

**MARYLAND
STATE ETHICS COMMISSION**

45 CALVERT STREET, 3^RD FLOOR
ANNAPOLIS, MARYLAND 21401

410-260-7770

1-877-669-6085

FAX: 410-260-7746

<http://ethics.gov.state.md.us>

29TH ANNUAL REPORT

**JANUARY 1, 2007 THROUGH
DECEMBER 31, 2007**

GENERAL STATUTORY IMPLEMENTATION

OVERVIEW

The State Ethics Commission met in regular session 9 times during Calendar Year 2007 and considered issues related to all areas of its statutory mandate: financial disclosure, conflict of interest, lobbyist disclosure and conduct restrictions, local government ethics laws, school board ethics regulations, advisory opinions, enforcement matters, employee training, lobbyist training and public information activities. It also met one time for the expressed purpose of long term planning, at which meeting no other issues were considered.

The State Ethics Commission, as directed in State Government Article § 15-205, administers the provisions of the Public Ethics Law; prescribes and provides forms for each document required by the Public Ethics Law; retains as a public record each document filed with the Commission for at least four years after receipt; periodically reviews the adequacy of public ethics laws; reviews each statement and report filed in accordance with the Public Ethics Law and notifies officials and employees of any omissions or deficiencies; and publishes and makes available to persons subject to the Public Ethics Law, information that explains the provisions of the Law, the duties imposed by it, and the means for enforcing it.

The Commission compiled by March 1, 2007, a list of entities doing business with the State during the preceding calendar year and made this information available to individuals required to file annual financial disclosure statements. It also provided training courses for public officials and for regulated lobbyists; and submitted to the General Assembly an annual report on its activities for calendar year 2006.

During calendar year 2007, the Commission continued to encourage officials and employees to file his or her annual financial disclosure statement electronically. During 2007, 9,624 statements were filed electronically by officials and employees. This represented approximately 71% of the 12,922 required to file.

Additionally, in 2007 the Commission made all lobbying registrations, activity reports, and special event reports available electronically. Lobbying registrations, event reports and activity reports were either submitted electronically or electronically inputted by Commission staff, and available to the public electronically from the Commission's website, <http://ethics.gov.state.md.us>.

The Commission staff continued to place substantial emphasis on the training for public officials and employees and regulated lobbyists. Commission staff continued to focus on providing training to smaller groups of employees at their particular agencies in 2007. This permitted the training to address the specific ethical issues confronted by State employees and public officials in their particular service to the public. During calendar year 2007, the Commission conducted 23 general ethics training programs for agencies, boards and commissions, attended by 1,100 State employees and public officials, focusing on conflicts of interest and the electronic filing process for financial disclosure statements. In addition, the Commission staff conducted 13 additional training sessions addressing conflicts of interest, electronic filing and procurement attended by an additional 390 members of public and special interest groups, bringing total number of individuals who attended general ethics and conflicts of interest training to 1,490. The

Commission staff also conducted 25 lobbying training programs, attended by 346 regulated lobbyists pursuant to Public Ethics Law § 15-205(e). The lobbying programs focused on electronic filing and the general lobbying conduct prohibitions in the Law, and reporting requirements.

In June 2003, the Commission conducted a complaint hearing on charges of lobbying violations by lobbyist Bruce C. Bereano. The Commission issued its decision and public order on June 30, 2003, finding a violation of § 15-713(1) for being engaged for lobbying purposes for contingent compensation. On December 28, 2004, the Honorable Raymond Kane of the Howard County Circuit Court, in case No. 13-C-03-057038, upheld the Commission's decision and sanction of a 10-month suspension of Mr. Bereano's lobbying registrations. Mr. Bereano's appeal of Judge Kane's decision was heard in the Court of Special Appeals on November 9, 2005. On November 9, 2006, the Court of Special Appeals upheld Judge Kane's decision. On December 8, 2006, Mr. Bereano filed for reconsideration, and on December 29, 2006. On April 29, 2007, the Court of Special Appeals issued its order denying the reconsideration and re-issued its November 9, 2006 Opinion. The Court of Appeals subsequently granted Mr. Bereano's Petition for Writ of Certiorari. The case was argued before the Court of Appeals on October 2, 2007 and was pending at the close of the calendar year.

At the end of June, 2007, Julian L. Lapidès stepped down as Chairman of the Commission. Robert F. Scholz was elected by the members to serve as Chairman for the year beginning July 1, 2007. Suzanne S. Fox retired as the Executive Director of the Commission on June 30, 2007. After a significant search and recruitment, Robert A. Hahn was selected to replace Ms. Fox. Mr. Hahn had over twenty-five years experience with the Commission as Staff Counsel and General Counsel. Jennifer Allgair, the Commission's Assistant General Counsel, who also had experience as Staff Counsel, was selected by the Commission to replace Mr. Hahn as General Counsel. In September, Dea W. Daly was hired as the Assistant General Counsel. Ms. Daly had 9 years experience as a Policy Analyst at the Department of Legislative Services and two years in private practice. At the same time, William J. Colquhoun was hired as Staff Counsel. Mr. Colquhoun joined the Commission staff after six years in private practice of law in Anne Arundel County. In December 2007, Ms. Jacqueline Cales, the Legal Assistant to the Staff Counsel resigned to accept a position with the United States District Court in Greenbelt. Her position was vacant at the end of the year.

The Fiscal Year 2008 budget was approved for General Funds of \$638,278 and Special Funds of \$263,588, for a total appropriate of \$901,866. The Commission submitted a budget amendment which was approved by the Department of Budget & Management and the General Assembly budget committees that allowed the Commission an additional position and a half. The full time position was to be a compliance officer to address the concerns in the 2006 Office of Legislative Audits performance audit regarding the timely filing of financial disclosure statements by persons required to file disclosure. The budget amendment also allowed for enhancements to both the financial disclosure and lobbying electronic filing systems.

ADVICE ACTIVITIES

The Maryland Public Ethics Law §§ 15-301 through 15-303 provides that the State Ethics Commission may issue formal advisory opinions in response to requests from officials, employees, lobbyists, and others who are subject to the Ethics Law. Formal opinions generally follow an appearance before the Commission by the requestor, are published in the Maryland Register, and are accessible electronically

through State Documents in COMAR Title 19A. The Commission's regulations, COMAR 19A.01.02.05, also permit the staff and the Commission to provide informal advice. Informal advice generally results in a letter or email to the requestor referencing prior formal and informal Commission opinions addressing similar facts and issues.

The State Ethics Commission is responsible for interpreting the Public Ethics Law. In late 1979, when the Commission was established, most advice requests resulted in published formal opinions. During its first five years of operation, the Commission issued a total of 205 formal opinions, and during the next five years, another 128 formal opinions were issued. As a result, there is a large body of published opinions available to the Commission staff providing guidance in response to advice requests. During its twenty-nine years in existence, the Commission has issued a total of 496 formal opinions. During the past five years the number of formal opinions has decreased while informal reviews and letter advice have increased. A major factor reducing the need for formal Commission opinions is the large number of existing opinions that provide guidance to the staff in responding to requests for informal advice, thus expediting the advice process.

