\*
In the Matter of \* Before the
Rosalyn Hamlett \* State Ethics Commission
Respondent \* Complaint No. C-29-11
\*

<u>ORDER</u>

Having considered the Stipulation of Settlement Agreement and Addendum to Stipulation of Settlement Agreement entered into between Respondent, Rosalyn Hamlett, and William J. Colquhoun, Staff Counsel to the State Ethics Commission on October 20, 2012, the State Ethics Commission hereby determines that the matter complained of herein has been settled by the Respondent's execution of the two settlement agreements, attached hereto and made part hereof. It is hereby

ORDERED, that the Respondent is reprimanded, and that this Order, Stipulation of Settlement and Addendum to Stipulation of Settlement are public documents and shall be transmitted to the Governor and the Secretary Department of Planning.

STATE ETHICS COMMISSION

Date: November 2, 2012

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

**ORIGINAL AGREEMENT** 

Jennifer K. Allgair, General Counsel

Paul M. Vettori, Chair

#### **CERTIFICATE OF SERVICE**

I hereby certify that on this 2 day of <u>November</u>, 2012, a copy of the foregoing Order in State Ethics Commission proceeding C-29-11, was forwarded via regular mail, postage prepaid, to the Respondent Rosalyn Hamlett, Rosalyn Hamlett 2706 Summerview Way, #103, Annapolis, MD 21401, and was hand delivered to William J. Colquhoun, Staff Counsel for the State Ethics Commission, 45 Calvert Street, 3<sup>rd</sup> Floor, Annapolis, Maryland 21401.

In the Matter of:

**ROSALYN HAMLETT** 

Respondent

# Before the State Ethics Commission Complaint No.: **C29-II** F1 5 2012 STATEETHIOS COMMISSION STATE FTHICS COMMISSION

#### STIPULATION OF SETTLEMENT AGREEMENT

This Stipulation of Settlement Agreement (hereinafter the "Agreement") made this  $\underline{//}$  day of  $\underline{OCHO_{UV}}$ , 2012 by and between Rosalyn Hamlett, Respondent and William J. Colquhoun, Staff Counsel of the Maryland State Ethics Commission (hereinafter the "Staff Counsel").

#### **STIPULATIONS**

1. Respondent was a Director of Policy and Legislative Affairs at the Department of Planning and as a public official and an employee of the State of Maryland was required to file an annual financial disclosure statement.

2. The State Ethics Commission (hereinafter the "Commission") is an executive agency of the State of Maryland established by Chapter 513, Acts of 1979 for the purpose of enforcing the Maryland Public Ethics Law (Title 15, State Government Article, Annotated Code of Maryland) (hereinafter the "Ethics Law").

3. On May 12, 2011, the Commission issued a Complaint alleging in part that the Respondent failed to file a properly completed Financial Disclosure Statement by April 30, 2011 for the reporting period covering calendar year 2010 and a properly completed Financial Disclosure Termination Statement within 60 days of termination of her State service on January 31, 2011 for the period covering the portions of calendar year 2011 when she was a State employee.

4. Respondent admits she is a public official required to file financial disclosure statements pursuant to § 15-103 of the Ethics Law and that the statements were not filed in a timely manner.

5. Respondent has been advised as to the opportunity to be represented by counsel pursuant to the regulations of the Commission and has decided not to be represented by counsel.

6. Respondent is voluntarily entering into this Agreement to admit her failure to timely file and to accept certain sanctions.

NOW THEREFORE, in consideration of the Respondent's submission of the required financial disclosure statements for calendar year 2010 and 2011 on September 24, 2012, and the admissions and agreements herein contained, Respondent and Staff Counsel stipulate as follows:

