

RECEIVED

JUN 18 2012

LOBBYIST FILING AGREEMENT

This Agreement, made this 18th day of June, 2012, by and between Anne Marie Vassallo, lobbyist for Lois Wheaton, LLC, and William J. Colquhoun, Staff Counsel, to the Maryland State Ethics Commission (hereinafter "Staff Counsel")

STATE ETHICS COMMISSION

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Public Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Anne Marie Vassallo ("the filer") is an individual whose lobbying activities on behalf of Lois Wheaton LLC during the period of November 1, 2011 to October 31, 2012 required her to register as a lobbyist with the State Ethics Commission.

The filer did not timely register on behalf of the employer Lois Wheaton, LLC, ("the employer") as required by the Md. Code Ann., State Gov't. Art., § 15-703(d)(1)(Supp. 2011).

The filer's records indicate that she did timely register to lobby on behalf of the employer, albeit technically incorrect, collectively on the Lobbying Registration Form (Form #3) of another registering lobbyist on November 4, 2011. This filing also included the Lobbying Registration for period ending October 31, 2012 (see Attachment 1).

The filer believed that she had properly registered as a lobbyist and subsequently filed the required Activity Report on November 14, 2011 for the period ending October 31, 2011 and again on May 18, 2012 for the period ending April 30, 2012.

The Ethics Commission contacted the filer's office on approximately May 24, 2012 to advise of the errors in the November 4, 2011 Lobbying Registration. Upon receiving this information, the filer took all necessary steps to immediately submit to the Ethics Commission an individual registration and payment pertaining thereto (see Attachment 2).

The filer is voluntarily entering into this Agreement to admit the error in technically filing incorrectly the Lobbying Registration with the Commission and also to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 15-405 of the Public Ethics Law.

NOW THEREFORE, in consideration of the submission of the required lobbyist revised Lobbying Registration form by the filer on May 31, 2012 and the admissions and agreements herein contained, the filer and the Staff Counsel stipulate and agree as follows:

1. The filer did not timely file her Lobbying Registration form correctly on behalf of Lois Wheaton, LLC for the period of November 1, 2011 to October 31, 2012, as required by § 15-703(d)(1) of the Public Ethics Law.

2. Upon notice by the Ethics Commission, the filer understood the delinquency and filed her revised Lobbying Registration form (a copy of which has been attached hereto and made a part hereof) (see Attachment 2) on May 31, 2012.

3. The filer understands that failure to comply with the lobbying disclosure provisions of the Public Ethics Law may subject her to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this Agreement the filer agrees to pay as settlement of the late filing matter, the amount of Two Hundred and Fifty Dollars (\$250.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (paragraph 1).

5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on her failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.

6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which she would be entitled if a complaint were issued, and she understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics law, and will be public information unless otherwise protected.

7. The filer understands a copy of this Agreement will be mailed to her employer.

8. The filer and Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Anne Marie Vassallo and William J. Colquhoun, Staff Counsel, State Ethics Commission, have hereunto set their hands.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
(410) 260-7770

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Anne Marie Vassallo
Lobbyist
Lois Wheaton, LLC

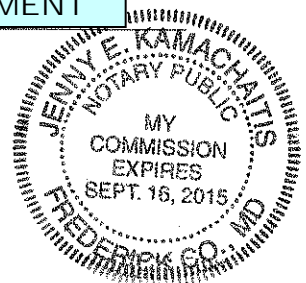
State of Maryland
County of: Montgomery, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Anne Marie Vassallo, who made oath on this 18th day of June, 2012 in due form of law that the matters and facts hereinabove set forth are true to the best of her knowledge, information and belief, and are her voluntary act and that she executed this document for the purpose set forth here

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Notary Public

My Commission Expires: September 16, 2015

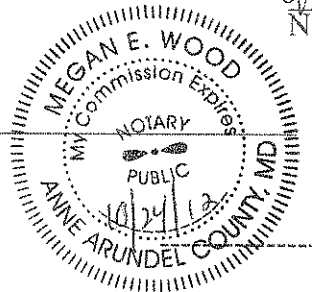


State of Maryland,
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 18 day of June, 2012 in due form of law that he executed this Agreement for the purposes set forth herein.

Megan E. Wood
Notary Public

My Commission Expires:



Accepted by the Commission

[Signature]
SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert F. Scholz, Chairman
for the Commission

Date: June 28, 2012

SHULMAN
ROGERS

GANDAL
PORDY
ECKER

JENNY E. KAMACHAITIS | PARALEGAL
T 301.255.0539 E jkamachaitis@shulmanrogers.com

November 4, 2011

VIA FEDERAL EXPRESS

Commissioner
State of Maryland
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, Maryland 21401

RE: Lobbying Registration
Lois Wheaton, LLC
Our File No. 029314.00368

Dear Sir/Madam,

We have enclosed for filing with the Commission a Lobbying Registration for lobbyists Nancy P. Regelin, Larry A. Shulman, and Anne Marie Vassallo for the past period ending 10/31/2011 on behalf of Employer, Lois Wheaton, LLC.

Also enclosed is the Lobbying Registration for lobbyists Nancy P. Regelin, Larry A. Shulman, and Anne Marie Vassallo for the current period ending 10/31/2012, on behalf of Employer, Lois Wheaton, LLC.

If there are any questions relating to the Registrations, please direct any communication to me.