During calendar year 2007, the Commission issued 5 formal published opinions. The first opinion (Opinion No. 07-01) was the result of a request of an employee of the PenMar Development Corporation ("PMDC") regarding the application of the post-employment provisions of the Ethics Law to his possible future employment with a publicly traded real estate development trust that purchased Ft. Richie from PDMC. The opinion discussed the definitions of key terms within the post-employment provisions and factors used to evaluate post-employment matters, as developed in past Commission opinions. The Commission concluded that the Requestor's proposed employment with the development trust was prohibited by the post-employment provisions of the Ethics Law.

In Opinion No. 07-02, the Commission granted an exception under § 15-501 of the Ethics Law to an employee of State Highway Administration to allow the employee to participate in matters involving the Intercounty Connector ("ICC") design-build contracts, procurement reviews and design reviews in which a consulting company that employed the employee's brother may be a subcontractor on an ICC proposal team. The Commission set forth guidelines in the opinion to allow the employee to participate in some matters, but directed the agency to reassign other matters directly involving the consulting company to another employee.

In Opinion No. 07-03, the Commission provided advice to an elected official of the Executive Department regarding the application of the provisions of the Ethics Law to his and his wife's interest in becoming foster parents through the Maryland Department of Human Resources' ("DHR") foster care program. The Commission advised that the Ethics Law did not prohibit the official from becoming a foster parent or participating in the program. However, the Ethics Law would restrict his participation in certain official government matters related to the foster care program. The Commission also provided advice regarding the application of the prestige of office provision to this request.

The Commission, by Opinion No. 07-04, advised a Family Services Caseworker at a local Department of Social Services ("DSS") that she could continue her secondary employment as a psychiatric unit technician with a hospital located in the same county as her State employer. The Commission granted an exception to allow the secondary employment pursuant to § 15-502 of the Ethics Law and the Commission's Exception to Outside Employment Prohibition Regulations at COMAR 19A.02.01.03.

Finally, in Opinion No. 07-05, the Commission granted an exception to allow secondary employment to another employee of a local DSS pursuant to § 15-502 of the Ethics Law and the Commission's Exception to Outside Employment Prohibition Regulations at COMAR 19A.02.01.03. The DSS employee involved in this opinion proposed secondary employment as a contractual social worker with a private adoption agency that facilitates international adoptions, which is subject to the regulatory authority of her State agency.

The Commission's informal docket, initiated in 2002, logs requests for advice resulting in informal advice from the staff or Commission. The log may include telephone advice or responses to routine questions from individuals who either call, email or walk into the office for advice. The Commission and its staff provided informal advice in the following subject areas during calendar years 2005 through 2007:

SUBJECT MATTER OF THE ADVICE	2007	2006	2005
Lobbying Registration, Reporting and Conduct	6	5	9
Secondary employment Advice	121	110	121
Participation Advice	19	15	21
Procurement Restrictions	10	8	15
Post-Employment Advice	30	17	23
Gift Questions	35	17	22
Other	19	28	40
Total	240	200	251

The number of informal matters increased in 2007 compared to 2006. Many of these matters dealt with State employees seeking outside or secondary employment. The 121 informal secondary employment requests considered in 2007 arose from the following Departments and agencies:

DEPARTMENT	2007	2006	2005
Department of Human Resources	31	45	33
Department of Health and Mental Hygiene	21	18	30
Department of Transportation	9	5	13
Executive Department	4	1	4
Department of Agriculture	4	1	1

DEPARTMENT	2007	2006	2005
University System of Maryland	4	1	5
Dept. of Public Safety & Correctional Services	2	2	4
Department of Natural Resources	4	1	6
Other Agencies/Departments	42	36	25
Totals	121	110	121

The 42 “other agency” secondary employment requests arose from 22 different State agencies. The Department of Labor, Licensing, & Regulation submitted 5 secondary employment requests. The Department of Business and Economic Development presented 5 requests. The remaining 20 agencies presented 32 requests. During calendar year 2007, the Commission’s Executive Director, General Counsel, Staff Counsel, and Assistant Counsel responded to more than 1941 phone inquiries.

**UNIVERSITY OF MARYLAND PUBLIC-PRIVATE PARTNERSHIP
EXEMPTIONS**

In 1990, the General Assembly enacted legislation allowing the University System of Maryland (USM) to grant to university faculty certain exemptions from the conflict of interest provisions of the Public Ethics Law. The exemptions were for “sponsored research and development” activities. Sponsored research and development was defined in the law as an “agreement to engage in basic or applied research or development at a public senior higher education institution, and includes transferring university-owned technology or providing services by a faculty member to entities engaged in sponsored research or development.” Faculty members were not fully exempted from all Public Ethics Law requirements, and public disclosure of the interest or secondary employment was required. The institution granting the exemption was required to maintain the exemption as a public record and to file a copy with the State Ethics Commission.

In 1996, the General Assembly enacted the Public-Private Partnership Act. This law expanded the exemptions beyond faculty to include vice-presidents and presidents of institutions as well as the chancellor and vice-chancellors of the USM. The legislation also broadened the exemption from the conflict of interest provisions to include USM officials, faculty members, and employees. The USM Board of Regents and the USM institutions adopted procedures pursuant to § 15-523 to allow the conflict of interest exemptions. The USM Board of Regents and seven of the affiliated institutions adopted policies, and the Commission’s authority was limited to comment on the policy’s conformity to Public-Private Partnership Act. The definition of “sponsored research” was expanded to include “participation in State economic development activities.”

The records filed by the institutions with the Commission reflect a total of 141 faculty exemptions granted by the university presidents between 1996 and 2006, including exemptions at the University of Baltimore (UMB), the University of Maryland at Baltimore County (UMBC), the University of Maryland Biotechnology Institute (UMBI), the University of Maryland Center for Environmental Science (UMCES), and the University of Maryland College Park (UMCP). During calendar year 2007, USM institutions reported to the Commission an additional 45 individual faculty members exemptions. The University of Maryland, Baltimore reported one exemption to the Commission in 2007 that was actually for calendar year 2006 and had been overlooked. The exemptions were from the following institutions:

INSTITUTION	Number of Exemptions
University of Maryland, Biotechnology Institute	1
University of Maryland Center for Environmental Science	3
University of Maryland, College Park	24
University of Maryland, Baltimore	14
University of Maryland, Baltimore County	3
TOTAL FACULTY EXEMPTIONS	45

In some instances the individual faculty member had more than one interest exempted.

FINANCIAL DISCLOSURE

The financial disclosure program continued to identify those required to file, provide technical assistance to filers, and monitor compliance with the Law. In accordance with Public Ethics Law § 15-103, the Commission reviewed a large number of requests by various agencies to add or delete positions from the financial disclosure filing list, along with an extensive review of some outdated listings, the net result was an increase in the number of filers from approximately 12,445 in 2006 to 12,922 in 2007.

Pursuant to Public Ethics Law §§ 15-103 and 15-209, the Commission made decisions regarding the status of newly created boards and commissions as “executive units” and forwarded them to the Department of Budget and Management for its concurrence. The Commission also considered and acted upon requests by a number of boards and commissions for exemption from the requirement to file financial disclosure statements. In recent years, the Commission has seen a substantial increase in the number of boards, commissions, task forces, and technical advisory groups created by the General Assembly.