- A. That Respondent admits she did not timely file the required Financial Disclosure Statement for calendar year 2010 or a Financial Disclosure Termination Statement within 60 days of termination of her State service on January 31, 2011 for the period covering the portions of calendar year 2011.
- B. That Respondent subsequently submitted the required Financial Disclosure Statements on September <u>24</u>, 2012.
- C. That Respondent understands a filing violation of the Ethics Law can subject an official to late fees of \$2 per day for each late day not to exceed \$250 and to fines for each violation pursuant to § 15-405(d)(2) and § 15-902(b)(1)(i) of the Ethics Law respectively. Respondent hereby agrees to pay, as a settlement, the amount of \$250.00 in lieu of adjudicated late fees and fines for the above admitted filing violations (paragraphs A and B) of the Ethics Law. Respondent agrees that \$250.00 will be paid by check or money order to the State of Maryland in care of the State Ethics Commission at the time of the execution of this agreement.
- D. That Respondent accepts that the Commission, pursuant to § 15-405 of the Ethics Law, will issue a reprimand for the late filing, effective the date of the Final Order in this matter, and the reprimand will be transmitted to the Secretary of Department of Planning and to the Governor.
- E. That Respondent accepts that the Commission, pursuant to § 15-407 of the Ethics Law will transmit this Agreement, including any documents or exhibits incorporated herein, to the Secretary of Department of Planning and to the Governor.
- F. That Respondent waives a formal hearing on this matter and agrees that acceptance of this Agreement by the Commission would constitute, based on her admission of her failure to timely file, a final action and finding of violation by the Commission, and that pursuant to § 15-407 of the Ethics Law this Agreement and materials relating to this matter are not subject to the confidentiality provisions of the Ethics Law, and will be public information unless otherwise protected.
- G. That the Staff Counsel will, upon execution of this Agreement by Respondent, recommend that the Commission suspend further complaint proceedings against Respondent and recommend further that the Commission issue a Final Order consistent with this agreement. It is understood by the Respondent that

the Commission is under no obligation to accept this Stipulation of Settlement Agreement and may decide to take other action, including holding a hearing.

H. That the Respondent and the Staff Counsel have entered into this Agreement for the sole purpose of resolving the Commission's Complaint under the Ethics Law for failure to file financial disclosure and for no other purpose.

**IN WITNESS WHEREOF,** Rosalyn Hamlett, Respondent, and William J. Colquhoun, Staff Counsel, State Ethics Commission, have hereunto set their hands.

### SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun Staff Counsel 45 Calvert Street, 3<sup>rd</sup> Floor Annapolis, Maryland 21401 (410) 260-7770

## SIGNATURE APPEARS ON ORIGINAL AGREEMENT

Rosalyn Hamlett Respondent



State of Maryland

County of: St. Herry's \_\_\_\_\_, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared Rosalyn Hamlett, who made oath on this <u>Itth</u> day of set forth are true to the best of her knowledge, information and belief, and are her voluntary act, and that she executed this document for the purpose set forth herein.

SIGNATURE APPEARS ON **ORIGINAL AGREEMENT** 

Notary Public

My Commission Expires: January 8, 2014

State of Maryland

County of: Anne Annell, to wit:

Before me, the undersigned, a Notary Public in and for the State and County aforesaid, personally appeared William J. Colquhoun, Staff Counsel to the State Ethics Commission, who made oath on this 15 day of 0.260 ev, 2012, in due form of law, and that he executed this agreement for the number of forth herein



My Commission Expires:

Accepted by the Commission

Date: October 25, 2012



Paul Vettori, Chairman for the Commission

4 Rosalyn Hamlett Agreement C-29-11

**ROSALYN HAMLETT** 

Before the State Ethics Commission RECEVED Complaint No.: C-29-10CT 2 3 2012 STATE ETHICS COMMISSION

Respondent

#### ADDENDUM TO STIPULATION OF SETTLEMENT AGREEMENT

This 20 day of October, 2012, Rosalyn Hamlett, Respondent and William J. Colquhoun, Staff Counsel of the Maryland State Ethics Commission (hereinafter the "Staff Counsel") agree to amend the Stipulation of Settlement Agreement entered into on October 10, 2012, replacing paragraph C at page 2 with the following language:

C. That Respondent understands a filing violation of the Ethics Law can subject an official to late fees of \$2 per day for each late day not to exceed \$250 and to fines for each violation pursuant to § 15-405(d)(2) and § 15-902(b)(1)(i) of the Ethics Law respectively. Respondent hereby agrees to pay, as a settlement, the amount of \$250.00 in lieu of adjudicated late fees and fines for the above admitted filing violations (paragraphs A and B) of the Ethics Law. Respondent agrees that \$250.00 will be paid by check or money order to the State of Maryland in care of the State Ethics Commission by no later than January 25, 2013. In the event that Respondent fails to pay the amount agreed to by January 25, 2013, Respondent agrees that the matter will be transferred to the Maryland State Central Collection unit for the purposes of collecting the monies owed.

SIGNATURE APPEARS ON ORIGINAL AGREEMENT

William J. Colquhoun Staff Counsel 45 Calvert Street, 3<sup>rd</sup> Floor Annapolis, Maryland 21401 (410) 260-7770 SIGNATURE APPEARS ON ORIGINAL AGREEMENT A Rosalyn Hamiett Respondent