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MAY 18 2017

**LOBBYIST FILING AGREEMENT**

STATE ETHICS COMMISSION

This Agreement, made this 18<sup>th</sup> day of May, 2017, by and between Tabb J. Bishop, lobbyist for Verizon Communications and William J. Colquhoun, Staff Counsel to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

**RECITALS**

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (General Provisions Article, Title 5, Annotated Code of Maryland) (hereinafter the "Public Ethics Law") including the provisions of Subtitle 7, the lobbying disclosure program.

Regulated lobbyists are required to file Activity Reports (Form #4) reporting expenditures and compensation for each of the lobbyist's employers. This includes the reporting of gifts given to officials or employees or their immediate family. Md. Code Ann., Gen'l. Prov. Art. § 5-705(b). The Ethics Law defines a gift as "the transfer of anything of economic value, regardless of form, without adequate and lawful consideration." Md. Code Ann., Gen'l. Prov. Art. § 5-101(p)(1).

A regulated lobbyist who gives gifts with a cumulative value of \$75.00 or more to State officials or employees or their immediate family within one of the six month reporting periods (November 1 to April 30 or May 1 to October 31) is required to file either a Form #13C (Disclosure of Gifts \$75 or More from Single Employer) or #13D (Disclosure of Gifts \$75 or More from One or More Employers or Lobbyist Using Personal Funds). Form #13C is used to disclose gifts paid for by a single lobbyist employer and Form #13D is used to disclose gifts paid for by the lobbyist personally or by multiple employers. Md. Code Ann., Gen'l. Prov. Art. § 5-705(c). A regulated lobbyist must file the Form #13C or #13D even if the gifts were unrelated to lobbying activity. Md. Code Ann., Gen'l. Prov. Art. § 5-705(c)(1)(ii).

State officials and employees are prohibited from accepting certain gifts from "controlled donors." "Controlled donors" include individuals or businesses that: 1) do business with or seek to do business with the official's or employee's agency; 2) are regulated by the official's or employee's agency; 3) have private interests that can be impacted by an official's or employee's performance of his/her duties; or 4) are regulated lobbyists with respect to matters within the jurisdiction of the official or employee. Md. Code Ann., Gen'l. Prov. Art. § 5-505(b)(2)(i-iv).

The Public Ethics Law allows controlled donors to give and State officials and employees to receive certain categories of gifts.<sup>1</sup> State officials and employees are strictly prohibited from accepting: 1) cash; 2) gift cards; and 3) tickets to sporting events from controlled donors.

<sup>1</sup> A more detailed discussion of the Public Ethics Law's gifts provisions are included in the Commission's The Gift Law memorandum dated September 10, 2015 and its memorandum entitled Gift Reporting for Lobbyists dated October 1, 2014.

State officials and employees who are financial disclosure filers must disclose gifts with a value more than \$20 from: 1) a regulated lobbyist; 2) an entity regulated by the State; or 3) an entity doing business with the State on Schedule D of their disclosure. Md. Code Ann., Gen'l. Prov. Art. § 5-607(e).

Tabb J. Bishop ("the filer") is an individual whose lobbying activities on behalf of Verizon Communications, ("the employer") for the period November 5, 2015 to October 31, 2016, required him to register as a lobbyist with the Commission. Verizon Communications and Morgan State University, an executive unit of State government, have a joint learning program that has existed since 2015.

In 2016, the filer gave a Morgan State University employee two tickets to a pre-season Baltimore Ravens football game with a value of \$146.00. The Morgan State University employee disclosed the tickets on Schedule D of his 2016 Financial Disclosure Statement. The filer did not disclose the gift of the tickets on his Activity Report for the period of May 1, 2016 to October 31, 2016 or by filing a Form #13C (Disclosure of Gifts \$75 or More from Single Employer) for that period.

Staff Counsel informed the Morgan State University employee that the gift of sporting event tickets was prohibited. On or about April 21, 2017, the Morgan State University employee reimbursed Verizon Communications for the cost of the tickets.

Staff Counsel informed the filer that the gift was prohibited and that he failed to disclose it. On May 8, 2017, the filer filed an Amended Activity Report and Form #13C relating to the meals and beverages for the DPSCS employee.