Individuals who are public officials only as the result of their participation on boards or commissions are required to file a limited financial disclosure statement (Form #2). Legislators are required to file a more extensive disclosure statement (Form #19). The Commission staff conducts compliance reviews of financial disclosure statements and notifies filers of identifiable errors or omissions, and it pursues enforcement actions against those who fail to file. During 2007, the Commission staff reviewed more than 2,800 financial disclosure statements for reporting year 2006.

In 1999, the Legislature, in § 15-602(d) mandated that the Commission develop and implement a process by which filers would be able to file their financial disclosure statements electronically, at no additional cost to the filer. It was not until FY 2005 that the Commission was able to obtain funding sufficient to develop electronic filing. With the appropriated funds we were able to secure the services of a technology contractor, and we were able to implement electronic filing through a secure web site, <https://efds.ethics.state.md.us>. The Commission was hopeful that 20 to 25% of the filers would opt to file electronically the first year and 25 to 40% the second year and both the Commission and staff were gratified to note that more than 9,000 forms were filed electronically in 2007. The Commission continues to work with the technology contractor to improve the process for filing, review and notification.

In order to create a user friendly and less intrusive form, the electronic filing system uses a survey process of question and answer that leads the filer through each schedule of the form. Additionally, the electronic system provides the filer with access to the previous year's statement so that he or she can make the required changes for the current year with the benefit of working from the previous year's information. The feedback from filers has been overwhelmingly positive.

The electronic administrative tool permits the staff to review electronically submitted statements, compare them to previously electronically filed statements, send email notification to the filer of any omission or question raised by the statement and maintain a copy of that notification in the filer's electronic record. The emails become attached to the electronic file, and a record is therefore compiled of statements, inquiries and responses. The filer may also electronically file an amendment if required. Communication with filers, for the most part, was through email, which also saved the Commission substantial supply and postage costs. With full compliance with electronic filing, the Commission will be able to review the statements more efficiently, notify filers of problems earlier than in prior years, and be more efficient in the enforcement process. Full compliance with electronic filing will also reduce the Commission filing space requirements and provide a safer, more secure and more efficient way of collecting, reviewing, and maintaining financial disclosure records.

LOBBYIST DISCLOSURE AND REGULATION

In 2001, the Legislature, in § 15-709 of the Public Ethics Law, mandated the Commission to develop and implement an electronic process for regulated lobbyists to file required reports at no additional cost to the individuals who file electronically. The law also mandated that lobbying reports be made available for public review electronically. In 2005, through the procurement process, the Commission was able to contract with the Canton Group, LLC, who developed the electronic financial disclosure statement filing process, to develop an electronic reporting process for lobbyists. In working with the contractor, the Commission determined that the best approach would be to enable lobbyists to at least begin the registration process electronically, which would create a data base for each lobbyist to report the required

information related to his or her employers. Because of the need to have original signatures for the lobbyist and the employer, and because registration requires payment to the State of Maryland of a registration fee, only part of the registration process could be electronic. The electronic portion of the registration process (providing the information related to the lobbyist and the employer, the focus of the lobbying, etc) became available to lobbying filers November 1, 2005. Lobbyists are also able to file event notifications (Form 13E), event reports (Form 13F) and Activity Reports (Form 4) electronically. The information provided electronically on the Activity Reports automatically transfers appropriate information to other required forms such as Forms # 13A, B, C, and D.

Through the Commission’s web site, <http://ethics.gov.state.md.us>, the public is able to search through lobbyists or employers to gain access to the information that the Commission is required to collect. The administrative tool developed for Commission use, will enable the Commission to calculate totals required for annual reporting in a fraction of the time required in prior years when calculations had to be made by hand.

The lobbying year extends from November 1st to October 31st of the following year. During the lobbying year ending October 31, 2007, 2809 lobbying registrations were filed with the Commission. With those registrations, 714 lobbyists registered on behalf of 1410 employers. This represents an increase of 325 registrations from the 2,484 filed by October 31, 2006. The following expenditure data summarizes lobbying expenditures for the last three lobbying years:

EXPENDITURES BY LOBBYISTS			
Type of Expenditure	10/31/07	10/31/06	10/31/05
	\$	\$	\$
B-1: Meals and beverages for officials or employees or their immediate families	8,977	21,854	3,202
B-2: Special events, including parties, dinners, athletic events, entertainment, and other functions to which all members of the General Assembly, either house thereof, or any standing committee thereof were invited.	2,027,679	1,708,993	2,301,493
B-3: Food, lodging, and scheduled entertainment of officials and employees and spouses for a meeting given in return for participation in a panel or speaking engagement at the meeting	28,049	10,434	13,028
B-4: Food and beverages at approved legislative organiza-tional meetings	4,369	3,901	26,102
B-5: Ticket or free admission to attend charitable, cultural or political events where all members of a legislative unit are invited.	4,039	2,497	4,782

EXPENDITURES BY LOBBYISTS			
Type of Expenditure	10/31/07	10/31/06	10/31/05
	\$	\$	\$
B-6: Gifts to or for officials or employees or their immediate families (not included on B-1 through B-5)	20,142	23,038	24,931
SUBTOTAL OF ITEMS B 1 THROUGH B 6	2,093,255	1,770,717	\$ 2,373,538
B-7: Total compensation paid to registrant (not including sums reported in any other section)	33,813,737	31,223,692	28,957,735
B-8: Salaries, compensation and reimbursed expenses for staff of the registrant	1,731,315	1,348,450	1,112,595
B-9: Office expenses not reported in B-5 or B-6	767,777	852,352	846,022
B-10: Cost of professional and technical research and assistance not reported in items B-5 or B-6	469,838	333,187	497,145
B-11: Cost of publications which expressly encourage persons to communicate with officials or employees	877,720	742,995	473,243
B-12: Fees and expenses paid to witnesses	94,703	165,374	12,620
B-13: Other expenses	759,405	648,589	525,331
TOTAL OF ITEMS B-1 THROUGH B-13	\$40,607,750	\$37,085,356	\$34,798,229

(NOTE: At the time the Annual Report was compiled, some lobbyist expenditure information may have been subject to adjustment based on staff review.)

ENFORCEMENT ACTIVITIES

The Public Ethics Law provides that any person may file a complaint with the Commission. Complaints filed with the Commission must be signed under oath and allege a violation of the Public Ethics Law by a person subject to the law. The Commission may file a complaint on its own initiative, and, at its discretion, may proceed with preliminary inquiries of potential Public Ethics Law violations.

The Commission enforcement procedures divide preliminary matters into two categories. All new matters are docketed as Preliminary Consideration Matters (A matters) and presented to the Commission for review to determine whether there should be any staff inquiry or followup. Preliminary Inquiry Matters (B matters) are the Preliminary Consideration Matters where the Commission has directed that the staff conduct an inquiry. In 2007, the Commission opened 68 A matters, including: 35 conflict of interest matters and 32 lobbyist matters. The Commission entered into 9 Late Filing Agreements with lobbyists during 2007, resulting in payments of \$2,320.00 to the State of Maryland. The Commission closed 55 A matters in 2007, including 2 pending matters from 2006.

