# RECEIVED

MAY - 1 2017

#### LOBBYIST FILING AGREEMENT

STATE ETHICS COMMISSION

This Agreement, made this <u>27</u> day of <u>april</u>, 2017, by and between Susan Crawford Murray Somerville-Hawes, lobbyist for The Arc of Maryland, Inc., and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

#### RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland, hereinafter the "Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

Susan Crawford Murray Somerville-Hawes ("the filer"), is an individual whose lobbying activities on behalf of The Arc of Maryland, Inc., ("the employer") for the period November 9, 2016 to October 31, 2017, required her to register as a lobbyist with the Commission.

The filer did not timely register as required by the Md. Code. Ann., Gen'l. Prov. Art., § 5-704(d)(1)(Supp. 2016).

The filer subsequently registered on April 11, 2017, for the period of November 9, 2016 to October 31, 2017.

The filer is entering voluntarily into this Agreement to admit her failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 5-405 of the Ethics Law.

**NOW THEREFORE,** in consideration of the submission of the required lobbyist registration form by the filer on April 11, 2017, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

- 1. The filer did not timely file her lobbyist registration form on behalf of the employer for the period of November 9, 2016 to October 31, 2017, as required by § 5-704(d)(1) of the Ethics Law.
- 2. The filer reported her delinquency to the Commission on April 11, 2017, and filed her registration form (a copy of which has been attached hereto and made a part thereof) the same day.
- 3. The filer understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject her to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.
- 4. Based on the facts stated in this Agreement the filer agrees to pay as settlement of the late filing matter, the amount of FIVE HUNDRED and no/100 DOLLARS (\$500.00)

at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (Paragraph 1).

- 5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on her failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.
- 6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which she would be entitled if a complaint were issued, and she understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.
- 7. The filer understands that a copy of this Agreement will be mailed to the employer.
- 8. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Susan Crawford Murray Somerville-Hawes and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.

William J. Colquhoun, Staff Counsel State Ethics Commission 45 Calvert Street, 3<sup>rd</sup> Floor Annapolis, MD 21401 (410) 260-7770 Susan Crawford Murray Somerville-Hawes Lobbyist for The Arc of Maryland, Inc.

State of Maryland County of: 39 rimore, to wit:	
Before me, the undersigned, a Notary Public in an personally appeared Susan Crawford Murray Somerving 27 day of, 2017 in due for hereinabove set forth are to the best of her knowledge, voluntary act and that she executed this document for the process.	lle-Hawes, who made oath on this rm of law that the matters and facts information, and belief, and are her
Notary	y Public
My Commission Expires: <u>/2/ /9/ 202 0</u>	CHRISTOPHER ANDERSON  Notary Public  Baltimore County  Maryland  My Commission Expires Dec. 19, 2020
State of Mary and County of:, to wit:	
Before me, the undersigned, a Notary Public in a personally appeared William J. Colquhoun, Staff Counsel made oath on this day of day of executed this Agreement for the purposes set forth herein.	I to the State Ethics Commission, who
Notar	y I dollamining
My Commission Expires: 12-22-18	PUBLIC DE PUBLIC
Accep	PUBLIC PUBLIC STATE COmmission
for the	E. McHugh, Chair Commission
Date:	

# **AMENDMENT**

Pρ	RT	A. GENERAL INFORMATION	
Wŀ	nat Ty	ype of registration are you seeking?	
	Legis	slative Action Lobbyist X Grass Roots Lobbyist X	
	Exec	cutive Action Lobbyistx Non-exempt employer	
Pri	mary	purpose of Organization	
	of at	ne employer or registrant (if there is no employer) organized and operated for the primary purpos tempting to influence any legislation or executive action? Nox	е
PΔ	RTI	B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST	
1.		entifying Information	
	a)	Name of Registrant/Regulated Lobbyist: Susan Crawford Murray Somerville-Hawes	
	_	Firm Name: The Arc Maryland	
		Permanent Address: 1911 Ruxton Rd Towson, MD 21204	
	c)	Business telephone: <u>410-296-2925</u>	
		Cell phone: 410-296-2925	
		Do you want your telephone number on the published lobbyist list? Yes No	
2.	Ide	entification Of Others Required To Register	
		Will any other person be required to register as a lobbylst on behalf of the person or the organization identified in Part B1 (a)?  Yes No _x_	
	b)	If the answer to a) is "Yes", identify each such person below and provide his/her name and address?	
3.	Ide	antification Of Employer	
	a)	Name of persons or organizations that compensates the registrant for activities requiring this registration.  Arc of Maryland, Inc., The  Permanent Address:  130 Lubrano Drive  Suite 212	
		Annapolis, MD 21401	
		Business Telephone: <u>410-571-9320</u>	
		Nature of business: Advocacy Organization	tino
	b)	If, in the course of representing the employer identified in Part B.3(a), will you also be represent other entities for which the registrant is not required to file separate registrations? Yes NoX	iting
4.	Re	gistration Information	
		State the period (include both beginning and ending month, day, and year) for which this registration is effective:  November 01, 2016 to October 31, 2017	
	b)	Identify the matters on which the registrant expects to act or employ someone to act during the registration period:  DISABILITIES /BLIND: DEAF: DEVELOPMENTAL	3

PART C. REGISTRANT'S CERTIFICATION

1.	Certification of	Training Co	mpliance:			
				and the second section is	1 11 17	1

I hereby certify by checking one of the two options below that I am in compliance with the mandatory training requirements of §5-704.1 of the Public Ethics Law: x I am current in my training status. Date of most recent training: April 25, 2017

I have not yet been a regulated lobbyist for 6 months but will complete training prior to that time, or if my initial registration is for a period less than 6 months, I will complete training before any subsequent registration.

