

MARYLAND STATE ETHICS COMMISSION

45 Calvert Street, 3rd Floor
Annapolis, MD 21401
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http://ethics.gov.state.md.us

LOBBYING ACTIVITY REPORT (Form 4)

Read instructions carefully before completing this form. If you had reportable expenses or compensation during the reporting period, complete Parts A, through G of the Activity Report. If you had no reportable compensation or expenses during the reporting period, but were registered to engage in lobbying, check here _____ and complete Parts A, E, F and G. If additional forms are required for this employer, those forms should be attached to and made part of this filing.

Check period covered by this report: _____ November 1 through April 30, 20_____
_____ May 1 through October 31, 20_____

Is this a termination report? _____ Yes _____ No. If "Yes", provide date of termination _____

A. Identification of Regulated Lobbyist and Employer

A-1. Identifying information (if different from registration form please contact Commission):

- a) Name _____
- b) Permanent Address (Include firm name if applicable) _____

- c) Business Telephone (_____) _____

A-2. Identification of others required to register:

- a) Was any other person required to register as a lobbyist on behalf of the person or organization identified in section A-1?
Yes No
- b) If the answer to a) is "Yes," identify each such person below and provide his/her name and address:

A-3. Identification of employer:

- a) Identify the person or organization that compensated the regulated lobbyist for activities requiring this report:

Name _____
Permanent Address _____

Business Telephone (_____) _____
Nature of Business _____

- b) Identify any other entities the regulated lobbyist represents regarding the matters covered by this report (if none, write "none") _____

A-4. Primary purpose of organization:

Is the employer or the registrant (if there was not an employer) organized and operated for the primary purpose of attempting to influence any legislative or executive action? Yes No
(If "Yes," the Report of Contributors Form No. 12 must also be completed.)

A-5. Registration Information:

- a) State the period (include both beginning and ending month, day and year) for which the registration is effective:
_____ to _____
- b) Identify the matters on which the regulated lobbyist acted or employed someone to act during the registration period covered by this report:

A-6. Does the employer listed in A-3 claim to be exempt from lobbyist registration and reporting because all expenditures requiring registration and reporting will be reported by the above regulated lobbyist or another regulated lobbyist acting on its behalf?

Yes No If the exemption claimed differs from the registration form, please explain in writing. _____

B. Compensation and Expenses

B-1. Meals and beverages for officials or employees or their immediate families. B-1 \$ _____

B-2. List date, location, group of invitees and total expenses for all special events, including dinners and receptions, entertainment, and other functions to which all members of the General Assembly, either house thereof, all members of any standing committee or all members of a formally recognized (for ethics disclosure purposes) county or regional delegation have received written invitations. (If you have already submitted a complete and final expense Form 13F reporting the details of a special event, DO NOT report it again on this form.)
 _____ Total B-2
 _____ Expenses \$ _____

B-3. Expenses for food, lodging, or scheduled entertainment of officials and employees for a meeting which are given in return for participation in a panel or speaking engagement at the meeting. List date, location, and total expense for each meeting. If the total amount in expenses on any one official or employee is \$200 or more for any meeting, also include the person's name and the amount expended for the person at the meeting.
 _____ Total B-3
 _____ Expenses \$ _____

B-4. Expenses for food and beverages received by member of General Assembly or members at approved legislative organization meeting. List location, name of legislative organization, date and total expense for each meeting. _____ Total B-4
 _____ Expenses \$ _____

B-5. Expenses for a ticket or free admission to members of the General Assembly to attend charitable, cultural or political events where an individual or all members of legislative unit are invited by the event holder. List event, location, date, legislative unit and total expenses for each event.
 _____ Total B-5
 _____ Expenses \$ _____

B-6. Gifts to or for officials or employees or their immediate families (not including sums reported in B-1, B-2, B-3, B-4 and B-5). B-6 \$ _____

Subtotal of Items B-1 through B-6 - \$ _____

B-7. Total compensation paid to regulated lobbyist (not including sums reported in any other section of Part B). Check here if the amount has been prorated because the registrant is compensated for services in addition to lobbying activities. _____ B-7 \$ _____

B-8. Salaries, compensation and reimbursed expenses for staff who were not required to register. B-8 \$ _____

B-9. Office Expenses not reported in B-7 and B-8. B-9 \$ _____

B-10. Cost of professional and technical research and assistance not reported in B-7 and B-8. B-10 \$ _____

B-11. Grassroots expenses (e.g. publications and expenses which expressly encourage persons to communicate with officials or employees). B-11 \$ _____

B-12. Names of witnesses and the fees and expenses paid to each: _____ B-12 \$ _____

B-13. Other expenses. Explain: _____ B-13 \$ _____

TOTAL \$ _____

C. Gifts or participation in gifts with a cumulative value of \$75 or more. (Forms 13C and 13D, Section 5-705(c))
(Check One) Yes, Attached No Report Required

D. Gifts or participation in gifts of meals and beverages to elected Executive officials. (Forms 13A and 13B, Section 5-706)
(Check One) Yes, Attached No Report Required

E. Business transactions with officials. (Form 21, Section 5-707)
(Check One) Yes, Attached No Report Required

F. Individual regulated lobbyist's political contributions required to be disclosed. (Form 22, Section 5-708)
(Check One) Yes, Attached No Report Required

G. Signature and Oath

I hereby make oath or affirm under the penalties of perjury that the contents of this report including any attachments thereto, are complete, true and correct to the best of my knowledge, information and belief.

[SEAL] Signature of Person Filing: _____
 Date: _____
 Sworn to before me this _____, day of _____, 20____
 Signature of Notary Public: _____
 Printed/typed Name of Notary Public: _____
 My Commission Expires: _____