**NOW THEREFORE**, in consideration of the submission of amended reports by the filer, and the admissions and agreements herein contained, the filer and Staff Counsel stipulate and agree as follows:

1. The filer did not properly file a complete lobbying Activity Report for the period of May 1, 2016 to October 31, 2016 as required by § 5-705(b).

2. The filer did not file a Form #13C for the period of May 1, 2016 to October 31, 2016 as required by § 5-705(c).

3. On May 8, 2017, the filer amended his Activity Report for the period of May 1, 2016 to October 31, 2016 and filed Form #13C with the Commission.

4. The filer understands that failure to comply with the lobbying disclosure provisions of the Public Ethics Law may subject him to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

5. Based on the facts stated in this agreement, the filer agrees to pay as settlement of the matter the amount of FIVE HUNDRED and no/100 Dollars (\$500.00) at the time of the execution of this agreement in lieu of potential late fees and civil fines for the failure to disclose gifts on the proper line of his Activity Report and for failure to file a Form #13C.

6. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on the filer's failure to disclose gifts on the proper line of his Activity Report and failure to file Form #13C. The filer understands that the Commission is under no obligation to accept this agreement and may decide to take other action, including issuing a complaint.

7. The filer waives a formal hearing on this matter (if the agreement is accepted by the Commission) to which it would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law, and will be public information unless otherwise protected.

8. The filer understands a copy of this agreement will be mailed to the Verizon Communications.

9. The filer and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the failure to disclose gifts on the appropriate line of his Activity Report, failure to file Form #13C, for the payment in lieu of a late fee and fine, and for no other purpose.

**IN WITNESS WHEREOF**, Tabb J. Bishop and William J. Colquhoun, Staff Counsel to the State Ethics Commission, have hereunto set their hands.



William J. Colquhoun, Staff Counsel  
State Ethics Commission  
45 Calvert Street, 3<sup>rd</sup> Floor  
Annapolis, MD 21401  
(410) 260-7770



Tabb J. Bishop  
Lobbyist for Verizon Communications

State of Maryland  
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Tabb J. Bishop, who made oath on this 18<sup>th</sup> day of May, 2017 in due form of law that the matters and facts hereinabove set forth are true to the best of his knowledge, information and belief, and are his voluntary act and that he executed this document for the purpose set forth herein.

ENNIFER D WHITEHEAD  
Notary Public  
Anne Arundel County  
Maryland  
My Commission Expires May 20, 2020

[Redacted Signature]

Notary Public

State of Maryland,  
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 19<sup>th</sup> day of May, 2017 in due form of law that he executed this agreement for the purposes set forth herein.

ENNIFER D WHITEHEAD  
Notary Public  
Anne Arundel County  
Maryland  
My Commission Expires May 20, 2020

[Redacted Signature]

Notary Public

Accepted by the Commission

[Redacted Signature]

Janet E. McHugh, Chair  
for the Commission

Date: 6/15, 2017

# AMENDMENT

## MARYLAND STATE ETHICS COMMISSION

45 Calvert Street, 3rd Floor  
Annapolis, Maryland 21401  
410-260-7770  
Toll Free 1-877-669-6085

### GENERAL LOBBYING ACTIVITY FORM (Form #4)

Read instructions carefully before completing this form. If you had reportable expenses or compensation during the reporting period, complete Parts A, B, C, D, E, F and G of the Activity Report. If you had no reportable compensation or expenses during the reporting period, but were registered to engage in lobbying, check here \_\_\_\_\_ and complete Parts A, E, F, and G. If additional forms are required for this employer, those forms should be attached to and made part of this filing.