The Commission opened 18 Preliminary Inquiry Matters (B matters) in 2007. All 18 B matters involved conflict of interest issues. The Commission entered into one (1) Pre Complaint Agreement with an employee of the Office of the Secretary of State regarding a conflict of interest matter during 2007, resulting in a reprimand. In 2007, the Commission closed 26 B matters, including six pending matters from 2006 and two pending matters from 2005.

In calendar year 2007, the Commission issued 31 complaints: including 28 financial disclosure matters, 2 lobbying matters and 1 conflict of interest matter. The Commission closed 14 complaints in 2007, including 1 matter from 2006. The Commission collected \$250.00 through a Stipulation of Settlement Agreement relating to the failure of an employee of the Department of Juvenile Services to timely file her financial disclosure statement.

All enforcement payments collected through Stipulation of Settlement or Late Filing Agreements were deposited in the State's general fund and cannot be used by the Commission. The Commission collected a total of \$2570.00 in enforcement payments in 2007.

LOCAL GOVERNMENT ETHICS LAWS

The Public Ethic Law requires Maryland counties and cities to enact local laws similar to the State's Public Ethics Law. In addition to the requirement that counties and cities enact ethics laws, the General Assembly amended the Law in 1983 to require local school boards either to promulgate ethics regulations similar to the State Law or to be covered by county ethics laws. During 2007, the Commission's Executive Director, General Counsel and Assistant General Counsel participated in an excess of 58 phone discussions with county and local ethics officials regarding questions relating to conflicts of interests, financial disclosure and lobbying. The calls were from officials in 11 different counties, Baltimore City, and 7 municipalities. The Commission reviewed proposed draft revisions to ethics laws for Anne Arundel, Cecil, Frederick and Queen Anne's Counties, the Town of Mount Airy and the City of Bowie during 2007. The Commission's staff also provided advice regarding local government ethics law matters to Baltimore City, Anne Arundel, Calvert, Carroll, Cecil, Frederick, Kent, Montgomery, Prince George's, Queen Anne's, St. Mary's and Worcester Counties, as well as the City of Bowie, Cambridge, Chesapeake City, Mt. Airy, Ridgely, Pittsville, and Cheverly during 2007.

During 2007, the Commission staff continued its review of the county ethics ordinances in terms of proposed revisions to the Commission's local government regulations and the process to determine whether a local jurisdiction's ethics provisions are "similar" or "substantially similar" to the Public Ethics

Law (See Advisory Opinion No. 06-01). It is anticipated that the review of the county and local ordinances and the amendments to the Commission's regulations will be completed in 2008.

The Commission also received and reviewed three reports from the Montgomery County Director of the Office of Zoning and Administrative Hearings regarding the special land use ethics disclosure reports required in certain jurisdictions (See §15-829 through §15-841). No reports were received from the Prince George's County Clerk of the County Commission.

EDUCATIONAL AND INFORMATIONAL ACTIVITIES

The Commission staff has been active in providing formal training to State employees, lobbyists and local jurisdictions. The training has involved advising and assisting employees, officials, candidates and lobbyists on completion of forms, and providing training related to the conflict of interest provisions of the Public Ethics Law. The Commission staff has assisted local government and school board officials in drafting their ethics laws and regulations. The staff has also provided technical advice to local government ethics boards. Legislation passed in 1999 requires new financial disclosure filers to receive 2 hours of Ethics Law training (§15-205(d)). The Commission began implementation of this mandate in calendar year 2000. During calendar year 2007, the Commission staff conducted 36 training sessions for State employees at various locations throughout the State. The Commission provided training to a total of 1490 employees and public officials.

The Commission placed an emphasis on training smaller groups of employees and officials and has done so within the employees' agencies. In this way, those attending the training sessions participate more and the training can be tailored to address the concerns of the various employees in the context of their work experiences. Additionally, the Commission staff has provided training to agency leadership and to various boards and commissions that support agency work. The Commission staff has received very positive response to the training, which consists of a PowerPoint presentation, interactive lecture, and supplemental documents that provide resource material. Although the training commitments have placed a significant burden on the Commission's staff, as each training session requires that at least one, and many times two, of the professional staff make the presentations, which causes a shortage of professional staff available in the office to respond to telephone and "other" inquiries in the office, the benefits of the training outweigh the inconvenience to staff. Expanded training programs have resulted in a significant increase in the number of telephone and email requests for guidance from employees who have attended the sessions.

In accordance with § 15-205(e) of the Public Ethics Law, which mandates the Ethics Commission to provide a training course for regulated lobbyists and prospective regulated lobbyists at least twice each year, the Commission staff provided training to 346 lobbyists during calendar year 2007. A total of 25 training sessions were held on 22 different days during the year.

Part of the Commission's public information activity involves distribution of lists of registered lobbyists and provision of assistance to persons inspecting various forms filed with the Commission. The Commission's staff distributes, through interagency mail, a special two-page summary of ethics requirements and other applicable memoranda to State agency managers. In order to ensure adequate

public access to the Commission's memoranda, the Commission staff posts them on our web site, <http://ethics.gov.state.md.us>, and provides them to agencies for distribution to their employees. On a limited basis, the Commission is also distributing another pamphlet covering ethics requirements for part-time members of State boards and commissions. The staff provides memoranda on lobbying laws relating to private colleges, lobbyist political activity, and a memorandum regarding adjustments to the procurement ethics provisions by request and on its web site. The Commission staff has also developed a special memorandum to advise potential new members of boards and commissions of the impact of the Ethics Law.

The Ethics Commission maintains a complete and up-to-date home page on the Internet. The home page directs users to the Annual Report, special explanatory memoranda, and a bi-monthly bulletin, downloadable forms for lobbying and State employees and Public Officials, the State vendor list, the Public Ethics Law and Formal Advisory Opinions. Another feature is an ethics question of the month, which answers hypothetical questions based on past Commission opinions. The Internet provides a cost effective mechanism for providing ethics information and training to those covered by the Ethics Law and public access to ethics information. The Commission is hopeful that it will eventually have sufficient funds to update its web software to include an interactive dialogue with users enabling it to respond to questions on-line, provide educational programming on-line, and allow users to navigate the site with more ease.

2007 LEGISLATION REPORT

During the regular 2007 General Assembly legislative session, two bills were enacted that impacted on the Ethics Commission and the Maryland Public Ethics Law. Senator Roy Dyson introduced a bill (SB885) at the request of the Commission to increase the fee that a regulated lobbyist must pay when registering from \$50 to \$100 per registration. The Lobbyist Registration Fund is used to offset the Commission expenses in administering the lobbying program. SB 885 was amended to also clarify the definition of "interest" in the filing requirements of financial disclosure statements. The bill clarified that "interest" does not include a trust fund that forms parts of a pension plan or a profit-sharing plan that has more than 25 participants and is determined to be a qualified trust by the Internal Revenue Service. SB885 passed, and was signed by the Governor (Chapter 200) and became law effective October 1, 2007.

HB 558, which was also enacted, authorized a person who owns or operates a farm that is subject to the regulatory authority of the Department of Agriculture to be employed by the Department. HB 558 required the Department of Agriculture, to adopt regulations in consultation with the State Ethics Commission to minimize the conflicts of interests by hiring farmers. The bill also required the Department and the Commission to prepare and submit a report to the General Assembly in 2010 on the number of farmers hired by the Department. The bill was signed by the Governor (Chapter 414) and became effective October 1, 2007.