#### 2. Certification of Authorization to Lobby:

I am authorized to act on behalf of the employer/entity identified in Part B.3(a) (and Part B.3(b), if any) for the period set forth in Part B.4(a) and as to the matters set forth in Part B.4(b) herein unless this authority is terminated sooner. This authorization has been granted to me by (identity of official granting authorization):

Cristine Marchand, Executive Director Name and Title: 130 Lubrano Drive, suite 212 Annapolis, Maryland 21401 Address: cmarchand@thearcmd E-mail: Telephone:

### PART D. EXEMPTION STATUS OF EMPLOYER

An employer who compensates one or more regulated lobbyists is required to separately register as a lobbyist, UNLESS all expenditures requiring registration will be filed by one or more of the regulated lobbyists compensated by the employer. Please indicate status below (ONLY CHECK ONE)

- a) X The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant.
- The employer does not claim an exemption from filing its own registration and activity report because the registrant will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.

I hereby make oath or affirm under the penalties of perjury that the contents of this registration are complete, true and correct to the best of my knowledge, information and belief and that I am authorized to engage in lobbying for the employer set forth above in Part B.3.

PΑ	RT /	A, GENERAL INFORMATION
Wh	at Ty	ype of registration are you seeking?
	Legis	slative Action Lobbyist Grass Roots Lobbyist
	Exec	utive Action Lobbyist x Non-exempt employer
Prir	nary	purpose of Organization
	of at	e employer or registrant (if there is no employer) organized and operated for the primary purpose tempting to influence any legislation or executive action?  Nox
Che	ck N	lumber: <u>cc15694144</u>
PA	RT I	B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST
1.		entifying Information
	a)	Name of Registrant/Regulated Lobbyist: Susan Crawford Murray Somerville-Hawes
		Firm Name: The Arc Maryland
		Permanent Address: 130 Lubrano Drive, Suite 212 Annapolis, MD 21401-7035
	c)	Business telephone: <u>410-571-9320</u>
		Cell phone:
		Do you want your telephone number on the published lobbyist list? Yesx _ No
2.	Ide	entification Of Others Required To Register
		Will any other person be required to register as a lobbyist on behalf of the person or the organization identified in Part B1 (a)? Yes $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$
	b)	If the answer to a) is "Yes", identify each such person below and provide his/her name and address?
3.	Ide	entification Of Employer
	a)	Name of persons or organizations that compensates the registrant for activities requiring this registration.  Arc of Maryland, Inc., The
		Permanent Address: 130 Lubrano Drive Suite 212 Annapolis, MD 21401
		Business Telephone: 410-571-9320
		Nature of business: Advocacy Organization
	b)	If, in the course of representing the employer identified in Part B.3(a), will you also be representing other entities for which the registrant is not required to file separate registrations? Yes $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$ No $\underline{\hspace{1cm}}$ X.
4.	Re	gistration Information
	a)	registration is effective: November 09, 2016 to October 31, 2017
	b)	Identify the matters on which the registrant expects to act or employ someone to act during the registration period:  DISABILITIES /BLIND; DEAF; DEVELOPMENTAL

## PART C. REGISTRANT'S CERTIFICATION

1. Certification of Training Compliance:

	ti-i unaccinomo	checking one of the two options below that I am in compliance with the mandatory ents of §5-704.1 of the Public Ethics Law: in my training status. Date of most recent training:	
	$\underline{x}$ I have not yet been a regulated lobbyist for 6 months but will complete training prior to that time, or if my initial registration is for a period less than 6 months, I will complete training before any subsequent registration.		
2.	Certification of Authorization to Lobby:		
re*	I am authorized to act on behalf of the employer/entity identified in Part B.3(a) (and Part B.3(b), if any for the period set forth in Part B.4(a) and as to the matters set forth in Part B.4(b) herein unless this authority is terminated sooner. This authorization has been granted to me by (identity of official granting authorization):		
	Name and Title:	Susan Somerville-Hawes, Director of Public Policy&Advocacy	
		130 Lubrano Drive, suite 212 Annapolis, Maryland 21401	
	Address:	130 Edulatio Pilito, verte	

## PART D. EXEMPTION STATUS OF EMPLOYER

Telephone:

WAS.

410-571-9320

An employer who compensates one or more regulated lobbyists is required to separately register as a lobbyist, UNLESS <u>all</u> expenditures requiring registration will be filed by one or more of the regulated lobbyists compensated by the employer. Please indicate status below (ONLY CHECK ONE)

E-mail:

The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant.

ssomervillehawes@thearcmd

b) X The employer does not claim an exemption from filing its own registration and activity report because the registrant will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.

I hereby make oath or affirm under the penalties of perjury that the contents of this registration are complete, true and correct to the best of my knowledge, information and belief and that I am authorized to engage in lobbying for the employer set forth above in Part B.3.