Activity Report for reporting period: May 01, 2016 through October 31, 2016

\*This Activity Report was completed by TM with Mr. Bishop via phone on behalf of Tabb J Bishop

Termination Report: Yes \_\_\_\_\_ No  x

Termination Date:

#### PART A Identification of Regulated Lobbyist (Registration and Employer)

**A. 1)** Identifying Information (complete all blanks, compare to registration form, and explain any differences)

a) Name: Tabb J Bishop

b) Permanent Address (Include firm name if applicable):  
Firm Name: Verizon

Address:  
1 East Pratt Street, 8th Floor  
Baltimore, MD 21202

c) Business telephone: 410-393-4178

Cell phone:

**A. 2)** Identification of others required to register:

a) Was any other person required to register as a lobbyist on behalf of the filer?  
Yes \_\_\_\_\_ No  x

b) If the answer to a) is "Yes", identify each such person below and give their name and address:

**A. 3)** Identification of employer (complete only if registrant acts on behalf of another):

a) Did you engage in lobbying activity on behalf of a person or entity other than yourself?  
Yes  x  No \_\_\_\_\_

Name: Verizon Communications

Permanent Address:  
1 East Pratt Street  
8th Floor  
Baltimore, MD 21202

Business Telephone: 410-393-4321

Nature of business: Telecommunications

Website of employer:

b) Did you represent any other person or entity with regard to the matters covered by this registration?  
Yes \_\_\_\_\_ No  x

**A. 4)** Primary purpose of the organization:

Is your employer, or you if there was no employer, organized and operated for the primary purpose of attempting to influence any legislative or executive action?

Yes \_\_\_\_\_ No  x

**A. 5)** Registration Information:

- a) For what period of registration did you engage in lobbying activity for this employer, or for yourself if you did not have an employer?  
November 05, 2015 to October 31, 2016
- b) What were the matters on which you or someone in your employ engaged in lobbying activity during the registration period covered by this report?  
CABLE TELEVISION  
CONSUMER PROTECTION/UNFAIR TRADE PRACTICES  
INCOME TAX  
INFORMATION TECHNOLOGY  
PRIVACY  
PUBLIC UTILITIES  
TELECOMMUNICATIONS  
UTILITIES  
VIDEO  
WIRELESS TECHNOLOGY  
WORK, LABOR AND EMPLOYMENT

c) If known include the bill number(s) of the matter(s) on which you lobby

**A. 6)** Did the employer claim the status of exempt employer on the registration form? If that exemption is no longer claimed or should be amended, please provide an explanation for the change in the field below.

Yes \_\_\_\_\_ No  x

If the exemption claimed differs from the registration form, please explain in writing:

**PART B Compensation and Expenses**

**B. 1)** Did you provide any meals and beverages for officials or employees or their immediate families?

Yes \_\_\_\_\_ No  x

If yes, what was the exact amount?

B-1  \$0.00

**B. 2)** Did you incur any expenses for special events, including dinners and receptions, entertainment and other functions to which all members of the General Assembly, either house thereof, all members of any standing committee or all members of a formally recognized (for ethics purposes) county or regional delegation have received written invitations.

Yes \_\_\_\_\_ No  x

If yes, list date, location, group of invitees who benefited and total expenses for each event.

Total B-2 Expenses  \$0.00

**B. 3)** Did you incur any expenses for food, lodging, or scheduled entertainment of officials and employees for a meeting which were given in return for participation in a panel or speaking engagement at a meeting?

Yes \_\_\_\_\_ No  x

If yes, list date, location, and total expense for each meeting. If the total amount in expenses on any one official or employee is \$200 or more for any meeting, also include the person's name and the amount expended for the person at the meeting.

Total B-3 Expenses  \$0.00

**B. 4)** Did you incur any expenses for food and beverages received by any member(s) of the General Assembly at approved legislative organization meetings?

Yes \_\_\_\_\_ No  x

If yes, list location, name of legislative organization, date and total expense for each meeting.

Total B-4 Expenses  \$0.00

**B. 5)** Did you incur any expense for a ticket or free admission to any members of the General Assembly to attend charitable, cultural or political events to which the event holder invited all members of a legislative unit?

Yes \_\_\_\_\_ No  x

If yes, list the name of the event, location, date, name of legislative unit and total expense for each event.