The Frederick County Delegation sponsored legislation (HB1344) that was also enacted and impacted on the County Commissioner in various planning and zoning applications. The bill prohibits applicants for certain changes in land use regulations from making campaign contributions to Frederick County Commissioners within 2 years of filing the application or within 30 days from the date either final action is taken on it or it is withdrawn – whichever is earlier. The bill required the Frederick County Ethics

Commission to direct and control the enforcement of the law and also required the County Manager to perform certain administrative functions and prepare certain reports. The bill was signed by the Governor (Chapter 474) and became effective June 1, 2007.

There was a special session of the General Assembly from October 29, 2007 to November 19, 2007 to deal with issues related to the State budget shortfall. There were no matters acted upon that impacted on the Ethics Law.

LEGISLATIVE RECOMMENDATIONS

PROPOSED CHANGES TO FINANCIAL DISCLOSURE (SUBTITLE 6) PROVISIONS

During the coming calendar year, the Commission will continue to focus its attention on several of the financial disclosure provisions in subtitle 6 of the Public Ethics Law. Now that the State Ethics Commission has had 29 years of experience, it has had the opportunity to review the reporting requirements and recognize those areas, which appear to be the root of most conflicts, and those areas, which, since the Commission's inception, have not caused any discernable problems.

With electronic filing being implemented and following on the recommendations of the Office of Legislative Audits, the Commission determined to submit in 2008 departmental legislation to make electronic filing of financial disclosure statements mandatory. It also proposed a technical correction to conform the Ethics Law to the requirements of the Maryland Uniform Electronic Transmission Act by clarifying that electronic signatures may be used together with the filing of financial disclosure statements (and lobbyist reports). The Commission has also concluded that some discreet changes in requests for information would be helpful in simplifying the reporting requirements without jeopardizing the benefits of public disclosure.

- In the 1999 Session of the General Assembly, the Harford County Liquor Board and its employees were placed under the authority of the State Ethics Commission. However, the employees of the Board, regardless of salary or duties, were excluded from financial disclosure requirements. This general exclusion should be withdrawn to make the disclosure requirements for these employees the same as other employees subject to the State Ethics Law.
- Consideration should be given to eliminating the need for reporting of investment in any mutual fund publicly traded on a national scale. The basis for the request is that the employee has no control over the trading of the individual holdings of the mutual fund, and, therefore, it is improbable that an employee could effectuate any change in value of the mutual fund by his or her official act as a State employee.
- Judicial candidates should be required to file financial disclosure in each year of their candidacy in the same way as other candidates for State office.

PROPOSED CHANGES TO CONFLICT OF INTEREST (SUBTITLE 5) PROVISIONS

The Commission has also reviewed Subtitle 5, Conflicts of Interest and suggests Legislative consideration of the following issues:

- Specific provisions should address membership by public officials on boards or directors of private corporations having sensitive business or regulatory involvement with the State.
- The post-employment provisions (§ 15-504) should be revised to address more specifically the problems that are common to higher-level management positions.
- Like legislators, legislative staff should be prohibited from lobbying for one legislative session after leaving their State employment.
- The law prohibiting misuse of confidential information should be extended to cover former officials and employees as to confidential information acquired during their State service.
- The Commission's authority to assess fines (up top \$5,000 per offense) should be extended to conflict of interest and failure to file financial disclosure complaints.

PROPOSED CHANGES TO LOBBYING (SUBTITLE 7) PROVISIONS

The Commission also supports and would seek an amendment to the lobbying provisions of the Public Ethics Law (subtitle 7) with regard to two of the reporting requirements in the HB2 legislation of 2001:

- §15-708 should be revised in order to more correctly reflect lobbyist spending for legislative meals and receptions. As the requirement reads now, the process is cumbersome and may inadvertently inflate the actual amount spent on lobbying legislators. The provision causes significant confusion as to what costs should be reported and how the costs should be reported.
- §15-705 currently provides that regulated lobbyists must file a separate report disclosing the name of any State official of the Executive Branch or member of the immediate family of a State official of the Executive Branch who has benefited during the reporting period from gifts of meals or beverages from the regulated lobbyist, whether or not in connection with lobbying activities. The lobbyist must file this report accounting from Dollar One spent on a meal or beverage for an official of the Executive Branch or a member of the official's immediate family. This reporting requirement is difficult to administer and is not in keeping with other gift reporting requirements, which general require such a report only when the amount spent is \$20 or greater or \$100 cumulatively from one donor. This provision should be revised to require a report only when the amount spent is \$20 or greater or \$100 cumulatively from one donor.

PROPOSED CHANGE TO ENFORCEMENT SUBTITLE 4) PROVISIONS

The Commission and staff continually review the Public Ethics Law in order to determine if the administration and enforcement are consistent with the intent of the law and the mission of the Commission.

- The Commission proposes that the Legislature enable it to assess civil penalties in conflict of interest and other violations by State employees and public officials. The Commission may currently request a court of competent jurisdiction to assess fines of \$5,000 per violation, and it seeks the authority, on its own, to assess civil penalties in the amount of \$5,000 per violation. Having this authority would provide a formal alternative to expensive and extended court proceedings. This would give the Commission equal authority in setting sanctions on conflict of interest issues as it presently has with regard to lobbying violations. The Commission currently has the authority to assess civil penalties up to \$5,000 for lobbying violations. All penalties assess by the court or by the Commission to the General Fund.

PROPOSED CHANGES TO LOCAL JURISDICTIONS (SUBTITLE 8) PROVISIONS

Subtitle 8 of the Public Ethics Law, which address local jurisdictions and boards of education, is also a priority. The Commission is looking at the following issues:

- The provisions covering school board ethics regulations need strengthening to assure that there are adequate sanctions for violations by board members, candidates for board membership and lobbyists.
- Subsequent to the issuance of *Seipp v. Baltimore City Board of Elections, et al*, 377 Md. 362, 833 A.2d 551 (2003), which interpreted sections of subtitle 8 of the Public Ethics Law and determined the degree to which local jurisdiction ethics ordinances must be similar to the Public Ethics Law, the Commission seeks to replace the language requiring that the local ordinance language be similar or substantially similar to the Public Ethics Law with language requiring that the Commission's review of local ordinances be in accordance to law.

