

LOBBYIST FILING AGREEMENT

RECEIVED

JUN 18 2012

STATE ETHICS COMMISSION

This Agreement, made this 14th day of June, 2012, by and between Martin Thomas, lobbyist for SEIU Local 32BJ, and William J. Colquhoun, Staff Counsel, to the Maryland State Ethics Commission (hereinafter "Staff Counsel").

RECITALS

The State Ethics Commission ("the Commission") is the executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (State Government Article, Title 15, Annotated Code of Maryland, hereinafter the Public Ethics Law) including the provisions of Subtitle 7, the lobbying disclosure program.

Martin Thomas ("the filer") is an individual whose lobbying activities on behalf of SEIU Local 32BJ during the period of November 1, 2011 to October 31, 2012 required him to register as a lobbyist with the State Ethics Commission.

The filer did not timely register on behalf of the employer SEIU Local 32BJ, ("the employer") as required by the Md. Code Ann., State Gov't. Art., § 15-703(d)(1)(Supp. 2011).

The filer subsequently registered on May 31, 2012 for the period of November 1, 2011 to October 31, 2012.

The filer is voluntarily entering into this Agreement to admit his failure to timely file a lobbyist registration with the Commission and to pay a sum of money in lieu of potential late filing fees and other fines pursuant to § 15-405 of the Public Ethics Law.

NOW THEREFORE, in consideration of the submission of the required lobbyist registration form by the filer on May 31, 2012 and the admissions and agreements herein contained, the filer and the Staff Counsel stipulate and agree as follows:

1. The filer did not timely file his lobbyist registration form on behalf of SEIU Local 32BJ for the period of November 1, 2011 to October 31, 2012, as required by § 15-703(d)(1) of the Public Ethics Law.

2. The filer reported his delinquency to the Commission and filed his registration form (a copy of which has been attached hereto and made a part thereof) on May 31, 2012.

3. The filer understands that failure to comply with the lobbying disclosure provisions of the Public Ethics Law may subject his to a formal complaint by the Commission and, upon finding of a violation, late fees and the possibility of civil fines.

4. Based on the facts stated in this Agreement the filer agrees to pay as settlement of the late filing matter, the amount of Two Hundred and Fifty Dollars (\$250.00) at the time of the execution of this Agreement in lieu of a potential late fees and in lieu of civil fines for the above admitted late registration statement (paragraph 1).

5. The Staff Counsel will, upon execution of this Agreement by the filer, recommend that the Commission not institute any proceedings on his failure to timely register. The filer understands that the Commission is under no obligation to accept this Agreement and may decide to take other action, including issuing a complaint.

6. The filer waives a formal hearing on this matter (if the Agreement is accepted by the Commission) to which he would be entitled if a complaint were issued, and he understands that this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Public Ethics Law, and will be public information unless otherwise protected.

7. The filer understands a copy of this Agreement will be mailed to his employer.

8. The filer and Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of a lobbyist registration statement and for the payment of late fees and other fines and for no other purpose.

IN WITNESS WHEREOF, Martin Thomas and William J. Colquhoun, Staff Counsel, State Ethics Commission, have hereunto set their hands.

SIGNATURE APPEARS ON
ORIGINAL AGREEMENT

William J. Colquhoun
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
(410) 260-7770

SIGNATURE APPEARS ON
ORIGINAL AGREEMENT

Martin Thomas
Lobbyist
SEIU Local 32BJ

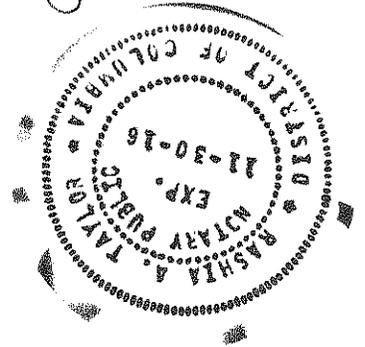
District of Columbia
State of Maryland
County of: Washington, to wit:
city

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Martin Thomas, who made oath on this 14th day of June, 2012 in due form of law that the matters and facts hereinabove set forth are true to the best of his knowledge, information and belief, and are his voluntary act and that he executed this document for the purpose set forth herein.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

RASHIA A. TAYLOR
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires November 30, 2016
Notary Public

My Commission Expires: _____



State of Maryland,
County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 18 day of June, 2012 in due form of law that he executed this Agreement for the purposes set forth herein.

Megan E. Wood
Notary Public

My Commission Expires: _____
MEGAN E. WOOD
My Commission Expires
NOTARY PUBLIC
10/24/12
ANNE ARUNDEL COUNTY, MD

Accepted by the Commission

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert P. Scholz, Chairman
for the Commission

Date: June 28, 2012

PART A. GENERAL INFORMATION

What Type of registration are you seeking?

Legislative Action Lobbyist

Grass Roots Lobbyist

Executive Action Lobbyist

Non-exempt employer

Primary purpose of Organization

Is the employer or the registered organization (if there is no employer) organized and operated for the primary purpose of attempting to influence any legislation or executive action?

Yes No

Check Number: 97483

PART B. IDENTIFICATION OF REGISTRANT/REGULATED LOBBYIST

1. Identifying Information

a) Name of Registrant/Regulated Lobbyist: Martin Thomas

b) Firm Name: Service Employees International Union, Local 32BJ

Address:
25 West 18th Street
5th Floor
New York, NY 10011

c) Business telephone: 212-539-2941

Cell phone:

Do you want your telephone number on the published lobbyist list?

Yes No

d) What is your occupation or type of business? Union Employee

If other: N/A

2. Identification of others required to register

a) Will any other person be required to register as a lobbyist on behalf of you or the organization identified in section 1?

Yes No

b) If the answer to a) is "yes", identify each such person below and give their name and address?

3. Identification of employer

a) Name of persons or organizations who compensate you for activities that require registration.
SEIU Local 32BJ

Permanent Address:
1025 Vermont AvE NW 7th Floor
Washington
Washington, DC 20001

Business Telephone: 212-388-2175

Nature of business: Labor Union

Website of employer: www.seiu32bj.org

b) Will you be representing any other person or entity regarding the matters identified in this registration?

Yes No

4. Registration Information

a) For what period will this registration be effective?

November 01, 2011 to October 31, 2012

b) On what matters will you be acting or employing someone to act during the registration period?

WORK, LABOR AND EMPLOYMENT

c) If known include the bill number(s) of the matter(s) on which you lobby

19-12

PART C. REGISTRANT'S REGULATED LOBBYIST'S SIGNATURE

(Name)

(Date)

PART D. AUTHORIZATION TO ACT

1 Authorization

I hereby certify that the information contained herein is correct and that Martin Thomas (name of lobbyist) is authorized to act on behalf of SEIU Local 32BJ (name of employer) for the period from November 01, 2011 to October 31, 2012 unless this authority is terminated sooner.

2 Exemption Status of Employer

- a) The employer claims the exemption from filing its own registration and activity reports because all expenditures requiring registration and reporting will be reported by this registrant/regulated lobbyist.
- b) The employer does not claim an exemption from filing its own registration and activity report because the registrants/regulated lobbyist will report only expenditures and compensation regard the filer's activity. If this option is selected, the employer must submit a separate registration for lobbying and the required reports.
- c) The employer does not claim an exemption from filing its own registration and reports based on the activities of the filer will report only expenditures and compensation regarding the filer's activities. However, the employer does claim an exemption from filing its own registration and reporting because another regulated lobbyist will report any other expenditures of the employer, and the employer will engage in no other activity that would require it to register or report.

Employer's signature Date

Signature of Person Identified in Part B, Section 3 Date

Employer's Typed Signature