

In the Matter of:

ELBRIDGE JAMES

Respondent

Before the State Ethics Commission

Complaint No.: C-103-11

STATE ETHICS COMMISSION

AUG 29 2011

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STIPULATION OF SETTLEMENT AGREEMENT

On the 26th day of AUGUST, 2011, between Elbridge James, Respondent and William J. Colquhoun, Staff Counsel, agreed as follows:

STIPULATIONS

1. The State Ethics Commission ("Commission") is an executive agency of the State of Maryland established for the purpose of enforcing the Maryland Public Ethics Law (Title 15, State Government Article, Annotated Code of Maryland, hereinafter "the Ethics Law").
2. The Respondent timely registered as a lobbyist with the State Ethics Commission on behalf of Faces of Our Children ("the employer") for the period of January 11, 2011 through October 31, 2011 as required by § 15-703(d)(1) of the Ethics Law.
3. The Respondent was required to submit a Lobbying Activity Report by May 31, 2011, for the period November 1, 2010 through April 30, 2011, as required by § 15-704(a)(i) of the Ethics Law, and failed to submit the required report in a timely manner.
4. On July 18, 2011, the Respondent submitted the delinquent Lobbying Activity Report for the period November 1, 2010 through April 30, 2011.
5. On July 14, 2011, the Commission issued a complaint against the Respondent alleging the failure to file, by May 31, 2011, a Lobbying Activity Report for the period of November 1, 2010 through April 30, 2011.
6. The Respondent is voluntarily entering into this Stipulation of Settlement Agreement to admit his failure to timely file the Lobbying Activity Report for the period November 1, 2010 through April 30, 2011 and to pay a sum of money in lieu of potential late filing fees and fines that could be assessed pursuant to § 15-405 of the Ethics Law.

NOW THEREFORE, in consideration of the agreements herein contained and effective on the Commission's acceptance of the terms of this Agreement, Elbridge James, Respondent, and William J. Colquhoun, Staff Counsel agree as follows:

- A. Respondent admits that he did not timely file his Lobbying Activity Report on behalf of the employer for the period November 1, 2010 through April 30, 2011 by May 31, 2011, in violation of § 15-704(a)(ii) of the Ethics Law.
- B. Respondent subsequently filed the required Lobbying Activity Report with the Commission (a copy of which has been attached hereto and made a part hereof).
- C. Respondent understands that failure to comply with the lobbying disclosure provisions of the Ethics Law may subject him to the possibility of late fees, civil fines, reprimand, a suspension of his ability to lobby for compensation and other penalties. Respondent acknowledges that he has been advised that the filing deadlines for activity reports are May 31st for the period of November 1st to April 30th and November 30th for the period May 1st through October 31st if he is registered in those reporting periods. Respondent also acknowledges that future late filed activity reports could be viewed as knowing and willful violations of the Ethics Law.
- D. Based on the facts stated in this Stipulation of Settlement, the Respondent agrees to pay as settlement of the complaint, the amount of One Hundred Dollars (\$100.00) at the time of the execution of this agreement in lieu of potential late fees and in lieu of civil fines for the above admitted late activity report (paragraph 1).
- E. Respondent waives a formal hearing on this matter and agrees that acceptance of the Stipulation of Settlement by the Commission would constitute, based on his admission of violations of the Ethics Law, a final action and finding of violation by the Commission, and, pursuant to § 15-407 of the Ethics Law, this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law and will be public information unless otherwise protected.
- F. Staff Counsel, upon execution of this Agreement by the Respondent, will recommend that the Commission suspend further complaint proceedings against the Respondent and recommend further that the Commission issue a Final Order in accordance with the terms of this Agreement.
- G. The agreement, including any documents incorporated herein, and the Final Order will be transmitted to the employer.

H. Respondent and Staff Counsel have entered into this Agreement for the sole purpose of resolving the late submission of the Lobbying Activity Report, the payment in lieu of late fees and fines, to resolve the complaint now pending, and for no other purpose.