APPENDIX A

EMPLOYERS SPENDING \$50,000.00 OR MORE - ALL REGISTRANTS ALL TYPES OF EXPENSES

November 1, 2006 - October 31, 2007

	TOTAL AMOUNT	EMPLOYER
1	\$918,224.33	Maryland Retailers Association
2	\$905,769.06	Maryland Association of Realtors, Inc.
3	\$533,106.31	CareFirst BlueCross BlueShield
4	\$511,848.00	Maryland Hospital Association
5	\$460,749.25	Pepco Holdings, Inc.
6	\$399,414.41	Laurel Racing Association, Inc.
7	\$386,380.31	Constellation Energy Group
8	\$373,209.34	AES Sparrows Point LNG, LLC
9	\$357,999.98	Aetna US Healthcare
10	\$357,266.00	Mirant Mid-Atlantic, LLC
11	\$353,567.17	Philip Morris USA, Inc. by its service corporation Altria Corporate Services, Inc.
12	\$325,894.43	MedChi, The Maryland State Medical Society
13	\$308,835.66	Johns Hopkins Institutions
14	\$307,416.56	Maryland State Teachers Association
15	\$299,938.90	Maryland Chamber of Commerce
16	\$273,346.25	Verizon Maryland, Inc.
17	\$259,924.68	MedStar Health
18	\$240,000.00	Novartis Pharmaceutical Corporation
19	\$232,000.00	Alcoa Eastalco Works
20	\$218,916.40	Marylanders for Restorative Justice, Inc.
21	\$217,398.61	Maryland Bankers Association, Inc.
22	\$214,572.43	Allegany Racing, LLC/Ocean Downs Race Track
23	\$210,451.77	Medical Mutual Liability Insurance Society of Maryland
24	\$206,004.36	AMERIGROUP Maryland, Inc.
25	\$201,034.01	Wal-Mart Stores, Inc.
26	\$194,900.00	Northrop Grumman Corporation
27	\$194,460.69	Comcast Cable Communications

28	\$192,206.54	Maryland Thoroughbred Horsemen's Assoc.
29	\$186,771.79	Children's National Medical Center
30	\$182,147.29	Maryland Independent College and University Association
31	\$174,258.25	Maryland Trial Lawyers Association
32	\$173,406.76	Allegheny Energy
33	\$168,400.00	League of Life and Health Insurers of MD
34	\$163,046.00	Maryland State Bar Association, Inc.
35	\$157,673.40	Maryland Bankers Association
36	\$156,595.85	Health Facilities Association of Maryland (HFAM)
37	\$151,828.46	Maryland Automobile Dealers Association
38	\$151,507.45	Association of Maryland Pilots
39	\$149,838.35	United Way of Central Maryland
40	\$145,835.09	AFSCME Council 92
41	\$144,346.74	ACS State and Local Solutions
42	\$143,550.69	Equality Maryland, Inc.
43	\$140,500.00	Law Office of Peter G. Angelos
44	\$132,328.41	State Farm Insurance Companies
45	\$131,000.00	Maryland State Builders Association
46	\$127,822.50	MAMSI/United Healthcare
47	\$126,836.26	Discovery Communications, Inc.
48	\$126,258.32	Home Builders Association of Maryland
49	\$124,780.08	General Motors Corporation
50	\$123,054.35	Maryland Catholic Conference
51	\$122,783.31	Peterson Companies, The
52	\$122,555.24	Dominion Resources Services, Inc.
53	\$121,723.38	Cable Telecommunications Association of Maryland, Delaware and District of Columbia
54	\$120,961.89	Schaller Anderson of Maryland, LLC
55	\$120,417.21	Chesapeake Bay Foundation
56	\$120,196.00	Multimedia Games, Inc.
57	\$119,250.00	Sikorsky Aircraft Corporation
58	\$116,011.27	American Cancer Society

59	\$116,000.00	Prince George's County
60	\$115,814.00	Restaurant Association of Maryland, Inc.
61	\$113,908.04	Maryland Industrial Technology Alliance
62	\$112,550.00	Direct Energy
63	\$112,207.39	Honeywell
64	\$108,425.99	Prince George's County Association of Realtors
65	\$106,878.00	Washington Gas
66	\$106,372.04	Maryland Farm Bureau, Inc.
67	\$105,425.18	Community Education Program
68	\$105,157.21	AAA Mid-Atlantic, inc.
69	\$104,633.46	Maryland Tort Reform Coalition
70	\$103,933.61	Maryland Association of Boards of Education
71	\$103,437.02	Cingular Wireless
72	\$103,405.16	Property Casualty Insurers Assn of America (PCIAA)
73	\$102,618.51	UST Public Affairs, Inc.
74	\$102,412.88	Mid-Atlantic LifeSpan
75	\$102,301.78	Verizon Maryland Inc.
76	\$102,217.30	Scientific Games International
77	\$101,958.76	Lifebridge Health
78	\$101,609.00	Maryland Community Health System, LLP
79	\$101,194.06	Apartment & Office Building Association of Metropolitan Washington
80	\$100,161.25	Chesapeake Utilities Corporation
81	\$99,269.83	Bank of America
82	\$98,842.67	Baltimore Jewish Council
83	\$98,661.00	AFSCME AFL-CIO
84	\$98,310.05	American Petroleum Institute
85	\$97,250.00	American Heart Association
86	\$97,000.00	Manufacturers' Alliance of Maryland
87	\$96,050.00	Location Aware Technologies Pty Ltd
88	\$95,000.00	Reynolds American, Inc.
89	\$92,700.00	Owens Illinois, Inc.

90	\$92,700.00	Savantage Solutions
91	\$92,390.05	Greater Baltimore Committee
92	\$90,489.92	Adventist HealthCare, Inc
93	\$90,148.99	IGT
94	\$90,000.00	Apangea Learning, Inc.
95	\$89,444.73	Syscom, Inc.
96	\$88,923.83	Greater Capital Area Association of Realtors
97	\$87,500.00	AIMCO
98	\$87,390.41	GBMC HealthCare, Inc.
99	\$87,309.99	St. John Properties, Inc.
100	\$86,200.25	Service Employees International Union
101	\$84,786.00	Catholic Charities
102	\$84,000.00	Lorillard Tobacco Company
103	\$83,614.51	Life Settlement Providers, LLC
104	\$83,326.72	Ground Rent Owners Coalition
105	\$82,000.00	Unions for Jobs and the Environment
106	\$81,108.00	MV Transportation, Inc.
107	\$81,073.21	Doctor's Community Hospital
108	\$81,000.00	Evercare
109	\$78,350.00	Rite Aid Corporation
110	\$76,315.57	Kaiser Foundation Health Plan of the Mid-Atlantic States, Inc.
111	\$76,175.00	Maryland State Dental Association
112	\$76,100.00	Adventist Health Care, Inc.
113	\$75,902.29	Maryland Zoo in Baltimore, The
114	\$75,777.00	AES Warrior Run
115	\$75,713.87	Motorola, Inc.
116	\$75,314.84	Pharmaceutical Research & Manufacturers of America
117	\$74,748.00	HSBC-GR Corp. (formerly Household Financial Group, Ltd)
118	\$73,832.71	Sherwin-Williams Company, The
119	\$73,164.00	Maryland Automobile Insurance Fund
120	\$72,978.97	Upper Chesapeake Health System

121	\$72,148.99	Suburban Hospital
122	\$72,000.00	Tutor.com
123	\$71,246.40	Discovery Communications, LLC
124	\$70,974.33	Norfolk Southern Corporation
125	\$70,513.82	National Federation of Independent Business
126	\$70,189.81	Maryland Optometric Association
127	\$69,721.72	The Chimes
128	\$68,000.00	Americhoice Health Services, Inc.
129	\$67,663.32	Veolia Transportation
130	\$67,485.00	National Aquarium in Baltimore, Inc.
131	\$67,063.87	Diebold Election Systems
132	\$67,060.35	Land Fair Properties, LLC (T/A Reliable Properties)
133	\$66,679.00	Sunoco, Inc.
134	\$66,487.05	Nationwide Insurance Company
135	\$66,171.79	Reliant Energy, Inc.
136	\$66,050.00	Microsoft Corporation
137	\$66,050.00	Sempra Generation
138	\$65,994.99	Associated Builders and Contractors
139	\$65,500.00	Chesapeake Amusements, Inc.
140	\$65,000.00	Commercial Contractors
141	\$65,000.00	Home Depot, Inc., The
142	\$64,615.33	Retail Energy Supply Association
143	\$64,312.12	Sprint Nextel Corporation
144	\$64,230.98	Baltimore Orioles, Inc.
145	\$64,008.13	Maryland Insurance Council, The
146	\$63,583.83	Greater Washington Commercial Association of Realtors
147	\$63,035.21	Concentra Medical Centers
148	\$63,000.00	CSX Corporation
149	\$62,663.65	T-Mobile USA, Inc.
150	\$62,475.00	Cert Health Sciences, LLC
151	\$62,370.27	Advocates for Children and Youth, Inc.

