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STATE ETHICS COMMISSION

## LOBBYIST FILING AGREEMENT

This Agreement, made this 28 day of 6000 , 2009, by and between Thomas L. Albert, Lobbyist for Feld Entertainment, and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

## RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Thomas L. Albert ("the filer") is an individual whose lobbying activities on behalf of Feld Entertainment during the period of January 1, 2009 to October 31, 2009, required him to register as a lobbyist with the State Ethics Commission.

The filer did not timely register on behalf of Feld Entertainment, ("the employer") as required by the Md. Code. Ann., State Gov't. Art., §15-703(d)(1)(2009 Repl. Vol.).

The filer subsequently registered and filed an activity report on October 15, 2009, for the period of January 1, 2009 to October 31, 2009.

The filer is voluntarily entering into this agreement to admit his failure to timely file a lobbyist registration and activity report with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to Section 15-405 of the Ethics Law.

- NOW THEREFORE, in consideration of the submission of the required lobbyist registration form on October 15, 2009 and the filing of an activity report by the filer on October 19, 2005, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:
- 1. The filer did not timely file his lobbyist registration form on behalf of the employer for the period January 1, 2009 to October 31, 2009, as required by §15-703(d)(1) of the Ethics Law.
- 2. The filer did not timely file his activity report on behalf of the employer for the period of January 1, 2009 to October 31, 2009, as required by §15-704(a) of the Ethics Law.
- 3. The filer reported his delinquency to the Commission on October 15, 2009. He filed his registration form on October 15, 2009 and his activity report on October 19, 2009 (a copy of which has been attached hereto and made a part thereof).
- 4. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
- 5. Based on the facts stated in this agreement the filer agrees to pay as settlement of the late filing matter, the amount of Five Hundred and no/100 Dollars (\$500.00) at the time of the execution of this agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late

registration statement and late activity report (paragraph 1).

- 6. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this agreement and may decide to take other action, including issuing a complaint.
- 7. The filer waives a formal hearing on this matter (if the agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.
  - 8. The filer understands a copy of this agreement will be mailed to his employer.
- 9. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Thomas L. Albert, and Staff Counsel, State Ethics Commission, have hereunto set their hands.

## SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun, Staff Counsel State Ethics Commission ( 45 Calvert Street, 3<sup>rd</sup> Floor Annapolis, MD 21401 (410) 260-7770 SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Thomas L. Albert, Lobbyist Feld Entertainment

Virginia	
State of Maryland	
County of: Fairfux	to wit:

My Commission Expires: 2/29/2017



State of Maryland, County of: Anne Aunde, to wit:	
Before me, the undersigned, a Notary Pub personally appeared William J. Colquhoun, Staff made oath on this 22 day of 0ch beragreement for the purposes set forth herein.	lic in and for the State and County aforesaid, Counsel to the State Ethics Commission, who , 2009 in due form of law that he executed this
	SIGNATURE APPEARS ON
	ORIGINAL AGREEMENT
	Notary Public U
My Commission Expires: 2/23/2011	
HOTARY	Accepted by the Commission
AND AUBLIC A. W. A	SIGNATURE APPEARS ON ORIGINAL AGREEMENT  Robert F. Scholz, Chairman for the Commission
Date: 10 / 2 9 2000	