Total B-5 Expenses  \$0.00

- B. 6)** Did you give any gifts to or for officials or employees or their immediate families other than those that were reported in B-1 through B-5? (not including sums reported in B-1, B-2, B-3, B-4 and B-5)  
 Yes  No   
 If yes, list the amount: B-6 \$146.00  
**Subtotal of Items B-1 through B-6 - \$146.00**
- B. 7)** What was the total compensation paid to you, not including any sums reported in any other section of Part B of this report? B-7 \$27,386.00  
 Was the amount prorated because you were compensated for services in addition to lobbying activities?  
 Yes  No
- B. 8)** What was the total for salaries, compensation and reimbursed expenses you paid to your staff related to lobbying services for this employer? B-8 \$0.00
- B. 9)** Did you incur any additional office expenses not reported in B-7 or B-8? B-9 \$0.00  
 Yes  No   
 If yes, list the amount:
- B. 10)** Did you incur any cost for professional and technical research and assistance not reported in B-7 or B-8? B-10 \$0.00  
 Yes  No   
 If yes, list the amount:
- B. 11)** Did you incur any expenses for publications or expenses related to expressly encouraging persons to communicate with officials or employees? B-11 \$0.00  
 Yes  No   
 If yes, list the amount:
- B. 12)** Did you pay any fees or incur any expenses for witnesses who were engaged to appear on behalf of your employer? B-12 \$0.00  
 Yes  No   
 If yes, list the name and amount for each.  
 Total B-12 Expenses \$0.00
- B. 13)** Did you incur any other expenses for this employer not otherwise accounted for in this report? If yes, list the amount and provide an explanation. B-13 \$725.00  
 Yes  No   
 If yes, list the amount:  
 Please provide an explanation:  
Lobbying registration fee and processing fee; miscellaneous transportation expenses in connection with lobbying activities
- TOTAL \$28,257.00**
- C.** Did you give any gifts or participate in the giving of any gifts with a cumulative value of \$75 or more? (Forms 13C and 13D, Section 15-704(c))  
 Yes  No
- D.** Did you give any gifts or participate in the giving of any gifts of meals and beverages to elected Executive Officials? (Forms 13A and 13B, Section 15-705)  
 Yes  No
- E.** Did you engage in any business transactions with officials during the reporting period? (Form 21, Section 15-706)  
 Yes  No
- F.** During the reporting period, did you make any political contributions, either directly or indirectly, for the benefit of the Governor, Lt Governor, Attorney General, Comptroller member of the General Assembly or a candidate for election to any of those offices?  
 Yes  No

Please provide any narrative that you may wish with regard to any of the figures or responses in the report:

**PART G Signature and Oath**

The Public Ethics Law provides the following:

(d) *Development of electronic filing procedures.* - The Ethics Commission shall develop procedures under which a statement under this subtitle may be filed electronically and without additional cost to the individual who files the statement.

(e) *Oath or affirmation for electronically filed statements.* - (1) If the Activity Form (Form #4) filed electronically under subsection (d) of this section is required to be made under oath or affirmation, the oath or affirmation shall be made by a signed statement that:

- i. is in the Activity Form (Form #4) or attached to and made part of the Activity Form (Form #4); and
- ii. is made expressly under the penalties for perjury.

(2) A signed statement made under paragraph (1) of this subsection subjects the Individual making it to the penalties for perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths.

**I have read and I understand that by submission of this electronically filed Activity Form (Form #4), I hereby swear or affirm that the information contained in it is complete and accurate to the best of my knowledge and belief.**