152	\$62,148.99	Washington Area NEW Automobile Dealers Association (WANADA)
153	\$61,805.19	EPIC Pharmacies/Maryland Professional Pharmacies, Inc.
154	\$61,800.00	Maryland Affordable Housing Coalition
155	\$61,800.00	Wireless Generation
156	\$61,603.25	American Insurance Association
157	\$61,150.75	Maryland Citizens Health Initiative Education Fund, Inc.
158	\$60,700.93	1199 SEIU
159	\$60,427.50	Maryland Association of Mortgage Brokers
160	\$60,200.00	AFSCME Council 67
161	\$60,008.00	Smoke Free Maryland
162	\$60,000.00	Caremark
163	\$60,000.00	DaVita, Inc.
164	\$60,000.00	Olympic Supply, Inc.
165	\$60,000.00	UPS
166	\$59,799.99	Apollo Group/University of Phoenix
167	\$59,433.38	Lockheed Martin Corporation
168	\$59,408.30	AIG Variable Annuity Life Insurance Company VALIC
169	\$59,154.12	Maryland Radiological Society
170	\$59,000.00	Rosecroft Raceway
171	\$58,911.33	Pfizer Inc.
172	\$58,763.86	Policy Studies, Inc.
173	\$58,650.00	Mid-Atlantic Petroleum Distributors Association
174	\$58,605.03	Mental Health Association of Maryland
175	\$58,493.22	Wawa, Inc.
176	\$58,272.44	Erickson Foundation
177	\$57,856.04	D.C. Health Care Systems, Inc.
178	\$57,580.00	CGI Technologies and Solutions, Inc.
179	\$57,148.99	ESP, Inc.
180	\$56,945.97	Ocean Downs Racetrack/Allegany Racing Assn.
181	\$56,400.07	Maryland Horse Breeders Association

182	\$56,126.06	DuPont, Inc.
183	\$55,500.00	Synergics Wind Energy, LLC
184	\$55,000.00	Maryland Association of Chain Drug Stores
185	\$55,000.00	Penn National Gaming, Inc.
186	\$54,550.00	Commerce Energy
187	\$54,347.02	USAA
188	\$54,298.20	eBay, Inc.
189	\$54,264.18	Maryland State Licensed Beverage Association
190	\$53,738.51	Citizens Planning and Housing Association
191	\$52,775.00	Greater Washington Board of Trade, The
192	\$52,556.78	K. Hovnanian at Kent Island, LLC
193	\$52,144.92	Kennedy Krieger Institute, The
194	\$51,966.55	First Colonies Anesthesia Associates, LLC
195	\$51,951.40	American Lung Association of Maryland
196	\$51,837.13	Merck & Company
197	\$51,757.97	WMDA Service Station and Automotive Repair Association
198	\$51,584.75	Columbia Gas of Maryland, Inc.
199	\$51,415.07	MedImmune, Inc.
200	\$51,084.98	HLR Service Corporation
201	\$51,000.00	Kent Island, LLC
202	\$51,000.00	Miller Brewing Company
203	\$50,815.00	Elm Street Development
204	\$50,350.00	The Canton Group
205	\$50,214.08	Cellco Partnership, A Delaware Limited Partnership
206	\$50,148.99	Baltimore Symphony Orchestra
207	\$50,000.00	Coventry Health Care
208	\$50,000.00	Faison
209	\$50,000.00	Giant Food, Inc.
210	\$50,000.00	Maryland Multi-Family Housing Association
211	\$50,000.00	Traffipax

APPENDIX B

LOBBYISTS RECEIVING \$50,000.00 OR MORE IN COMPENSATION ONE OR MORE EMPLOYERS

November 1, 2006 - October 31, 2007

	TOTAL AMOUNT	LOBBYIST
1	\$1,151,314.47	Alexander, Gary R.
2	\$972,017.00	Rozner, Joel D.
3	\$830,276.00	Johansen, Michael V
4	\$781,902.00	Stierhoff, John R.
5	\$728,084.62	Shaivitz, Robin F.
6	\$724,882.00	Enten, D. Robert
7	\$645,500.00	Manis, Nicholas G.
8	\$636,412.00	Proctor, Jr., Gregory S.
9	\$631,000.00	Evans, Gerard E.
10	\$625,679.64	Pitcher, J. William
11	\$572,106.45	Bereano, Bruce C.
12	\$556,496.00	Rasmussen, Dennis F.
13	\$546,429.14	Taylor, Jr., Casper R.
14	\$522,808.00	Rifkin, Alan M.
15	\$467,371.41	Popham, Bryson F.
16	\$463,100.00	Lanier, Ivan V.
17	\$461,418.39	Powell, Michael C.
18	\$439,048.62	Schwartz, III, Joseph A.
19	\$410,553.15	Tiburzi, Paul A
20	\$401,531.66	Battle, J. Kenneth
21	\$374,809.50	Miedusiewski, American Joe
22	\$367,111.00	Perry, Timothy A.
23	\$340,310.00	Hoffman, Barbara A.
24	\$339,039.00	Cowen, Lee
25	\$323,096.00	Boston, III, Frank D.
26	\$318,000.00	Cooper, Linda
27	\$308,912.92	Collins, Carville B.
28	\$305,100.00	Kasemeyer, Pamela M.
29	\$303,133.28	Bonnett, Carolyn T.

