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## STATE ETHICS COMMISSION

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January 6, 2017

TO: Financial Disclosure Filers

SUBJECT: Financial Disclosure of Liabilities to Persons Doing Business with the State  
(Schedule F of the Financial Disclosure Form)

The State Ethics Law requires that a filer list on Schedule F of the Financial Disclosure Form all indebtedness, excluding credit accounts, owed at any time during the reporting year to persons doing business with the State. Doing business with the State includes entities involved in lobbying, entities regulated by the filer's agency, and entities involved in sales, purchases, leases or contracts with the State of at least \$5,000.

Below is a listing of financial entities doing business with the State. The State Ethics Commission's website also contains a link to a State vendor list maintained by the Department of Budget and Management ("DBM") that permits a search of vendors, by fiscal year (note that the reporting period for your financial disclosure statement covers a calendar year), that received payments from the State. If you owed money to any of the financial institutions listed below or to any entity found on the DBM site during the reporting period, you must disclose the details on **Schedule F**. If you need any further assistance, please do not hesitate to call our office.

(Note: The list below and the DBM website will also be useful to you in completing Schedule D, which requires you to list certain gifts you received from, among other things, entities that did business with the State, and Schedule E, which requires you to report any salaried employment, office or directorship that you or any member of your immediate family (spouse or dependent child) had with an entity that did business with the State.)

### **FINANCIAL INSTITUTIONS DOING BUSINESS WITH THE STATE - 2016**

1880 Bank  
1<sup>st</sup> Mariner Bank  
Atlantic Financial Credit Union  
Bank of America  
Bank of America Merrill Lynch  
Bank of New York  
Bank of New York Mellon  
Bank of Nova Scotia  
BB&T (Branch Banking & Trust Co.)  
BB&T Capital Markets

Blaylock Robert Van, LLC  
BOK Financial Securities (formerly BOSCO, Inc.)  
Calvin B. Taylor Bank  
Capitol One Public Funding  
Cedar Point Federal Credit Union  
Charles Schwab & Co., Inc.  
Citi Capital  
Citibank  
Citigroup Global Markets, Inc.  
CitiMortgage, Inc.

CNB (formerly Centreville National Bank)  
Columbia Bank  
Commerce Bank  
Community Bank of the Chesapeake  
Destinations Credit Union  
Deutsche Bank  
Drexel Hamilton  
Eagle Bank  
Essex Bank  
First Mariner Bank  
First Peoples Community FCU  
First United Bank & Trust  
FTN Financial  
Goldman Sachs & Co.  
Guzman & Company  
Jefferies & Company, Inc.  
JP Morgan  
JP Morgan Chase Bank  
Lebenthal & Company  
M&T Bank (Manufacturers & Traders Trust Co.)  
Mellon Bank  
Mellon Financial Markets, LLC  
Merrill Lynch & Co.  
Merrill Lynch, Pierce, Fenner & Smith, LLC  
Mesirow Financial, Inc.  
Morgan Stanley & Company, Inc.  
National Bank of Cambridge

North Arundel Savings Bank  
Old Line Bank (formerly Maryland Bank & Trust)  
Peoples Bank  
Piper Jaffray  
PNC Bank  
Provident Bank  
Raymond James & Associates, Inc.  
Rice Financial Products, Co. (Apex Pryor Sec.)  
Robert Baird & Co., Inc.  
Sandy Spring Bank  
SECU (State Employees Credit Union)  
Shore United Bank  
Sigma Financial Corporation  
Stephens, Inc.  
Sun Trust Robinson Humphrey, Inc.  
Sun West Mortgage Company  
SunTrust Bank, Inc.  
TD Bank  
U.S. Bank  
U.S. Bank Corporate Trust Services  
UBS Securities LLC (Paine Weber)  
Wells Fargo Advisors  
Wells Fargo Bank  
Wells Fargo Home Mortgage  
Wex Bank  
Williams Capital Group, LP  
Zions First National Bank