Date: 05/08/2017



# AMENDMENT

## MARYLAND STATE ETHICS COMMISSION

45 Calvert Street, 3rd Floor  
Annapolis, Maryland 21401  
410-260-7770  
Toll Free 1-877-669-6085

### DISCLOSURE OF GIFTS OF \$75 OR MORE SPECIAL ACTIVITY REPORT - SINGLE EMPLOYER (Form #13C)

Period Covered by this report: May 01, 2016 through October 31, 2016

**Instructions:** This report is to be filed by regulated lobbyists, including a non-exempt employer who has expended \$75 or more for gifts to any official, employee or the spouse or dependent children of the employee or official during a reporting period. You must include in this report gifts whether or not given in connection with lobbying activities and gifts totaling \$75 by multiple registrants for one employer. The form is also applicable to situations in which the total of multiple registrants for one employer gives a gift of \$75 or more even though a single registrant's portion of the gift for that particular employer did not reach that level. All gifts paid in whole or part by the employer count toward the \$75 threshold except for: 1) Those expended on legislators or its public official staff at special events for all members of the General Assembly, either House, a standing committee thereof, or all members of a formally recognized county or regional delegation, 2) Food or beverages received by a legislator at the time and geographic location of a meeting of a legislative organization for which the legislator's presiding officer has approved attendance at State expense, 3) Gifts of tickets or free admission to a legislator by the person conducting or sponsoring the charitable, cultural or political event to which were invited all members of a legislative unit, 4) Gifts reported by name of recipient in an Executive Elected Official's Meals and Beverages Special Report, or 5) Gifts for expenses related to speaking engagements. You must also include in this report situations in which the lobbyist or non-exempt employer has provided tickets or free admission to other than an official legislative unit or if a legislator receives two or more tickets with a cumulative value of \$100 or more. If you participated in a gift(s) with one or more other lobbyists, you must itemize your portion of the gift on this form and cross-reference to the reports of the other lobbyist(s). If the lobbyist paid the \$75 from his or her own funds, use Form 13D. Under some circumstances, Form 13D may be used in situations in which a lobbyist has been involved in \$75 or more in gifts to a single official or employee.

#### **PART A. Identification of Regulated Lobbyist (Registrant and Employer).**

##### **I. Identifying Information**

- a) Name of Registrant: Tabb J Bishop
- b) Permanent Address (Include firm name if applicable):  
Firm Name: Verizon
- Address:  
1 East Pratt Street, 8th Floor  
Baltimore, MD 21202
- c) Business telephone: 410-393-4178

Cell phone:

##### **II. Identification of Employer**

Complete only if registrant acts on behalf of another.

- a) Identify the person or organization who compensated the lobbyist for activities requiring this registration.

Name: Verizon Communications

Permanent Address:

1 East Pratt Street  
8th Floor  
Baltimore, MD 21202

Business Telephone: 410-393-4321

Nature of business: Telecommunications

Website of employer:

- b) Did you represent any other person or entity with regard to the matters covered by this registration?  
Yes \_\_\_\_\_ No X

**PART B. Names of Officials, Employees or Member of Their Immediate Family Receiving Gifts of \$75 or More**

If an official or employee, including members of the person's immediate family, receives gifts totaling \$75 or more, you must list in this report the recipient and each individual gift that is part of the \$75. Include the name of recipient, date, value and nature for each gift or gifts. If the amount listed for a gift on this report is only part of the cost of a gift partially paid by other registrants of this employer, list the total cost of the gift under "Nature of the Gift." You must also report your portion of a total gift of \$75 or more to which other lobbyists registered on behalf of the employer contributed, and you must identify the other lobbyist contributors. In the "Comment" space below, the donor may also explain the circumstances under which the gift was given.

Name of Recipient	Title or Position (if family member of official or employee, name of official or employee and relationship)	Date	Itemization of Gifts	
			Amount or Value	Nature of Gift
Don Terry Veal	Employee	08/27/2016	\$146.00	Tickets

Gift Comment (if any): Ticket value was reimbursed by the individual on April 21, 2017

**PART C. Identification of other lobbyists registered on behalf of the employer**

a) Did other regulated lobbyists registered on behalf of the employer contribute to these gifts?  
 Yes \_\_\_\_\_ No   x  

**PART D. Signature and Oath.**

The Public Ethics Law provides the following:

(d) *Development of electronic filing procedures.* - The Ethics Commission shall develop procedures under which a statement under this subtitle may be filed electronically and without additional cost to the individual who files the statement.

(e) *Oath or affirmation for electronically filed statements.* - (1) If the Special Gift Form (Form #13C) filed electronically under subsection (d) of this section is required to be made under oath or affirmation, the oath or affirmation shall be made by a signed statement that:

- i. is in the Special Gift Form (Form #13C) or attached to and made part of the Special Gift Form (Form #13C); and
- ii. is made expressly under the penalties for perjury.

(2) A signed statement made under paragraph (1) of this subsection subjects the individual making it to the penalties for perjury to the same extent as an oath or affirmation made before an individual authorized to administer oaths.

  x   **I have read and I understand that by submission of this electronically filed Special Gift Form (Form #13C), I hereby swear or affirm that the information contained in it is complete and accurate to the best of my knowledge and belief.**

Date:   05/08/2017