30	\$301,925.69	Doherty, Jr., Daniel T.
31	\$277,800.00	Aery, Shaila R.
32	\$277,136.85	Brocato, Barbara M.
33	\$259,500.00	Burner, Gene L.
34	\$252,120.50	O'Doherty, Damian C.
35	\$250,833.00	Arrington, Michael
36	\$240,000.00	Frye, Neely T.
37	\$239,868.00	Canning, Michael F.
38	\$230,900.00	Rivkin, Deborah R.
39	\$222,354.00	Burns, Kimberly M.
40	\$220,203.58	Harris Jones, Lisa
41	\$214,177.00	Gally, Eric
42	\$207,000.00	DiPietro, Christopher V.
43	\$205,092.28	Andryszak, John A.
44	\$204,500.00	Genn, Gil
45	\$203,290.00	Levitan, Laurence
46	\$201,050.00	Opara, Clay C.
47	\$197,595.93	Johnson, Robert G.
48	\$195,095.87	Carroll, Jr., David H.
49	\$195,095.87	Sidh, Sushant
50	\$192,192.38	Wilkins, Barbara J.
51	\$187,299.61	Kress, William A.
52	\$181,545.00	Miles, William R.
53	\$181,339.33	Ornstein, Chantel R.
54	\$178,050.00	Gisriel, Michael U.
55	\$176,143.33	Dyke, Jr., James W.
56	\$175,000.00	Valentino-Benitez, Ellen
57	\$173,891.06	Bellamy, Lorenzo M.
58	\$172,929.25	Saquella, Thomas S.
59	\$170,000.00	Looney, Sean M.
60	\$165,000.00	Hill, Denise

61	\$145,000.00	Loughran, Kathleen G.
62	\$140,004.00	McCoy, Dennis C.
63	\$134,698.50	Lucchi, Leonard L.
64	\$133,825.00	Elliott, Robyn S.
65	\$130,500.00	Manis, George N.
66	\$127,933.32	Powers, Hannah J.
67	\$126,760.00	Goldstein, Franklin
68	\$123,883.63	Zellmer, Jeffrie
69	\$122,000.00	Townsend, Pegeen
70	\$119,866.76	Waranch, Nan A.
71	\$118,739.00	Hanna Anderson, Tiffany C.
72	\$115,800.00	Neil, John B.
73	\$113,800.25	Quinn, Brian M.
74	\$111,011.00	Lewis, Thomas
75	\$110,875.00	Montgomery III, Richard A.
76	\$110,007.66	Weisel, Meredith R.
77	\$108,913.00	Bryant, Eric L.
78	\$107,000.00	Wise, J. Steven
79	\$106,901.89	Murphy, Kathleen M.
80	\$106,720.24	Wood, Paul G.
81	\$103,000.00	Carter, W. Minor
82	\$96,050.00	Kelly, James M.
83	\$93,119.11	Antoun, Mary A.
84	\$92,631.00	Harting, Marta D.
85	\$91,388.00	Roddy, Patrick H.
86	\$90,619.35	Ciekot, Ann T.
87	\$90,000.00	Kimsey, Anna
88	\$86,242.00	Casey, William F.
89	\$85,669.38	Hoover, Lesa N.
90	\$84,978.00	Thompson, Melvin R.
91	\$82,500.00	Wyatt, Joseph R.

92	\$82,473.00	Castelli, William A.
93	\$81,500.00	Matricciani, Denise M.
94	\$81,000.00	Maloney, Kathleen M.
95	\$80,312.50	Doolan, Devin J.
96	\$80,000.00	Linger, Brett Stewart
97	\$77,897.86	Richardson, Jr., Lawrence Anthony
98	\$76,300.00	Arabia, Steven L.
99	\$76,250.00	Mitchell, Van T.
100	\$76,000.00	Sokolowski, Paul
101	\$75,015.00	Woolums, John R.
102	\$75,000.00	Jepson, Robert
103	\$74,100.00	Neily, Alice J.
104	\$73,334.90	Douglas, Robert C.
105	\$72,548.33	Doherty, Frances P.
106	\$72,000.00	Cunningham, Billy Joe
107	\$72,000.00	Lamb, Todd
108	\$71,687.00	Doyle, III, James J.
109	\$71,625.00	Sheehan, Lorraine M.
110	\$69,956.03	Groves, Jason L.
111	\$66,539.00	Bellissimo, Toni A.
112	\$66,448.00	Cobbs, Drew P.
113	\$66,443.00	Topping, Jeffrey J.
114	\$65,000.00	Cohen, Harold A.
115	\$65,000.00	Kelly, Clare Marie
116	\$65,000.00	Kreseski, Steven L.
117	\$64,387.97	Feinroth, Mark
118	\$63,733.00	Bjarekull, Tina M.
119	\$63,384.98	Mitchell, Susan N.
120	\$60,000.00	Nelson, Timmy Theron
121	\$59,600.00	Fowlkes, Lyle
122	\$58,500.00	Horrigan, F. Peter

123	\$57,625.61	Saquella, Diana K.
124	\$57,000.00	Hawk, Wynee E.
125	\$56,000.00	Fedder, Michaeline R.
126	\$55,000.00	Purnell, I. Vanessa
127	\$54,668.56	Ottaviani, Adrienne
128	\$54,000.00	Poor, Stephen
129	\$53,375.00	Connelly, Valerie T.
130	\$52,000.00	Robbins, Jr., Earl H.
131	\$51,000.00	Kitzmiller, John P.
132	\$50,196.00	Tyson, Herb
133	\$50,000.00	DeJuliis, Connie
134	\$50,000.00	Jones, Tim T.
135	\$50,000.00	Kauffman, Danna L.
136	\$50,000.00	Scher, Barry F.
137	\$50,000.00	Scott, Andrew J.

APPENDIX C

EXPENDITURES ON SPECIAL EVENTS

November 1, 2006 - October 31, 2007

Group Invited	Number of Times Invited	Total
Anne Arundel County Delegation	10	\$17,587.10
Baltimore City Delegation	16	\$97,979.32
Baltimore County Delegation	19	\$98,781.21
Carroll County Delegation	5	\$8,370.97
General Assembly	101	\$866,774.37
Harford County Delegation	7	\$8,864.31
House Appropriations Committee	11	\$20,239.23
House Economic Matters Committee	26	\$59,684.08
House Environmental Matters Committee	14	\$31,800.98
House Health and Governmental Operations Committee	22	\$48,635.96
House Judiciary Committee	12	\$22,630.95
House of Delegates	6	\$43,873.14
House Rules and Executive Nominations Committee	1	\$203.70
House Ways and Means Committee	12	\$97,211.40
Howard County Delegation	10	\$45,005.29
Lower Eastern Shore Delegation	7	\$11,627.18
Montgomery County Delegation	22	\$147,211.32
Prince George 's County Delegation	16	\$122,134.12
Senate	8	\$49,423.79
Senate Budget and Taxation Committee	21	\$92,619.38
Senate Education Business and Administration Committee	1	\$203.70
Senate Education Health and Environmental Affairs Committee	19	\$20,444.21
Senate Executive Nominations Committee	1	\$203.70
Senate Finance Committee	37	\$48,567.04
Senate Judicial Proceedings Committee	12	\$17,256.63
Southern Maryland Delegation	5	\$4,556.40
Upper Eastern Shore Delegation	6	\$11,302.18
Western Maryland Delegation	5	\$34,487.89

TOTAL: \$2,027,679.58

(NOTE: Where more than one committee was invited to the same event for the purposes of this report, there may be a proportionate allocation.)

APPENDIX D

LOBBYING FIRMS REPORTING COMPENSATION OF \$1,000,000.00 OR MORE

November 1, 2006 - October 31, 2007

	Name of Firm	Amount of Compensation Reported
1	Alexander & Cleaver, P.A.	\$3,279,084.27
2	Rifkin, Livingston, Levitan & Silver	\$2,114,496.00
3	Gordon, Feinblatt, Rothman, Hoffberger & Hollander, LLC	\$1,186,300.39
4	Funk & Bolton, P.A.	\$1,156,541.00
5	Manis Canning & Associates	\$1,092,118.00
6	Law Office of J. William Pitcher	\$1,013,541.58