IN WITNESS WHEREFORE, Elbridge James, Respondent and William J. Colquhoun, Staff Counsel for the State Ethics Commission, have signed below.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun, Staff Counsel
State Ethics Commission
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
(410) 260-7770

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Elbridge James
Lobbyist
Faces of Our Children

State of Maryland

County of: MONTGOMERY, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Elbridge James, who made oath on this 26th day of AUGUST, 2011, in due form of law that the matters and facts hereinabove set forth are true to the best of his knowledge, information and belief, and are his voluntary act, and that he executed this document for the purpose set forth herein.

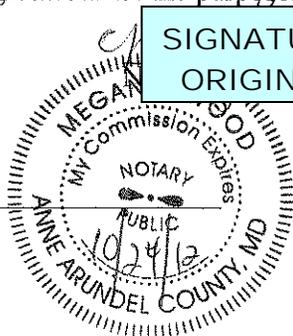
No. SIGNATURE APPEARS ON ORIGINAL AGREEMENT

My Commission Expires: 9/14/2014

State of Maryland

County of: Anne Arundel, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 29 day of AUGUST, 2011, in due form of law, and that he executed this agreement for the purposes set forth herein.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT


My Commission Expires: _____

Accepted by the Commission

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Robert F. Scholz, Chairman
for the Commission

Date: 9/14, 2011

MARYLAND STATE ETHICS COMMISSION
45 Calvert Street, 3rd Floor
Annapolis, MD 21401
410-260-7770
Toll Free 1-877-669-6085

GENERAL LOBBYING ACTIVITY REPORT (Form #4)

Read instructions carefully before completing this form. If you had reportable expenses or compensation during the reporting period, complete Parts A, B, C, D, E, F and G of the Activity Report. If you had no reportable compensation or expenses during the reporting period, but were registered to engage in lobbying, check here and complete Parts A, E, F and G. If additional forms are required for this employer, those forms should be attached to and made part of this filing.

RECEIVED

Period covered by this report: November 1, 2011 through April 30, 2011
May 1, 2011 through October 31, 2011

JUL 18 2011

STATE ETHICS COMMISSION

A. Identification of Regulated Lobbyist (Registrant and Employer)

A-1. Identifying information (complete all blanks, compare to registration form, and explain any differences)

- a) Name ELBRIDGE G. JAMEI
- b) Permanent Address (Include firm name if applicable) 652 IVY LEAGUE LA
ROCKVILLE, MD 20850
- c) Business Telephone (301) 213-9657
- d) Occupation or Type of Business CONSULTING

A-2. Identification of others required to register:

- a) Was any other person required to register as a lobbyist on behalf of the person or organization identified in section A-1?
Yes No
- b) If the answer to a) is "Yes," identify each such person below and give their name and address:

A-3. Identification of employer (complete only if registrant acts on behalf of another):

- a) Identify all persons or organizations who compensate the registrant for activities requiring this registration:
Name FACES OF OUR CHILDREN
Permanent Address 1920 L ST., N.W. SUITE 301
WASHINGTON, D.C. 20036
Business Telephone 1-800-322-3711
Nature of Business HEALTH CARE / COMMUNITY EDUCATION
- b) Identify any other person who the registrant represents regarding the matters covered by this registration (if none, put in none) ~~NAME~~ DON CASH

A-4. Primary purpose of organization:

Is the employer, or registrant organization if there was not an employer, organized and operated for the primary purpose of attempting to influence any legislative or executive action? Yes No
(If "Yes," the Report of Contributors Form No. 12 must also be completed.)

A-5. Registration Information:

- a) State the period (include both beginning and ending month, day and year) for which this registration is effective:
JANUARY 1, 2011 to APRIL 30, 2011
- b) Identify the matters (including formal designation if known) on which the registrant acted or employed someone to act during the registration period covered by this report ADDRESS HEALTH CARE INEQUITIES
SUFFERED BY PERSONS W/ SICKLE CELL ANEMIA

A-6. Does the employer listed in A-3 claim to be exempt from lobbyist registration and reporting because all expenditures requiring registration are reported by the registrant, another registrant, or by the registrant with other registrants?