Very truly yours,

SIGNATURE APPEARS ON
ORIGINAL AGREEMENT

Jenny E. Kamachaitis

Enclosures

c: Nancy P. Regelin, Esquire

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00531 STATE OF MARYLAND

Check Date: 11/04/2011 Check Number: 19550 jenny

Date	INV #	Client.Matter	Description	GL Acct	Amount
11/03/11	1103/029314.368	029314.00368	filing fee state lobby	011120000000000	100.00

Check Amount: \$100.00

SAFEGUARD LITHO USA 67584P 2A7508114P

ORIGINAL DOCUMENT PRINTED ON CHEMICAL REACTIVE PAPER WITH MICROPRINTED BORDER

SHULMAN, ROGERS, GANBAL, PORDY & ECKER, P.A.
 12505 PARK POTOMAC AVE., 6th FLOOR
 POTOMAC, MD 20854
 (301) 230-5200

BB & T OPERATING ACCOUNT
 Branch Banking and Trust Co.
 1-800-BANK-BBT BBT.com

CHECK NUMBER: 19550
 CHECK DATE: 11/04/2011
 VENDOR NUMBER: 00531
 1103/029314.368

65-320/550

ONE HUNDRED AND 00/100 DOLLARS

\$100.00*****

PAY TO THE ORDER OF

STATE OF MARYLAND

BY ENDORSEMENT THIS CHECK IS ACCEPTED IN FULL PAYMENT OF THE ABOVE

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

VOID AFTER SIX MONTHS

THIS DOCUMENT CONTAINS HEAT SENSITIVE INK. TOUCH OR PRESS HERE. RED IMAGE DISAPPEARS WITH HEAT.

0000195500550033085155907128

0000010000

SHULMAN
ROGERS

GANDAL
PORDY
ECKER

JENNY E. KAMACHAITIS | PARALEGAL
T 301.255.0539 E jkamachaitis@shulmanrogers.com

May 30, 2012

VIA FEDERAL EXPRESS

Mrs. Megan Shutz
State of Maryland
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, Maryland 21401

RE: Lois Wheaton, LLC
General Lobbying Activity Report
Our File No. 120132.00002

Dear Megan,

As we discussed last week, we are forwarding to you the enclosed revised General Lobbying Activity Reports for Anne Marie Vassallo and Lawrence A. Shulman for the period ending April 30, 2012, on behalf of employer, Lois Wheaton, LLC.

We also submit to you, as requested, originally executed by registrant, Lobbying Registrations for Anne Marie Vassallo and Lawrence A. Shulman. The required registration fee for each is also enclosed.

Please update your records and certainly let me know if there is anything more outstanding in this matter.

Sincerely,

SIGNATURE APPEARS ON
ORIGINAL AGREEMENT

Jenny E. Kamachaitis

Enclosures

c: Nancy P. Regelin
Anne Marie Vassallo
Lawrence A. Shulman

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PART A. GENERAL INFORMATION

What Type of registration are you seeking?

Legislative Action Lobbyist x

Grass Roots Lobbyist _____

Executive Action Lobbyist x

Non-exempt employer _____

Primary purpose of Organization

Is the employer or the registered organization (if there is no employer) organized and operated for the primary purpose of attempting to influence any legislation or executive action?

Yes _____ No x

Check Number: 23826

PART B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST

1. Identifying Information

a) Name of Registrant/Regulated Lobbyist: Anne Marie Vassallo

b) Firm Name: Shulman, Rogers, Gandal, Pordy & Ecker, P.A

Address:

12505 Park Potomac Avenue, 6th Floor
Potomac, MD 20854

c) Business telephone: 301-230-5200

Cell phone:

Do you want your telephone number on the published lobbyist list?

Yes _____ No x

d) What is your occupation or type of business? Attorney

If other: N/A

2. Identification of others required to register

a) Will any other person be required to register as a lobbyist on behalf of you or the organization identified in section 1?

Yes x No _____

b) If the answer to a) is "yes", identify each such person below and give their name and address?

Name: Lawrence A. Shulman

Address:

12505 Park Potomac Avenue, 6th Floor
Potomac, MD 20852

Business Phone: 301-230-5200

Email: lshulman@shulmanrogers.com

Name: Nancy P Regelin

Address:

12505 Park Potomac Avenue, Sixth Floor
Potomac, MD 20854

Business Phone: 301-230-5200

Email: nregelin@shulmanrogers.com

3. Identification of employer

a) Name of persons or organizations who compensate you for activities that require registration.
Lois Wheaton, LLC

Permanent Address:

c/o Akman Group Ltd.
5530 Wisconsin Avenue #1115
Chevy Chase, MD 20815

Business Telephone: 301-654-1675

Nature of business: Real Estate

Website of employer:

- b) Will you be representing any other person or entity regarding the matters identified in this registration?
Yes _____ No x

4. Registration Information

- a) For what period will this registration be effective?
November 01, 2011 to October 31, 2012
- b) On what matters will you be acting or employing someone to act during the registration period?
Other - MD Rt. 97/Randolph Road Interchange Project access and alignment alternatives issues.
- c) If known include the bill number(s) of the matter(s) on which you lobby

PART C. REGISTRANT'S REGULATED LOBBYIST'S SIGNATURE

(Name) (Date)

PART D. AUTHORIZATION TO ACT

1 Authorization

I hereby certify that the information contained herein is correct and that Anne Marie Vassallo (name of lobbyist) is authorized to act on behalf of Lois Wheaton, LLC (name of employer) for the period from November 01, 2011 to October 31, 2012 unless this authority is terminated sooner.

2 Exemption Status of Employer

- a) x The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant/regulated lobbyist.
- b) _____ The employer does not claim an exemption from filing its own registration and activity report because the registrants/regulated lobbyist will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.
- c) _____ The employer does not claim an exemption from filing its own registration and reports based on the activities of the filer will report only expenditures and compensation regarding the filer's activities. However, the employer does claim an exemption from filing its own registration and reporting because another regulated lobbyist will report any other expenditures of the employer, and the employer will engage in no other activity that would require it to register or report.

Employer's signature Date

Signature of Person Identified in Part B, Section 3 Date

Employer's Typed Signature