lobbying reporting period, the lobbyist has directly or indirectly made a political contribution(s) to the Governor, Lt. Governor, Attorney General, Comptroller, member of the General Assembly or candidate for election to any of these offices. "Indirectly" includes, for example, contributions to committees in support of the candidate(s), slates including the candidate(s), a PAC created to support a specific candidate(s), a PAC contribution designated for transfer to a particular candidate(s), or contribution(s) by others using your funds or funds under your control including, in some circumstances, a contribution by a family member. (Note: Solicitation or transmittal of contribution(s) by a lobbyist on behalf of a candidate(s) is prohibited.)

A. List below the total contributions for each office holder or candidate. If a slate is involved, you may report each of the recipients with the total amount unless you are aware of a specific allocation to each candidate.

NAME OF RECIPIENT, OFFICIAL OR CANDIDATE (Include Name of Committee)

TOTAL AMOUNT OF CONTRIBUTION OR CONTRIBUTIONS

\$50.00

Bill Ferguson

B. You may explain (but are not required) the details of any indirect contribution or any other information reported.

Signature and Oath.

The Public Ethics Law, Md. Code Ann., State Gov't §§ 15-602(d) and (e) provide the following:

- (d) Development of electronic filing procedures. The Ethics commission shall develop procedures under which a statement under this subtitle may be filed electronically and without additional cost to the individual who files the statement.
- (e) Oath or affirmation for electronically filed statements. (1) If the Campaign Contribution Report (Form #22) filed electronically under subsection (d) of this section is required to be made under oath or affirmation, the oath or affirmation shall be made by a signed statement that:
 - i. is in the Campaign Contribution Report (Form #22) or attached to and made part of the Campaign Contribution Report (Form #22); and
 - ii. is made expressly under the penalties for perjury.
- (2) A signed statement made under paragraph (1) of this subsection subjects the individual making it to the penalties for perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths.
- $\underline{\mathbf{x}}$ I have read and I understand that by submission of this electronically filed Campaign Contribution Report (Form #22), I hereby swear or affirm that the information contained in it is complete and accurate to the best of my knowledge and belief.

Name:	Jason Botel
Date:	06/09/2014