Yes No If the exemption claimed differs from the registration form, please explain in writing.

B. Compensation and Expenses

- B-1. Meals and beverages for officials or employees or their immediate families. B-1 \$ 0.00
- B-2. Special events, including dinners and receptions, entertainment, and other functions to which all members of the General Assembly, either house thereof, all members of any standing committee or all members of a formally recognized (for ethics disclosure purposes) county or regional delegation have received written invitations. List date, location, group of invitees who benefited and total expenses for each event. (Not required for meals and receptions listed completely and accurately on the 14-Day Report, Form 13F, but check below.)
 ___ Event(s) Fully Reported on 14-Day Report(s)
 _____ Total B-2 Expenses \$ _____
- B-3. Expenses for food, lodging, or scheduled entertainment of officials and employees for a meeting which are given in return for participation in a panel or speaking engagement at the meeting. List date, location, and total expense for each meeting. If the total amount in expenses on any one official or employee is \$200 or more for any meeting, also include the person's name and the amount expended for the person at the meeting.
 _____ Total B-3 Expenses \$ 0.00
- B-4. Expenses for food and beverages received by member of General Assembly or members at approved legislative organization meeting. List location, name of legislative organization, date and total expense for each meeting.
 _____ Total B-4 Expenses \$ 0.00
- B-5. Expenses for a ticket or free admission to members of the General Assembly to attend charitable, cultural or political events where all members of legislative unit are invited by the event holder. List event, location, date, legislative unit and total expenses for each event.
 _____ Total B-5 Expenses \$ 0.00
- B-6. Gifts to or for officials or employees or their immediate families (not including sums reported in B-1, B-2, B-3, B-4 and B-5). B-6 \$ 0.00
- Subtotal of Items B-1 through B-6 - \$ 0.00**
- B-7. Total compensation paid to registrant (not including sums reported in any other section of Part B). Check here if the amount has been prorated because the registrant is compensated for services in addition to lobbying activities. B-7 \$ 0.00
- B-8. Salaries, compensation and reimbursed expenses for staff of the registrant. B-8 \$ 0.00
- B-9. Office Expenses not reported in B-7 and B-8. B-9 \$ 0.00
- B-10. Cost of professional and technical research and assistance not reported in B-7 and B-8. B-10 \$ 0.00
- B-11. Publications and expenses which expressly encourage persons to communicate with officials or employees. B-11 \$ 0.00
- B-12. Names of witnesses and the fees and expenses paid to each: B-12 \$ 0.00
- B-13. Other expenses. Explain: _____ B-13 \$ 0.00

TOTAL \$ 0.00

- C. Gifts or participation in gifts with a cumulative value of \$75 or more. (Forms 13C and 13D, Section 15-704(c))
(Check One) Yes, Attached No Report Required
- D. Gifts or participation in gifts of meals and beverages to elected Executive officials. (Forms 13A and 13B, Section 15-705)
(Check One) Yes, Attached No Report Required
- E. Business transactions with officials. (Form 21, Section 15-706)
(Check One) Yes, Attached No Report Required
- F. Political contributions required to be disclosed. (Form 22, Section 15-707)
(Check One) Yes, Attached No Report Required

G. Signature and Oath

I hereby make oath or affirm under the penalties of perjury that the contents of this report including any attachments thereto, are complete, true and correct to the best of my knowledge, information and belief.

(SEAL)

Signature of Person Filing: _____

Date: _____

Sworn to before me this _____ day of _____, 2011

Signature of Notary Public: _____

Printed/typed Name of Notary Public: _____

NOTARY PUBLIC My Commission Expires: _____

MONTGOMERY COUNTY
MARYLAND

My Commission Expires 9/2/2013

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

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